

# ALGEBRAIC $K$ -THEORY WITH CONTINUOUS CONTROL AT INFINITY

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ABSTRACT. Let  $(\overline{E}, \Sigma)$  be a pair of spaces consisting of a compact Hausdorff space  $\overline{E}$  and a closed subspace  $\Sigma$ . Let  $\mathfrak{A}$  be an additive category. This paper introduces the category  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  of geometric modules over  $E$  with coefficients in  $\mathfrak{A}$  and with continuous control at infinity. One of the main results is to show that the functor that sends a CW complex  $X$  to the algebraic  $K$ -theory of  $\mathcal{B}(cX, X; \mathfrak{A})$  is a homology theory. Here  $cX$  is the closed cone on  $X$  and  $X$  is its base.

The categories  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  are generalizations of the categories  $\mathcal{C}(Z; \mathfrak{A})$  of geometric modules and bounded morphisms introduced by Pedersen and Weibel [8]. Here  $(Z, \rho)$  is a complete metric space. If  $X$  is a finite CW complex and  $\mathcal{O}(X)$  is the metric space open cone on  $X$  considered in [9], then there is an inclusion of categories  $\mathcal{C}(\mathcal{O}(X); \mathfrak{A}) \rightarrow \mathcal{B}(cX, X; \mathfrak{A})$ . A second main result is that this inclusion induces an isomorphism on  $K$ -theory.

One advantage of the present approach is that  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  depends only on the topology of  $(\overline{E}, \Sigma)$  and not on any metric properties. This should make application of these ideas to problems involving stratified spaces, for example, more direct and natural.

## 0. INTRODUCTION

Let  $Z$  be a metric space with complete metric  $\rho$ ,  $\mathfrak{A}$  be an additive category and  $\mathcal{C}(Z; \mathfrak{A})$  be the category of geometric modules on  $Z$  with coefficients in  $\mathfrak{A}$  and bounded morphisms introduced and studied in [8] and [9]. We recall that an object in  $\mathcal{C}(Z; \mathfrak{A})$  is a collection  $A = \{A_x \in \mathfrak{A} \mid x \in Z\}$  with the property that for every bounded set  $K \subset Z$ ,  $\{x \in K \mid A_x \neq 0\}$  is finite. A morphism  $f : A \rightarrow B$  is a collection  $f = \{f_y^x \mid f_y^x : A_x \rightarrow B_y \text{ is a morphism in } \mathfrak{A}\}$  for which there is a number  $d$  such that  $f_y^x = 0$  if  $\rho(x, y) > d$ .

The categories  $\mathcal{C}(Z; \mathfrak{A})$  have been of interest and use both in algebra and topology. In [8], the categories  $\mathcal{C}(\mathbb{R}^{n+1}; \mathfrak{A})$  are used to construct a nonconnective spectrum  $\mathbf{K}(\mathfrak{A})$  delooping the usual  $K$ -theory spectrum for  $\mathfrak{A}$ . In [9], the categories  $\mathcal{C}(\mathcal{O}(X); \mathfrak{A})$ , where  $\mathcal{O}(X)$  is the open cone on  $X$ , are used to construct a homology theory on the category of finite CW complexes. Finally in [4], an equivariant version of these categories is used in the study of group actions.

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In this paper we describe a new approach to these ideas that depends only on the topology of the space  $Z$  and not on its metric properties. The advantage of this approach is that it can be applied more naturally and more directly to some topological problems than the “bounded” approach. This is especially true of problems that arise in stratified settings. An application that exploits the greater flexibility this gives will be given in [1].

Let  $\mathcal{E}$  be the category whose objects are pairs  $(\overline{E}; \Sigma)$  where  $\overline{E}$  is a compact Hausdorff space and  $\Sigma$  is a closed subspace. Let  $E = \overline{E} - \Sigma$ . (Although we do not require it, we often think of  $E$  as being dense in  $\overline{E}$ . We also think of  $\Sigma$  as the “space at infinity” of  $E$ .) A morphism in  $\mathcal{E}$  is a (not necessarily continuous) function  $f : \overline{E}_1 \rightarrow \overline{E}_2$  having  $F^{-1}(\Sigma_2) = \Sigma_1$ , for which  $f|_{E_1} : E_1 \rightarrow E_2$  is *proper* in the sense that if  $K \subset E_2$  is compact, then  $f^{-1}(K)$  has compact closure in  $E_1$ , and for which  $f$  is continuous at every point  $z \in \Sigma_1$ .

Let  $(\overline{E}, \Sigma) \in \mathcal{E}$  and  $\mathfrak{A}$  be an additive category. We define a new additive category  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  as follows: An object of  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  is a collection  $A = \{A_x \in \mathfrak{A} | x \in E\}$  of objects of  $\mathfrak{A}$  with the property that for each compact set  $K \subset E$ ,  $\{x \in K | A_x \neq 0\}$  is finite. A morphism  $f : A \rightarrow A'$  is a collection  $f = \{f_y^x | f_y^x : A_x \rightarrow A'_y \text{ is a morphism in } \mathfrak{A} \text{ and } x, y \in E\}$  that has the properties that for all  $x$ ,  $\{y | f_y^x \neq 0\}$  is finite and that for every point  $z \in \Sigma$  and every neighborhood  $U$  of  $z$  in  $\overline{E}$ , there is a neighborhood  $V$  of  $z$  such that  $f_y^x = 0$  whenever  $x \in V$  and  $y \notin U$ . (In particular, if  $y \in E$  and  $U = \overline{E} - \{y\}$ , the compactness of  $\Sigma$  implies that  $\{x | f_y^x \neq 0\}$  is finite.) We describe this condition by saying that  $f$  is *continuously controlled at infinity*. The additive structure of  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  is given by setting  $A \oplus A' = \{A_x \oplus A'_x\}$ .

