

Ex. Let $L: V \rightarrow V$ over \mathbb{R} have

275

$$\text{Char}_L(t) = (t^2+1)^5 (t^2+t+1)^4 \text{ and}$$

$$m_L(t) = (t^2+1)^3 (t^2+t+1)^2. \text{ Find all possible}$$

RCF's of L .

For $f_1(t) = t^2+1$, $k_1 = 5$, $m_1 = 3$, partitions are

$5 = 3+2$ or $5 = 3+1+1$, giving two options for

$$C_1 = \text{diag}(C((t^2+1)^3), C((t^2+1)^2)) = \text{diag}(C_{11}, C_{12})$$

$$\text{or } C_1 = \text{diag}(C((t^2+1)^3), C(t^2+1), C(t^2+1), C(t^2+1))$$

$\begin{matrix} \approx C_{11} & & \approx C_{13} & = & C_{13} \end{matrix}$

For $f_2(t) = t^2+t+1$, $k_2 = 4$, $m_2 = 2$, partitions

are $4 = 2+2$ or $4 = 2+1+1$ giving two options for

$$C_2 = \text{diag}(C((t^2+t+1)^2), C((t^2+t+1)^2)) \text{ or}$$

$$C_2 = \text{diag}(C_{21}, C_{22} = C(t^2+t+1), C_{22})$$

So get 4 options for RCF of L : 1276

- ① $\text{diag}(C_{11}, C_{12}, C_{21}, C_{21})$
 - ② $\text{diag}(C_{11}, C_{13}, C_{13}, C_{13}, C_{21}, C_{21})$
 - ③ $\text{diag}(C_{11}, C_{12}, C_{21}, C_{22}, C_{22})$
 - ④ $\text{diag}(C_{11}, C_{13}, C_{13}, C_{13}, C_{21}, C_{22}, C_{22})$
-

Inner Product Spaces and Orthogonality:

277

Recall the standard dot product on \mathbb{R}^n :

$$\text{For } v = \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix}, w = \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix} \in \mathbb{R}^n \text{ define } v \cdot w = \sum_{i=1}^n a_i b_i \in \mathbb{R} \\ = v^T w$$

Properties: Symmetric: $v \cdot w = w \cdot v$

Bilinear: $(c_1 v_1 + c_2 v_2) \cdot w = c_1 (v_1 \cdot w) + c_2 (v_2 \cdot w)$

and $v \cdot (c_1 w_1 + c_2 w_2) = c_1 (v \cdot w_1) + c_2 (v \cdot w_2)$

Positive Definite: $v \cdot v = \sum_{i=1}^n a_i^2 \geq 0$ and

$v \cdot v = 0$ implies $v = 0$.

Def. Length of $v \in \mathbb{R}^n$ is $\|v\| = \sqrt{v \cdot v}$

Distance between $v, w \in \mathbb{R}^n$ is $\|v - w\|$.

say v is a unit vector when $\|v\| = 1$.

Th: (Cauchy-Schwarz Inequality)

For any $v, w \in \mathbb{R}^n$, $|v \cdot w| \leq \|v\| \cdot \|w\|$ so

$$-1 \leq \frac{v \cdot w}{\|v\| \cdot \|w\|} \leq 1 \text{ for } \|v\| \neq 0 \neq \|w\|.$$

Def: For $v, w \in \mathbb{R}^n$ the angle between v and w , $\theta_{v,w}$ is the unique angle between 0 and π such that $\cos(\theta_{v,w}) = \frac{v \cdot w}{\|v\| \|w\|}$.

Def. Say $v \perp w$ (perpendicular, orthogonal) when $\theta_{v,w} = \pi/2$, same as $\cos(\theta_{v,w}) = 0$,

iff $v \cdot w = 0$.

Def: Say $S = \{v_1, \dots, v_m\} \subseteq \mathbb{R}^n$ is orthogonal when $v_i \perp v_j$ for all $1 \leq i \neq j \leq m$.

279

Def. Say $S = \{v_1, \dots, v_m\} \subseteq \mathbb{R}^n$ is orthonormal when $v_i \cdot v_j = \delta_{ij} = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i \neq j \end{cases}$.
So S consists of unit vectors which are mutually perpendicular.

Th: If $S = \{v_1, \dots, v_m\} \subseteq \mathbb{R}^n$ is an orthogonal set of non-zero vectors then S is indep.

