Tate conjectures in function field arithmetic

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ETH Zürich

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- $A = \mathbb{F}_q[t]$, the ring of coefficients. $F = \mathbb{F}_q(t)$, the fraction field of A.
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Usual motives have coefficient ring $\mathbb{Z}:$ the category is $\mathbb{Z}\text{-linear}.$ E.g. abelian varieties, algebraic tori.

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 $E \mapsto M(E)$ is a *contravariant* functor.

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$$\begin{aligned} &\mathcal{K}[t] = \mathcal{K} \otimes_{\mathbb{F}_q} \mathcal{A}, \quad \sigma : \times \otimes a \mapsto \times^q \otimes a \\ &\mathcal{K}[t]\{\tau\} = \{ y_0 + y_1\tau + \ldots + y_n\tau^n \mid y_i \in \mathcal{K}[t] \} \end{aligned}$$

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A Drinfeld A-module is an Anderson A-module of dimension 1.



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Conclusion: E is an Anderson module of dimension 1 and rank 2.

The tangent space at 0

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In the example: a prime $\mathfrak{p} = (f)$ is special if and only if $f(\alpha) = 0$. If α is transcendental over \mathbb{F}_q then every prime is generic.

Tate modules

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- Finitely generated free over A_p.
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Tate conjectures, first version (generic p)

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The same notion of generic and special primes.

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NB: not every motive arises from E.

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Filtration theorem (for arbitrary K)

Every $\mathcal{E}_{\mathcal{K}}$ -isocrystal M carries a unique filtration

$$0 = M_0 \subset M_1 \subset \ldots \subset M_n = M$$

such that:

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This is called the *Harder-Narasimhan filtration*. Splits if K is perfect (and does not split otherwise).

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The functor $M \mapsto T(M)$ is an equivalence of

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Can extend this to pure modules of any slope! The Weil group W_K appears instead of G_K . The target category is more complicated.

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Theorem (Drinfeld '77)

A motive of rank r > 0 arises from a Drinfeld module if and only if it is pure of weight $\frac{1}{r}$.

Assume that K is *finitely generated*. Then the functor $M \mapsto M_p$ is

• (FF) fully faithful after $F_{\mathfrak{p}} \otimes_A -$,

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Reason: $\mathcal{E}_{\mathcal{K},\mathcal{F}_{\mathfrak{p}}} = \mathcal{F}_{\mathfrak{p}} \otimes_{\mathcal{A}} \mathcal{K}[t]$. Implies injectivity for arbitrary \mathcal{K} .

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M.'20: counterexample to FF for mixed motives, $\mathfrak{p} = \infty$. The work still continues: $FF_{\mathfrak{p}=\infty}$ is true for many mixed motives. Focus on the case tr deg K = 1.

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Embedding ι : (Spec K) × $C \hookrightarrow X \times C$. Pushforward $\iota_* \tilde{M}$.

Gardeyn's theory

 $C = \operatorname{Spec} A, X \times C$, endomorphism σ .

A left $\mathcal{O}_{X \times C} \{\tau\}$ -module: a pair (\mathcal{F}, τ) where \mathcal{F} is an $\mathcal{O}_{X \times C}$ -module, $\tau \colon \mathcal{F} \to \sigma_* \mathcal{F}$ is a morphism.

An A-motive M gives rise to a coherent sheaf \tilde{M} on $(\text{Spec } K) \times C$ together with a σ -linear endomorphism τ .

Embedding ι : (Spec K) × $C \hookrightarrow X \times C$. Pushforward $\iota_* \tilde{M}$.

Gardeyn's maximal model

There is a unique left $\mathcal{O}_{X \times C} \{\tau\}$ -submodule $\mathcal{M} \subset \iota_* \tilde{M}$ which is

- locally free of finite type over $\mathcal{O}_{X \times C}$,
- maximal with respect to the inclusion relation.



Motives $M, N \rightsquigarrow$ Gardeyn models \mathcal{M}, \mathcal{N}

Motives *M*, *N* \rightsquigarrow Gardeyn models *M*, *N*

Néron property $\mathsf{Hom}(M,N) = \mathsf{Hom}(\mathcal{M},\,\mathcal{N})$

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Theorem

$$F_{\mathfrak{p}} \otimes_{\mathcal{A}} \operatorname{Hom}(M, N) = \operatorname{Hom}(\mathcal{M}_{\mathfrak{p}}, \mathcal{N}_{\mathfrak{p}})$$

Instant consequence of proper base change.

Full faithfluness: the analytic part

Scheme $\mathcal{X} = X \times \operatorname{Spec} \hat{F}$ with an endomorphism σ . Best viewed as a rigid analytic space over $\operatorname{Spec} \hat{F}$.

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Generic fiber functor $\mathcal{M} \mapsto \mathcal{M}_{\eta}$: base change to $\mathcal{E}_{K} = K \widehat{\otimes} \widehat{F}$. We know that \mathcal{M}_{η} is an isocrystal.

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When the functor $\mathcal{M} \mapsto \mathcal{M}_{\eta}$ is fully faithful?

Local analysis

Closed point $x \in X \leftrightarrow$ valuation ring $R \subset K$. $\mathcal{E}_R = R((z)) = R[[z]][z^{-1}]$, a subring of $\mathcal{E}_K = K((z))$. NB: \mathcal{E}_R is a PID.

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- \mathcal{M}_{x} is finitely generated projective over \mathcal{E}_{R} .
- The quotient $\mathcal{M}_x/\mathcal{E}_R\tau(\mathcal{M}_x)$ is of finite length.
- \mathcal{M}_{x} has a maximality proerty to be discussed later.