We may regard  $\mathcal{B}(-, -, \mathfrak{A})$  as a functor from  $\mathcal{E}$  to additive categories as follows: Let  $h : (\overline{E}_1, \Sigma_1) \rightarrow (\overline{E}_2, \Sigma_2)$  be a morphism in  $\mathcal{E}$ . We define a functor  $h_* : \mathcal{B}(\overline{E}_1, \Sigma_1; \mathfrak{A}) \rightarrow \mathcal{B}(\overline{E}_2, \Sigma_2; \mathfrak{A})$  as follows: If  $A = \{A_x | x \in E_1\}$  is an object of  $\mathcal{B}(\overline{E}_1, \Sigma_1; \mathfrak{A})$ , let  $h_*(A)$  be the object  $\{B_z\}$  of  $\mathcal{B}(\overline{E}_2, \Sigma_2; \mathfrak{A})$  with  $B_z = \sum A_x$  where the sum runs over  $x \in h^{-1}(z)$ . Since the set  $h^{-1}(z)$  has compact closure in  $E_1$ , there are only finitely many  $x$  in  $h^{-1}(z)$  with  $A_x \neq 0$ . Hence the sum is finite. Similarly for any compact set  $K \subset E_2$ ,  $\{z \in K | B_z \neq 0\}$  is finite. Hence  $\{B_z\}$  is an object of  $\mathcal{B}(\overline{E}_2, \Sigma_2; \mathfrak{A})$ . Let  $f : A \rightarrow A'$  be the morphism  $f = \{f_y^x | x, y \in E_1\}$ . Then  $h_*(f) = \{g_w^z\} : h_*(A) \rightarrow h_*(A')$  is the morphism with  $g_w^z = \sum f_y^x$  where the sum runs over  $(x, y) \in h^{-1}(z) \times h^{-1}(w)$ . It is easily checked that  $h_*(f)$  is a morphism in  $\mathcal{B}(\overline{E}_2, \Sigma_2; \mathfrak{A})$  and that  $h_*$  is an additive functor.

For any additive category  $\mathfrak{A}$ , let  $\mathbb{K}\mathfrak{A}$  denote the classifying space  $B\mathcal{A}^{-1}\mathcal{A}$  where  $\mathcal{A} = \text{Iso } \mathfrak{A}$  is the category of isomorphisms in  $\mathfrak{A}$ . The algebraic  $K$ -theory of  $\mathfrak{A}$  is given by  $K_m(\mathfrak{A}) = \pi_m(\mathbb{K}\mathfrak{A})$  for  $m \geq 0$ . The algebraic  $K$ -theory of the additive category  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  is denoted by  $K_*\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  and is called the *algebraic  $K$ -theory of  $\overline{E}$  with continuous control at infinity*. If  $h : (\overline{E}_1, \Sigma_1) \rightarrow (\overline{E}_2, \Sigma_2)$  is a morphism in  $\mathcal{E}$ ,  $h$  induces a homomorphism

$$h_* : K_*\mathcal{B}(\overline{E}_1, \Sigma_1; \mathfrak{A}) \rightarrow K_*\mathcal{B}(\overline{E}_2, \Sigma_2; \mathfrak{A})$$

and we may regard  $K_*\mathcal{B}(-; \mathfrak{A})$  as a functor from  $\mathcal{E}$  to the category of graded abelian groups.

The closed-cone construction can be used to obtain many examples of pairs  $(\overline{E}, \Sigma)$  in  $\mathcal{E}$ . In particular if  $X$  is a non-empty compact Hausdorff space, we let  $cX$  be the closed cone on  $X$  (i. e. the quotient space obtained from  $X \times [0, 1]$  by identifying  $X \times \{0\}$  to a point  $c$ ) and  $(cX, X)$  be the pair in which  $X$  is the image of  $X \times \{1\}$  in  $cX$ . In  $X = \emptyset$ , then we let  $(cX, X) = (c, \emptyset)$ . In either case,  $(cX, X)$  is in  $\mathcal{E}$ . In fact, the closed cone construction defines a functor  $c : \mathcal{F} \rightarrow \mathcal{E}$  where  $\mathcal{F}$  is the category of compact Hausdorff spaces. The image of  $X \times [0, 1]$  in  $cX$  is denoted  $oX$  and is called the *small open cone* on  $X$ .

If  $\mathfrak{A}$  is an additive category, we let  $\hat{\mathfrak{A}}$  be the *idempotent completion* [3] of  $\mathfrak{A}$ ; that is,  $\hat{\mathfrak{A}}$  is the category with objects  $(A, p)$  where  $A \in \mathfrak{A}$  and  $p : A \rightarrow A$  has  $p^2 = p$  and with morphisms  $f : (A, p) \rightarrow (B, q)$  those morphisms  $f : A \rightarrow B$  in  $\mathfrak{A}$  for which  $f = qfp$ .