Pf: Suppose $\sum_{i=1}^m c_i v_i = \theta$ then for any $1 \leq j \leq m$
 $(\sum_{i=1}^m c_i v_i) \cdot v_j = \theta \cdot v_j = 0$. By bilinearity, get
 $\sum_{i=1}^m c_i (v_i \cdot v_j) = 0$ but for $i \neq j$, $v_i \cdot v_j = 0$ so
 $c_j (v_j \cdot v_j) = 0$. Since $v_j \neq \theta$, $v_j \cdot v_j > 0$ (Pos. Definite property) so $c_j = 0$, true for all $1 \leq j \leq m$. \square

Th. Suppose $S = \{v_1, \dots, v_n\}$ is an orthogonal L280
basis of \mathbb{R}^n . For any $v \in \mathbb{R}^n$, $v = \sum_{i=1}^n c_i v_i$ and
 $c_j = \frac{v \cdot v_j}{v_j \cdot v_j}$ for each $1 \leq j \leq n$ gives the coordinates
of v with respect to S , $[v]_S$.

Pf. For each $1 \leq j \leq n$, $v \cdot v_j = \sum_{i=1}^n c_i (v_i \cdot v_j)$
 $= c_j (v_j \cdot v_j)$ since $v_i \cdot v_j = 0$ for $i \neq j$.
Since $v_j \cdot v_j \neq 0$ (pos. def.) get $c_j = \frac{v \cdot v_j}{v_j \cdot v_j}$. \square

Cor: If $S = \{v_1, \dots, v_n\}$ is an orthonormal
basis of \mathbb{R}^n then $\forall v \in \mathbb{R}^n$, $v = \sum_{i=1}^n (v \cdot v_i) v_i$.

Ex: Std. basis $S = \{e_1, \dots, e_n\}$ of \mathbb{R}^n is an
orthonormal basis of \mathbb{R}^n .

Ex: For any angle ϕ let $S = \left\{ \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix}, \begin{bmatrix} -\sin \phi \\ \cos \phi \end{bmatrix} \right\}$ 281

$$\text{Then } v_1 \cdot v_1 = \cos^2 \phi + \sin^2 \phi = 1$$

$$v_2 \cdot v_2 = (-\sin \phi)^2 + (\cos^2 \phi) = 1$$

$$v_1 \cdot v_2 = -\cos \phi \sin \phi + \sin \phi \cos \phi = 0$$

so S is an orthonormal set in \mathbb{R}^2 ,
indep, basis of \mathbb{R}^2 . $\forall v = \begin{bmatrix} a \\ b \end{bmatrix} \in \mathbb{R}^2$

$$\begin{bmatrix} v \cdot v_1 \\ v \cdot v_2 \end{bmatrix} = \begin{bmatrix} a \cos \phi + b \sin \phi \\ -a \sin \phi + b \cos \phi \end{bmatrix} = [v]_S \text{ since}$$

$$\begin{aligned} & (a \cos \phi + b \sin \phi) \begin{bmatrix} \cos \phi \\ \sin \phi \end{bmatrix} + (-a \sin \phi + b \cos \phi) \begin{bmatrix} -\sin \phi \\ \cos \phi \end{bmatrix} \\ &= \begin{bmatrix} a \cos^2 \phi + b \sin \phi \cos \phi + a \sin^2 \phi - b \cos \phi \sin \phi \\ a \cos \phi \sin \phi + b \sin^2 \phi - a \sin \phi \cos \phi + b \cos^2 \phi \end{bmatrix} = \begin{bmatrix} a \\ b \end{bmatrix} \end{aligned}$$

Let $S = \{v_1, \dots, v_n\}$ be an orthonormal (o.n.) basis of \mathbb{R}^n and let $A \in \mathbb{R}^n$ be the matrix whose columns are the vectors from S , so

$\text{Col}_j(A) = v_j$ for $1 \leq j \leq n$. Then

$$\underset{n \times 1}{\text{Col}_i(A)} \cdot \underset{n \times 1}{\text{Col}_j(A)} = \underset{1 \times n}{(\text{Col}_i(A))^T} \underset{n \times 1}{\text{Col}_j(A)} = \delta_{ij}$$

But $(\text{Col}_i(A))^T = \text{Row}_i(A^T)$ so

$\text{Row}_i(A^T) \text{Col}_j(A) = \delta_{ij}$ is the (i, j) -entry

of $A^T A = [\delta_{ij}] = I_n = \text{the identity matr.}$
 $(n \times n)(n \times n)$ so $A^T = A^{-1}$.

Def. We say $A \in \mathbb{R}_n^n$ is an orthogonal matrix when $A^T = A^{-1}$. 283

Th: $A \in \mathbb{R}_n^n$ is orthogonal iff $S = \{\text{Col}_1(A), \dots, \text{Col}_n(A)\}$ is an orthonormal set in \mathbb{R}^n iff $T = \{\text{Row}_1(A), \dots, \text{Row}_n(A)\}$ is an o.n. set in \mathbb{R}_n (with respect to the std dot product in \mathbb{R}_n).

Ex: For each $\phi \in \mathbb{R}$, $A = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix}$ is orthogonal.

$$A^T A = \begin{bmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{bmatrix} \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\text{and } A A^T = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \text{ so } A^T = A^{-1}.$$