

We know  $\text{ad}_S$  is the s.s. part of  $\text{ad}_X$  (see p. 97) 103  
 so it is a poly in  $\text{ad}_X$  with 0 constant term.  
 Since  $r(0) = 0$ ,  $r(T)$  has 0 const. term, so  $\text{ad}_Y =$   
 $r(\text{ad}_S)$  is a poly. in  $\text{ad}_X$  with 0 const. term.  
 We are assuming  $X \in M = \{x \in \mathfrak{gl}(V) \mid \text{ad}_X(B) \subset A\}$   
 so  $\text{ad}_Y(B) \subset A$ , that is,  $Y \in M$ . We are also given  
 $\text{Tr}(XY) = 0$  since  $Y \in M$ . The matrix rep'ing  $XY$   
 w.r.t. basis  $\mathcal{B}$  is  $\text{diag}(a_1 f(a_1), \dots, a_m f(a_m))$  so  
 $\text{Tr}(XY) = \sum_{i=1}^m a_i f(a_i) = 0$ . This sum is a lin. comb.  
 in  $E$  with coeffs.  $f(a_i) \in \mathbb{Q}$  so if we apply the  
 map  $f \in E^*$  we get  $\sum_{i=1}^m f(a_i)^2 = 0$ . Since  $f(a_i) \in \mathbb{Q}$ ,  
 we must have all  $f(a_i) = 0$ , so  $f$  is the zero  
 function in  $E^*$ .  $f \in E^*$  was arbitrary so  $E^* = 0$ .  $\square$



Lemma:  $\forall x, y, z \in \text{End}(V)$ ,  $\dim(V) < \infty$ , we have (104)

$$\text{Tr}([x, y]z) = \text{Tr}(x[y, z]).$$

Pf.  $[x, y]z = (xy - yx)z = xyz - yxz$  and  
 $x[y, z] = x(yz - zy) = xyz - xzy$ .  $\text{Tr}$  is linear  
and  $\text{Tr}(y(xz)) = \text{Tr}(xz)y$ .  $\square$

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Th. (Cartan's Criterion) Let  $L \leq \mathfrak{gl}(V)$ ,  $\dim(V) < \infty$ .  
Suppose  $\text{Tr}(xy) = 0$ ,  $\forall x \in [L, L]$  and  $\forall y \in L$ .

Then  $L$  is solvable.

Pf. By the notes on p. 100, it is enough to show

$[L, L]$  is nilp., or that  $\forall x \in [L, L]$ ,  $\text{ad}_x: [L, L] \rightarrow [L, L]$   
is nilp. We will apply the last Lemma with  
 $V$  as given,  $A = [L, L]$ ,  $B = L$  so  $M = \{x \in \mathfrak{gl}(V) \mid [x, L] \subset [L, L]\}$

and clearly  $L \subset M$ . Given  $\text{Tr}(xy) = 0$  for  $x \in [L, L]$ ,  $y \in L$ ,



But the Lemma requires knowing that  $\text{Tr}(xy) = 0 \forall x \in [L, L], y \in M$ , in order to conclude  $x$  is nilp.  $L \subset M$  but  $M$  could be strictly bigger. Using any  $x, y \in L$ ,  $[L, L]$  is spanned by elements  $[x, y]$ . Let  $z \in M$  and use last Lemma to get  $\text{Tr}([x, y]z) = \text{Tr}(x[y, z]) = \text{Tr}([y, z]x)$ . By def. of  $M$ ,  $[y, z] \in [L, L]$  and  $x \in L$  so our assumption gives  $\text{Tr}([y, z]x) = 0$  as required.  $\square$

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Cor. Let  $L$  be a Lie alg. s.t.  $\text{Tr}(\text{ad}_x \circ \text{ad}_y) = 0 \forall x \in [L, L], y \in L$ . Then  $L$  is solvable.  
Pf. Apply Theorem to  $\text{ad}(L) = \{\text{ad}_x \in \mathfrak{gl}(L) \mid x \in L\}$  get hom. image  $\text{ad}(L)$  is solv.  $\text{Ker}(\text{ad}) = \mathfrak{Z}(L)$  is solv. so  $L$  is solv. by previous Thm. (Notes p. 57).  $\square$



Killing Form: Let  $L$  be a Lie algebra.

Def. For any  $x, y \in L$ , let  $K(x, y) = \text{Tr}(\text{ad}_x \circ \text{ad}_y)$ .

Then  $K: L \times L \rightarrow F$  is a symmetric bilinear form on  $L$  called the Killing form. Also,  $\forall x, y, z \in L$ , we have

$$K([x, y], z) = K(x, [y, z]) \quad (\text{associativity of } K)$$

since  $\text{Tr}([x, y]z) = \text{Tr}(x[y, z]) \quad \forall x, y, z \in \text{End}(V)$ .