Let  $X$  be a compact metrizable space and  $S^n X$  be its  $n$ th suspension. We will prove that the collection of spaces  $\tilde{\mathbf{K}}(X; \mathfrak{A}) = \{\mathbb{K}\hat{\mathcal{B}}(cS^n X, S^n X; \mathfrak{A}) | n = 0, 1, 2, \dots\}$  is a spectrum. This spectrum is nonconnective and its stable homotopy is denoted by  $\tilde{K}_*(X; \mathfrak{A})$ . It is studied in Section 4.

**Theorem I.** *For any additive category  $\mathfrak{A}$ , the functor  $X \mapsto \tilde{K}_*(X; \mathfrak{A})$  is a reduced homology theory on the category of compact metrizable spaces.*

The reader should see Theorem 5.2 for a more complete statement of this theorem.

The main steps in the proof of Theorem I are showing that if  $X = vY$  is the closed cone on  $Y$ , the  $K$ -theory classifying space of  $X$  is contractible and establishing a Mayer-Vietoris property. These steps are done in Corollary 2.3 and Theorem 4.4 respectively. Here we have written  $X = vY$  instead of  $X = cY$  since we must form  $cX$  to get the homology of  $X$  and it is convenient to distinguish the two cone points  $c$  and  $v$ .

For any pair of compact metrizable spaces  $(X, A)$ , let  $K_*(X, A; \mathfrak{A}) = \tilde{K}_*(X \cup_A vA; \mathfrak{A})$  where  $vA$  is the cone on  $A$  with vertex  $v$  and we take  $vA$  to be a point if  $A = \emptyset$ . To simplify notation, throughout this paper we shall write  $X \cup_v A$  instead of the more precise  $X \cup_A vA$ .

**Theorem II.** *The functor  $K_*(-; \mathfrak{A})$  is a homology theory on the category of pairs of compact metrizable spaces. If we restrict this homology theory to the category of pairs of finite CW complexes, its representing spectrum  $\mathbf{K}(pt; \mathfrak{A}) = \tilde{\mathbf{K}}(S^0; \mathfrak{A})$  has  $\Omega\mathbf{K}(pt; \mathfrak{A}) = \mathbf{K}(\mathfrak{A})$ .*

The reader should see Theorem 5.3 for a more complete statement of this theorem. In this theorem  $\mathbf{K}(\mathfrak{A})$  is the nonconnective spectrum for the  $K$ -theory of  $\mathfrak{A}$  constructed in [8]. It follows from this theorem and the main result of [9] that  $K_*(X; \mathfrak{A}) = H_{*-1}(X, \mathbf{K}(\mathfrak{A}))$  for every compact polyhedron  $X$  where the right-hand side is the homology of  $X$  with coefficients in the spectrum  $\mathbf{K}(\mathfrak{A})$ .

In proving the last part of Theorem II, the idea is to show that  $\tilde{\mathbf{K}}(S^0; \mathfrak{A})$  and the spectrum  $\tilde{\mathbf{K}}'(pt; \mathfrak{A})$  of [9] have isomorphic homotopy groups. In positive dimensions, this is accomplished in Lemma 5.5 and in nonpositive dimensions, in Lemma 5.6. The proof of

Lemma 5.6 is based on the results in section 2 and the construction of a ‘‘Bass-Heller-Swan’’ homomorphism motivated by the discussion in [7, Section 2].

Let  $X$  be a finite CW complex and  $\mathcal{O}(X)$  be the *large open cone* on  $X$  (i. e. the space obtained from  $X \times [0, \infty)$  by identifying  $X \times \{0\}$  to a point  $c$ ). If we identify  $\mathcal{O}(X)$  with  $oX$  under the homeomorphism that sends  $[\xi, t]$  to  $[\xi, 1 - t/(1 + t)]$ , there is an inclusion of categories  $i : \mathcal{C}(\mathcal{O}(X); \mathfrak{A}) \rightarrow \mathcal{B}(cX, X; \mathfrak{A})$  obtained by noticing that any morphism in  $\mathcal{C}(\mathcal{O}(X); \mathfrak{A})$  is continuously controlled at infinity under the above identification. Let

$$i_* : K_*^b(X; \mathfrak{A}) \rightarrow K_*(X; \mathfrak{A})$$

be the induced homomorphism on homology where  $K_*^b(X; \mathfrak{A})$  is the homology of  $X$  using bounded morphisms as described in [9]. The next result follows easily from Theorem II.

**Corollary III.** *For any finite CW complex  $X$ ,  $i_* : K_*^b(X; \mathfrak{A}) \rightarrow K_*(X; \mathfrak{A})$  is an isomorphism.*

In a recent paper [12], Vogell describes another approach to proving Theorems I and II for finite CW complexes. Although his approach in that case is simpler than the one taken here, it does not work in the full generality of this paper.

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## 1. SOME ELEMENTARY PROPERTIES OF THE CATEGORIES $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$

We collect here some elementary properties of the categories  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  that will be useful later in this paper and illustrate the topological flavor of this category.

Let  $(\overline{E}, \Sigma) \in \mathcal{E}$ ,  $\mathfrak{A}$  be an additive category and  $A = \{A_x | x \in E\} \in \mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . The set  $\text{supp}_\infty(A) = \text{cl}\{x \in E | A_x \neq 0\} \cap \Sigma$  is called the *support of  $A$  at infinity*. Notice that  $z \in \text{supp}_\infty(A)$  if and only if every neighborhood  $U$  of  $z$  contains a point  $x \in E$  with  $A_x \neq 0$ .