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Base change from \mathcal{X} to \mathcal{E}_R : $\mathcal{M} \mapsto \mathcal{M}_x$. Produces a left $\mathcal{E}_R\{\tau\}$ -module with the following properties:

- \mathcal{M}_{x} is finitely generated projective over \mathcal{E}_{R} .
- The quotient $\mathcal{M}_x/\mathcal{E}_R \tau(\mathcal{M}_x)$ is of finite length.
- \mathcal{M}_x has a maximality proerty to be discussed later.

To prove full faithfulness it is enough to show that every morphism $\mathcal{M}_{\eta} \to \mathcal{N}_{\eta}$ maps \mathcal{M}_{x} to \mathcal{N}_{x} for all $x \in X$.

An \mathcal{E}_R -isocrystal is

a left $\mathcal{E}_R\{\tau\}\text{-module }M$ such that

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Theorem (Watson '03)

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Open subset $U \subset X \rightsquigarrow$ subspace $\mathcal{U} \subset \mathcal{X}$, a complement of finitely many residue disks. The natural morphism

$$\mathsf{Hom}(\mathcal{M}|_{\mathcal{U}},\,\mathcal{N}|_{\mathcal{U}}) \xrightarrow{\sim} \mathsf{Hom}(\mathcal{M}_{\eta},\,\mathcal{N}_{\eta})$$

is an isomorphism.

Overconvergence

Split the base change problem in two parts:

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The overconvergent ring

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The z-adic analog of the *p*-adic overconvergent ring $(\hat{F} = \mathbb{Q}_p)$. NB: $\mathcal{E}_R \subset \mathcal{E}_R^{\dagger}$. Furthermore \mathcal{E}_R^{\dagger} is a field.



An overconvergent isocrystal is

- a left $\mathcal{E}_R^{\dagger} \{ \tau \}$ -module M such that
 - *M* is finite-dimensional over \mathcal{E}_R^{\dagger} .

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$$M = \mathcal{E}_R^{\dagger} \cdot \tau(M).$$

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For each x the module $\mathcal{M}_x^{\dagger} = \mathcal{E}_R^{\dagger} \otimes_{\mathcal{E}_R} \mathcal{M}_x$ is an overconvergent isocrystal.

We shall study the inclusion $\operatorname{Hom}(\mathcal{M}_x,\mathcal{N}_x)\subset\operatorname{Hom}(\mathcal{M}_x^\dagger,\mathcal{N}_x^\dagger).$

Local maximal models

a left $\mathcal{E}_R{\tau}$ -module M such that

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This is a simultaneous generalization of \mathcal{E}_R -isocrystals, Gardeyn maximal models and local shtukas of Hartl.

NB: \mathcal{M}_x is a local maximal model for every x. This follows from the fact that \mathcal{M} is a Gardeyn model.



theorem (M., in progress)

The base change functor $\mathcal{E}_R^{\dagger}\otimes_{\mathcal{E}_R} -$ is fully faithful on local maximal models.

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Corollary

For all A-motives M, N over K and all places \mathfrak{p} of F we have $F_{\mathfrak{p}} \otimes_A \operatorname{Hom}(M, N) = \{f \colon M_{\mathfrak{p}} \to N_{\mathfrak{p}} \mid \forall x \ f(M_{\mathfrak{p},x}^{\dagger}) \subset N_{\mathfrak{p},x}^{\dagger}\}.$

Here $M_{\mathfrak{p},x}^{\dagger} = \mathcal{E}_{R,F_{\mathfrak{p}}}^{\dagger} \otimes_{K[t]} M$. Note that $K(t) \subset \mathcal{E}_{R,F_{\mathfrak{p}}}^{\dagger}$ for all R, \mathfrak{p} . By Watson the condition holds for almost all x.

Kedlaya's base change theorem

In the *p*-adic setting the $\mathcal{E}_{\mathcal{K}}$ -isocrystals carry extra data: a *connection* ∇ .

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Theorem (Kedlaya '03)

In the *p*-adic setting the base change functor $\mathcal{E}_{\mathcal{K}} \otimes_{\mathcal{E}_{\mathcal{R}}^{\dagger}} -$ is fully faithful.

Monodromy

$\mathcal{R}_{v} = \{\sum_{n \in \mathbb{Z}} x_{n} z^{n} \mid \text{converges on a punctured open disk w.r.t. } v\}$

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The base change is fully faithful on the level of Frobenius structure **if one assumes that** $\mathcal{R}_v \otimes_{\mathcal{E}_R^{\dagger}} M$ is as prescribed by the *p*-adic monodromy theorem.

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The base change functor is **not full**, both in the p-adic and the z-adic setting.

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The base change functor is **not full**, both in the p-adic and the z-adic setting.

This leads to a counterexample to FF for $\mathfrak{p} = \infty$.

Known cases of base change

Theorem (folklore)

The base change functor $\mathcal{E}\otimes_{\mathcal{E}_R^\dagger}-$ is fully faithful on pure isocrystals.

Yields FF for generic $\mathfrak{p},$ and $\mathfrak{p}=\infty$ for pure motives.

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Theorem (Ambrus Pal - M. '20)	
The base change functor $\mathcal{E}\otimes_{\mathcal{E}_{n}^{\dagger}}-$ is fully faithful or	n isocrystals
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The base change functor $\mathcal{E}\otimes_{\mathcal{E}_R^\dagger}-$ is fully faithful on isocrystals with "good" monodromy.

"good" = the result of the p-adic monodromy theorem translated to the z-adic setting.

Yields Watson's base change theorem, and FF for Drinfeld modules, special \mathfrak{p} . Also applies to $\mathfrak{p} = \infty$ when the motive has potential good reduction everywhere.