Lemma: Let  $I \trianglelefteq L$ ,  $K: L \times L \rightarrow F$  as above,  $K_I: I \times I \rightarrow F$  the Killing form for  $I$  as a Lie algebra. Then

$$K_I = K|_{I \times I}$$

Pf. Note that for any subspace  $W \subseteq V$  and any  $\phi \in \text{End}(V)$  s.t.  $\phi(V) \subseteq W$ , we have  $\text{Tr}(\phi) = \text{Tr}(\phi|_W)$ . To see this, let  $S = \{v_1, \dots, v_k, v_{k+1}, \dots, v_n\}$  be a basis of  $V$  where  $T = \{v_1, \dots, v_k\}$  is a basis of  $W$ . Then the matrix



representing  $\phi$  w.r.t.  $S$  has the form

$${}_S[\phi]_S = \left[ \begin{array}{c|c} A & B \\ \hline 0 & 0 \end{array} \right]_{n \times n} \text{ for } A \in F_R^k \text{ since } \phi(v_i) \in W = \langle T \rangle.$$

If  $x, y \in I$ ,  $\text{ad}_x \circ \text{ad}_y : L \rightarrow I$  so  $\text{Tr}(\text{ad}_x \circ \text{ad}_y) = \text{Tr}((\text{ad}_x \circ \text{ad}_y)|_I) = \text{Tr}(\text{ad}_x|_I \circ \text{ad}_y|_I) = \kappa_I(x, y)$ .  $\square$

Def. For any symmetric bilinear form  $\beta: L \times L \rightarrow F$  call it nondegenerate if  $\text{Rad}(\beta) = \{0\}$  where

$$S = \text{Rad}(\beta) = \{x \in L \mid \beta(x, y) = 0, \forall y \in L\}.$$

$\beta$  associative  $\Rightarrow \text{Rad}(\beta) \trianglelefteq L$  since

$$\forall x \in S, \forall y, z \in L, \beta([x, y], z) = \beta(x, [y, z]) = 0 \text{ so } [x, y] \in S.$$

For any basis  $T = \{x_1, \dots, x_n\}$  of  $L$ , the matrix of  $\beta$  w.r.t.  $T$  is  $M_T = [\beta(x_i, x_j)] \in F^n$  and



$\forall x, y \in L$ , if  $[x]_T$  and  $[y]_T$  are the coordinates (108)  
w.r. to  $T$ , then

$$\beta(x, y) = [x]_T^{\text{Tr}} M_T [y]_T$$

$(1 \times n)$        $(n \times n)$        $(n \times 1)$

(Tr means transpose)

so  $\beta$  is symm. iff  $M_T$  is symm, and  $\beta$  is  
non deg. iff  $\text{rank}(M_T) = n$  iff  $\det(M_T) \neq 0$ .

EX. For  $L = \mathfrak{sl}(2, F)$  with std. basis  $\{e, f, h\} = T$

$$\text{ad}_h = \begin{cases} e \rightarrow 2e \\ f \rightarrow -2f \\ h \rightarrow 0 \end{cases} \quad \text{so } {}_T[\text{ad}_h]_T = \begin{bmatrix} 2 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 0 \end{bmatrix} = H$$

$$\text{ad}_e = \begin{cases} e \rightarrow 0 \\ f \rightarrow h \\ h \rightarrow -2e \end{cases} \quad \text{so } {}_T[\text{ad}_e]_T = \begin{bmatrix} 0 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} = E$$

$$\text{ad}_f = \begin{cases} e \rightarrow -h \\ f \rightarrow 0 \\ h \rightarrow 2f \end{cases} \quad \text{so } {}_T[\text{ad}_f]_T = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ -1 & 0 & 0 \end{bmatrix} = F$$

↑  
differs  
from  
order in  
Humphreys'  
book.



For convenient notation, let  $X_1 = E$ ,  $X_2 = F$ ,  $X_3 = H$  109

so  $[\kappa(X_i, X_j)] = [\text{Tr}(X_i X_j)] = \begin{bmatrix} 0 & 4 & 0 \\ 4 & 0 & 0 \\ 0 & 0 & 8 \end{bmatrix} = M$  since

$E^2 = \begin{bmatrix} 0 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & -2 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = X_1^2$  has trace 0,

$EF = \begin{bmatrix} 0 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ -1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix} = X_1 X_2$  has trace 4

$EH = \begin{bmatrix} 0 & 0 & -2 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} 2 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & -2 & 0 \end{bmatrix} = X_1 X_3$  has trace 0

$F^2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ -1 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ -2 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = X_2^2$  has trace 0

$FH = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ -1 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ -2 & 0 & 0 \end{bmatrix} = X_2 X_3$  has trace 0

$H^2 = \begin{bmatrix} 2 & -2 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 2 & -2 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 4 & 4 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = X_3^2$  has trace 8

and  $\det(M) = -128 \neq 0$   
so  $\kappa$  is nondegenerate.