**Lemma 1.1.** *Let  $A, B \in \mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . If  $A$  is isomorphic to  $B$ , then  $\text{supp}_\infty(A) = \text{supp}_\infty(B)$ .*

*Proof.* Let  $f = \{f_y^x : A_x \rightarrow B_y\}$  be an isomorphism from  $A$  to  $B$ . Let  $z \in \text{supp}_\infty(A)$  and  $U$  be a neighborhood of  $z$  in  $\overline{E}$ . Then there is a smaller neighborhood  $V$  of  $z$  so that if  $x \in V$  and  $f_y^x \neq 0$ , then  $y \in U$ . Since  $z \in \text{supp}_\infty(A)$ , there is an  $x \in V$  with  $A_x \neq 0$ . Since  $f$  is an isomorphism, there is a  $y$  with  $f_y^x \neq 0$ . Hence  $B_y \neq 0$  for some  $y$  in  $U$ ,  $z \in \text{supp}_\infty(B)$ , and  $\text{supp}_\infty(A) \subset \text{supp}_\infty(B)$ . Lemma 1.1 follows easily.  $\square$

Let  $(\overline{E}, \Sigma) \times I = (\overline{E} \times I, \Sigma \times I)$ . Then lemma 1.1 shows that there are objects in  $\mathcal{B}(\overline{E}, \Sigma) \times I, \mathfrak{A}$  that are not isomorphic to objects in the subcategory  $\mathcal{B}((\overline{E}, \Sigma) \times \{0\}, \mathfrak{A})$ . Hence the inclusion

$$i : \mathcal{B}((\overline{E}, \Sigma) \times \{0\}, \mathfrak{A}) \rightarrow \mathcal{B}((\overline{E}, \Sigma) \times I, \mathfrak{A})$$

is not an equivalence of categories.

**Lemma 1.2.** *Let  $(\overline{E}, \Sigma) \in \mathcal{E}$ ,  $K \subset \overline{E}$  be closed and  $W \subset \Sigma$  be disjoint from  $K$ . Let  $A, B \in \mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  and  $f : A \rightarrow B$ . Then there exists a neighborhood  $U$  of  $W$  in  $\overline{E} - K$  so that if either  $(x, y)$  or  $(y, x)$  is in  $U \times K$ , then  $f_y^x = 0$ .*

*Proof.* Suppose first that  $W$  is a single point  $w$ . Then there are disjoint open sets  $U'$  and  $V'$  with  $w \in U'$  and  $K \subset V'$ . For each  $z \in K \cap \Sigma$ , there is a neighborhood  $V_z$  so that if  $f_y^x \neq 0$  and  $x \in V_z$ , then  $y \in V'$ . Let  $V = \bigcup \{V_z | z \in K \cap \Sigma\}$ . For all  $x \in V$ , if  $f_y^x \neq 0$ , then  $y \in V'$ . Since  $C = K \cap (\overline{E} - V)$  is compact and contained in  $E$ , the sets  $\{x \in C | A_x \neq 0\}$  and  $\{y \in E | f_y^x \neq 0, x \in C\}$  are finite. Let  $U = U' - \{y \in E | f_y^x \neq 0, x \in K\}$ . Then  $U$  is an open neighborhood of  $w$  and for all  $(x, y) \in K \times U$ ,  $f_y^x = 0$ . Since  $f$  is continuous at  $w$ , we may replace  $U$  by a smaller neighborhood of  $w$ , if necessary to insure that if  $(x, y) \in U \times K$ , then  $f_y^x = 0$ . The lemma follows in case  $W$  is a single point.

In the general case choose a neighborhood  $U_w$  for each  $w \in W$  as in the preceding paragraph. Then  $U = \bigcup \{U_w | w \in W\}$  works.  $\square$

Let  $f : (\overline{E}_1, \Sigma_1) \rightarrow (\overline{E}_2, \Sigma_2)$  be a map in  $\mathcal{E}$  for which  $f|_{\Sigma_1} : \Sigma_1 \rightarrow \Sigma_2$  is a homeomorphism. We say that  $f$  is an *equivalence at infinity* if for every  $p \in \Sigma_1$  and every neighborhood  $U$  of  $p$  in  $\overline{E}_1$ , there exists a neighborhood  $V$  of  $f(p)$  in  $\overline{E}_2$  with  $f^{-1}(V) \subset U$ . This term is explained in the following lemma:

**Lemma 1.3.** *Let  $f : (\overline{E}, \Sigma) \rightarrow (\overline{E}, \Sigma)$  be a map in  $\mathcal{E}$  for which  $f|_{\Sigma} = 1$ . Then there is a natural transformation  $\eta : I \rightarrow f_*$  where  $I$  is the identity functor of  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . If  $f$  is an equivalence at infinity, then  $\eta$  is a natural equivalence. Hence  $f$  induces the identity on  $K$ -theory.*

*Proof.* Let  $A = \{A_x\}$  be an object of  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  and set  $B = f_*(A)$ . Let  $\eta(A) = \{\eta_y^x\} : A \rightarrow B$  be the morphism for which  $\eta_y^x$  is the inclusion of the  $A_x$  summand into  $B_y$  if  $y = f(x)$  and is 0 otherwise. Since  $f$  is a map in  $\mathcal{E}$ , for every  $p \in \Sigma$  and every neighborhood  $U$  of  $p$  there is a neighborhood  $V$  so that  $f(V) \subset U$ . hence  $\eta(A)$  is a morphism in  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . It is easily checked that  $\eta = \{\eta(A) : I \rightarrow f_*\}$  is a natural transformation.

Suppose that  $f$  is an equivalence at infinity. To see that  $\eta$  is a natural equivalence, it suffices to show that for each  $A$ ,  $\eta(A)$  is an isomorphism. Let  $\rho(A) : \{\rho_y^x\} : B \rightarrow A$  be the morphism for which  $\rho_y^x$  is the projection of  $B_x$  on the  $A_y$  summand if  $y \in f^{-1}(x)$  and is 0 otherwise. Since the set  $\{y | \rho_y^x \neq 0\} = \{x | \eta_x^y \neq 0\}$ , it is finite. Let  $p \in \Sigma$  and  $U$  be a neighborhood of  $p$ . By hypothesis there is a neighborhood  $V$  of  $p$  with  $f^{-1}(V) \subset U$ . hence  $\rho(A)$  is a morphism in  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . It is easily checked that  $\rho(A)$  is an inverse of  $\eta(A)$ .

The following general observations show that  $f$  induces the identity on  $K$ -theory: Let  $F, G : \mathfrak{A} \rightarrow \mathfrak{B}$  be additive functors between additive categories and  $\eta : F \rightarrow G$  be a natural equivalence. Then  $F, G$  induce functors  $\overline{F}, \overline{G} : \mathfrak{A}^{-1}\mathfrak{A} \rightarrow \mathfrak{B}^{-1}\mathfrak{B}$  and  $\eta$  induces a natural transformation  $\overline{\eta} : \overline{F} \rightarrow \overline{G}$ . Hence  $F$  and  $G$  induce the same homomorphism on  $K$ -theory.  $\square$

**Corollary 1.4.** *Let  $h_1, h_2 : (\bar{E}, \Sigma) \rightarrow (\bar{E}, \Sigma)$  be homeomorphisms with  $h_1|_{\Sigma} = h_2|_{\Sigma}$ . Then there is a natural equivalence  $\eta : h_{1*} \rightarrow h_{2*}$ . Hence  $h_1$  and  $h_2$  induce the same map on  $K$ -theory.*

*Proof.* Since  $f = (h_1^{-1})h_2$  satisfies the hypothesis of Lemma 1.3, there is a natural equivalence  $\eta' : I \rightarrow f_*$  where  $I$  is the identity functor. Then  $\eta = h_{1*}(\eta')$  is the desired natural equivalence.  $\square$

A morphism  $i : (\bar{E}_1, \Sigma_1) \rightarrow (\bar{E}_2, \Sigma_2)$  is an inclusion in  $\mathcal{E}$  if  $i : \bar{E}_1 \rightarrow \bar{E}_2$  is an inclusion and  $\Sigma_1 = \bar{E}_1 \cap \Sigma_2$ .

**Corollary 1.5.** *Let  $(\bar{E}_1, \Sigma_1)$  and  $(\bar{E}_2, \Sigma_2)$  be objects in  $\mathcal{E}$  with  $\Sigma_1 = \Sigma_2$ . Let  $i : (\bar{E}_1, \Sigma_1) \rightarrow (\bar{E}_2, \Sigma_2)$  be an inclusion and  $r : (\bar{E}_2, \Sigma_2) \rightarrow (\bar{E}_1, \Sigma_1)$  be a retraction in  $\mathcal{E}$ . Suppose that  $r$  is an equivalence at infinity. Then  $i_* : \mathcal{B}(\bar{E}_1, \Sigma_1; \mathfrak{A}) \rightarrow \mathcal{B}(\bar{E}_2, \Sigma_2; \mathfrak{A})$  is an equivalence of categories.*

*Proof.* Since  $r$  is a retraction,  $ri = 1$  and  $r_*i_*$  is the identity functor. Since  $i$  is clearly an equivalence at infinity and  $r$  is an equivalence at infinity by hypothesis,  $ir$  is an equivalence at infinity. Hence  $i_*r_*$  is naturally equivalent to the identity by Lemma 1.3. Corollary 1.5 follows  $\square$

We now introduce a technical concept that is used in the proof of theorem 3.1. Let  $(\bar{E}_0, \Sigma_0) \rightarrow (\bar{E}, \Sigma) \in \mathcal{E}$  be an inclusion in  $\mathcal{E}$ . We say that  $(\bar{E}_0, \Sigma_0)$  is an *eventual neighborhood retract* in  $(\bar{E}, \Sigma)$  if there is a closed neighborhood  $N$  of  $\Sigma_0$  in  $\bar{E}$  and a function  $r : (N - \Sigma) \cup \bar{E}_0 \rightarrow \bar{E}_0$  with  $r^{-1}(\Sigma_0) = \Sigma_0$  and  $r|_{\bar{E}_0} = \text{id}$  with the following property: For every open neighborhood  $W$  of  $\Sigma - \Sigma_0$  in  $\bar{E} - \bar{E}_0$ ,  $r_W = r| : [(N - \Sigma - W) \cup \bar{E}_0] \rightarrow (\bar{E}_0, \Sigma_0)$  is an equivalence at infinity. This amounts to requiring that for every triple  $(p, U, W)$  consisting of a point  $p \in \Sigma_0$ , a neighborhood  $U$  of  $p$  in  $\bar{E}$ , and an open neighborhood  $W$  of  $\Sigma - \Sigma_0$  in  $\bar{E}$ , there is a neighborhood  $V$  of  $p$  in  $\bar{E}_0$  with  $r^{-1}(V) \subset U \cup W$ . The reader will note that only the restrictions  $r_W$  of  $r$ , and not  $r$  itself need be morphisms in  $\mathcal{E}$  and that  $r$  need to be continuous only at infinity. The function  $r$  is called an *eventual retraction*.

The diagram below illustrates the definition of an eventual neighborhood retraction  $r$ . In it,  $r$  is defined on  $(N - \Sigma) \cup \bar{E}_0$ . It is “well behaved” on  $(N - \Sigma - W) \cup \bar{E}_0$  for every  $W$ .



**Proposition 1.6.** *Suppose that  $\Sigma_0 \subset \Sigma$  is a neighborhood retract. Then  $(c\Sigma_0, \Sigma_0)$  is an eventual neighborhood retract in  $(c\Sigma, \Sigma)$ .*

*Proof.* By replacing  $\Sigma$  with an appropriate closed neighborhood of  $\Sigma_0$ , we may assume there is a continuous retraction  $\rho : \Sigma \rightarrow \Sigma_0$ . Let  $N = c\Sigma$  and  $r : N \rightarrow c\Sigma_0$  be the cone on  $\rho$ . Let  $W$  be an open neighborhood of  $\Sigma - \Sigma_0$  not meeting  $c\Sigma_0$ . Then  $r_W = r|_{(c\Sigma - W)} : (c\Sigma - W, \Sigma_0) \rightarrow (c\Sigma_0, \Sigma_0)$  is a morphism in  $\mathcal{E}$  and  $ri = 1$  where  $i : (c\Sigma_0, \Sigma_0) \rightarrow (c\Sigma - W, \Sigma_0)$  is the inclusion.

Let  $U$  be any open neighborhood of  $p \in \Sigma_0$  in  $c\Sigma$ . Then there is an open neighborhood  $V$  of  $p$  in  $c\Sigma_0$  with  $(r_W)^{-1}(V) \subset U$ . If not, then for each neighborhood  $V$  of  $p$ , there is a point  $x_V \in c\Sigma - W - U$  with  $r(x_V) \in V$ . Then the net  $\{r(x_V)|V \in \mathcal{V}\}$  converges to  $p$  where  $\mathcal{V}$  is the set of neighborhood of  $p$ . Let  $\mathcal{B}$  be the set of neighborhoods of  $p$  that do not contain  $c$ . Then  $\mathcal{B}$  is a base of the neighborhoods of  $p$ . hence  $\{r(x_V) = (c\rho)(x_V)|V \in \mathcal{B}\}$  also converges to  $p$ . On the other hand,  $\{x_V|V \in \mathcal{B}\}$  converges to some point  $q$  of  $c\Sigma$ . Since  $x_V \in c\Sigma - W - U$  which is compact,  $q \in \overline{c\Sigma - W - U} \subset c\Sigma - \Sigma$ . In particular  $q$  and  $(c\rho)(q)$  are not in  $\Sigma$ . Hoever, since  $c\rho$  is continuous,  $(c\rho)(q) = p \in \Sigma_0$ . This is a contradiction.  $\square$

If  $X$  is a nonempty space, let  $SX$  be the unreduced suspension of  $X$  (i. e. space obtained from  $X \times [-1, 1]$  by identifying  $X \times \{i\}$  to  $\{i\}$  for  $i = \pm 1$ ). If  $X = \emptyset$ , let  $SX = S\emptyset = S^0$  be the discrete two-point space.

**Proposition 1.7.** *If  $\Sigma_0 \subset \Sigma$  is a neighborhood retract, then so is  $S\Sigma_0 \subset S\Sigma$ .*

*Proof.* Let  $r : N \rightarrow \Sigma_0$  be a continuous retraction of a closed neighborhoos  $N$  of  $\Sigma_0$  in  $\Sigma$  onto  $\Sigma_0$ . Let  $v\Sigma$  be the cone on  $\Sigma$  with vertex  $v$ . We show first that there is a closed neighborhood  $N_1$  of  $v\Sigma_0$  and a continuous retraction  $r_1 : N_1 \rightarrow v\Sigma_0$  with  $N \subset N_1$ ,  $N_1 \cap \Sigma_0 = N$ , and  $r_1|_N = r$ . To see this, let  $h : I \times I \rightarrow I \times I$  be a function with the following properties:

- (i) For all  $s \in I$ ,  $h(s, 0) = (s, 0)$  and  $h(s, 1) = (s, 1)$ .
- (ii) If  $\frac{3}{4} \leq s \leq 1$  and  $\frac{1}{2} \leq t \leq 1$ , then  $h(s, t) = (s, 1)$ .

Such a function can be constructed using standard elementary techniques. Let  $\phi : \Sigma \rightarrow I$  be a continuous map for which  $\phi(\Sigma_0) = 0$  and  $\phi(\Sigma - \text{Int } N) = 1$ . Define  $H : \Sigma \times I \rightarrow \Sigma \times I$  by setting  $H(x, t) = (x, h(\phi(x), t))$ . By (1),  $H(x, 0) = (x, 0)$  and  $H(x, I) = (x, 1)$  for all  $x$ . Hence  $H$  induces a continuous map  $H' : v\Sigma \rightarrow v\Sigma$  for which  $H'[x, 0] = [x, 0]$  for all  $x$  and  $H'(v) = v$ . Let  $N_1 = q(N \times I \cup \Sigma \times [\frac{1}{2}, 1])$ . Then  $N_1$  is a closed neighborhood of  $v\Sigma_0$  in  $v\Sigma$ . Since  $H(x, t) = (x, 1)$  for all  $(x, t) \in \phi^{-1}[\frac{3}{4}, 1] \times [\frac{1}{2}, 1]$  by (2), for such  $(x, t)$ ,  $H'[x, t] = v$  and  $H'(N_1) \subset vN$ . We now set  $r_1 = (vr)H' : N_1 \rightarrow v\Sigma_0$  and observe that  $r_1$  has the properties claimed.

Let  $S\Sigma = v\Sigma \cup w\Sigma$  where the union is along  $\Sigma$ . By gluing two copies of the map  $r_1$  together along the common subspace  $N$ , we obtain a neighborhood  $N_2$  of  $S\Sigma_0$  in  $S\Sigma$  and continuous retraction  $r_2 : N_2 \rightarrow S\Sigma_0$ . This completes the proof of Proposition 1.7  $\square$

## 2. THE STRUCTURE OF THE CATEGORY $\mathcal{B}(cX, X; \mathfrak{A})$

Let  $(X, d)$  be a compact metric space  $X$  together with a metric for which  $\text{diam } X \leq 2$ . In this section we shal determine the structure of  $\mathcal{B}(cX, X; \mathfrak{A})$ . We also use this result to show that  $K_*\mathcal{B}(cX, X; \mathfrak{A}) = 0$  if  $X = vY$  where  $Y$  is a compact metrizable space.

Let  $\mathcal{O}(X)$  be the *large open cone* on  $X$  (i. e. the space obtained from  $X \times [0, \infty)$  by identifying  $X \times \{0\}$  to a point  $c$ ). It was observed in [11] (cf. also [2] that setting

$$(1) \quad \rho(x, y) = \min\{s, t\}d(\xi, \eta) + |s - t|$$

for  $x = [\xi, t]$  and  $y = [\eta, s]$  in polar coordinates, gives a metric on  $\mathcal{O}(X)$ . We identify  $\mathcal{O}(X)$  with  $o(X)$  under the homeomorphism that sends  $[\xi, t]$  to  $[\xi, 1 - t/(1 + t)]$  and write  $cX$  as  $\mathcal{O}(X) \cup X(\infty)$  where  $X(\infty)$  is a copy of  $X$  “at infinity”.

For any numbers  $r < s \leq \infty$ , let  $\langle r, s \rangle = \{[\xi, t] \in \mathcal{O}(X) | r \leq t < s\}$ . If  $\xi \in X$  and  $\beta > 0$ , let  $\text{ang}(\xi, \beta) = \{[\zeta, s] | 0 < s \text{ and } d(\zeta, \xi) < \beta\}$ . By an abuse of language if  $x = [\xi, t] \neq c$  in  $\mathcal{O}(X) \cup X(\infty)$  and  $\beta > 0$ , we shall write  $\text{ang}(x, \beta)$  for  $\text{ang}(\xi, \beta)$ . Finally for  $f \in \mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  and  $x \in \mathcal{O}(X)$ , let  $S_x(f) = \{y \in \mathcal{O}(X) | f_y^x \neq 0\}$ .

Let  $\underline{r} = (r_1, r_2, r_3, \dots)$  be an increasing sequence of positive integers. Let  $\mathcal{B}_{\underline{r}}$  be the subcategory of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  containing all the objects of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  but only those morphisms  $f : A \rightarrow B$  for which there are constants  $\alpha, \beta$  and  $\gamma$  so that

For almost all  $k$ , if  $x \in \langle r_k, r_{k+1} \rangle$ , then

$$(2) \quad S_x(f) \subset \langle r_{k-\alpha}, r_{k+1+\alpha} \rangle \cap \text{ang}(x, \frac{\beta}{k-\gamma}).$$

Of course for (2) to make sense, we must have  $k > \gamma$  and  $k \geq \alpha$ . A simple calculation shows that if  $f'$  and  $f''$  are in  $\mathcal{B}_{\underline{r}}$ , then so is  $f''f'$  and thus that  $\mathcal{B}_{\underline{r}}$  really is a subcategory of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$ .

The structure of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  is given by the following theorem:

**Theorem 2.1.** *Let  $X$  be a compact metric space with  $\text{diam } X \leq 2$  and  $\mathfrak{A}$  be any additive category. Then the collection  $\{\mathcal{B}_{\underline{r}}\}$  forms a direct system of subcategories of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  ordered by inclusion. that is*

- (i)  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A}) = \bigcup \mathcal{B}_{\underline{r}}$
- (ii) if  $\underline{r}'$  and  $\underline{r}''$  are two such sequences, then there is a sequence  $\underline{r}$  with  $\mathcal{B}_{\underline{r}'} \subset \mathcal{B}_{\underline{r}}$  and  $\mathcal{B}_{\underline{r}''} \subset \mathcal{B}_{\underline{r}}$ .

Furthermore, for every increasing sequence  $\underline{r}$ ,  $\mathcal{B}_{\underline{r}}$  is isomorphic to  $\mathcal{C}(\mathcal{O}(X); \mathfrak{A})$ .

Theorem 2.1 is a direct consequence of Lemma 2.4-2.8.

**Corollary 2.2.** *Let  $X$  be a compact metric space with  $\text{diam } X \leq 2$  and  $\mathfrak{A}$  be an additive category. Then  $K_*\mathcal{B}(cX, X; \mathfrak{A}) = \text{colim} K_*\mathcal{B}_{\underline{r}}$ .*

The colimit here is over the family  $\{\mathcal{B}_{\underline{r}}\}$  of subcategories of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  and we use the identification of this category with  $\mathcal{B}(cX, X; \mathfrak{A})$  given above to simplify notation. The corollary is an immediate consequence of Theorem 2.1.

We recall that for any additive category  $\mathfrak{A}$ , its *idempotent completion* [3] is the category  $\hat{\mathfrak{A}}$  with objects  $(A, p)$  where  $A \in \mathfrak{A}$  and  $p : A \rightarrow A$  has  $p^2 = p$  and with morphisms  $f : (A, p) \rightarrow (B, q)$  those morphisms  $f : A \rightarrow B$  in  $\mathfrak{A}$  for which  $f = qfp$ .



**Corollary 2.3.** *Suppose  $X = vY$  is the closed cone on the compact metrizable space  $Y$ . Then the spaces  $\mathbb{K}\mathcal{B}(cX, X; \mathfrak{A})$  and  $\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A})$  are contractible.*

*Proof.* Any compact, metrizable space has a metric  $d$  of diameter  $\leq 2$ . For this metric  $\mathcal{B}(cX, X; \mathfrak{A}) = \bigcup \mathcal{B}_{\underline{r}}$  and hence  $\hat{\mathcal{B}}(cX, X; \mathfrak{A}) = \bigcup \hat{\mathcal{B}}_{\underline{r}}$ . By Theorem 2.1,  $\mathcal{B}_{\underline{r}}$  is isomorphic to  $\hat{\mathcal{C}}(\mathcal{O}(X); \mathfrak{A})$  which is flasque by [9]. hence  $K_*\hat{\mathcal{B}}_{\underline{r}} = K_*\hat{\mathcal{C}}(\mathcal{O}(X); \mathfrak{A}) = 0$  and  $K_*\hat{\mathcal{B}}(cX, X; \mathfrak{A}) = \text{colim} K_*\hat{\mathcal{B}}_{\underline{r}} = 0$ . It follows that  $\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A})$  is contractible. The proof that  $\mathbb{K}\mathcal{B}(cX, X; \mathfrak{A})$  is contractible is similar.  $\square$

**Lemma 2.4.** *Let  $f \in \mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  and suppose that  $r > 0$  and  $\beta > 0$  are given. then there is an  $R > 0$  so that for all  $x \in \langle R, \infty \rangle$ ,  $S_x(f) \subset \langle r, \infty \rangle \cap \text{ang}(x, \beta)$ .*

*Proof.* Suppose no such  $R$  exists. Then there exist sequences  $x_n = [\xi_n, t_n]$  and  $y_n = [\zeta_n, s_n]$  ( $n = 1, 2, 3, \dots$ ) so that for all  $n$ ,  $x_n \in \langle n, \infty \rangle$ ,  $y_n \in S_{x_n}(f)$  and either  $s_n \leq r$  or  $d(\zeta_n, \xi_n) \geq \beta$ . By choosing a subsequence if necessary, we may assume  $x_n \rightarrow x \in X(\infty)$ . Since  $f$  is continuously controlled at infinity,  $y_n \rightarrow x$ . Hence  $s_n \rightarrow \infty$  and  $d(\zeta_n, \xi_n) \rightarrow 0$ . This contradicts the choices of  $x_n$  and  $y_n$ .  $\square$

**Lemma 2.5.** *For any  $f \in \mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  there is a sequence  $\underline{r}$  with  $f \in \mathcal{B}_{\underline{r}}$ .*

*Proof.* The proof is inspired by an argument of Hughes given in [5, Section 5]. We construct the sequence  $\underline{r}$  by induction. Use Lemma 2.4 to choose  $r_1$ , so that if  $x \in \langle r_1, \infty \rangle$ , then  $S_x(f) \subset \text{ang}(x, 1)$ . Suppose  $r_1, \dots, r_n$  constructed so that

- (i) if  $x \in \langle r_k, \infty \rangle$ , then  $S_x(f) \subset \langle r_{k-1}, \infty \rangle \cap \text{ang}(x, 1/k)$  for  $k \leq n$ ; and
- (ii) if  $x \in \langle 0, r_k \rangle$ , then  $S_x(f) \subset \langle 0, r_{k+1} \rangle$  for  $k < n$ .

Now use Lemma 2.4 to choose  $r_{n+1}$  so that if  $x \in \langle r_n, \infty \rangle$ , then (i) holds for  $k = n + 1$ . Since there are only finitely many non-zero  $f_y^x$  with  $x \in \langle 0, r_n \rangle$ , we may also choose  $r_{n+1}$  large enough that (ii) also holds. this completes the construction of  $\underline{r}$ .

If  $x \in \langle r_n, r_{n+1} \rangle$ , then  $S_x(f) \subset \langle r_{n-1}, r_{n+2} \rangle \cap \text{ang}(x, 1/n)$ . By letting  $\alpha = 1, \beta = 1$  and  $\gamma = 0$  in (2), this shows that  $f \in \mathcal{B}_{\underline{r}}$ .  $\square$

**Lemma 2.6.** *Let  $\underline{r}_0 = (1, 2, 3, \dots)$ . Then  $\mathcal{B}_{\underline{r}_0} = \mathcal{C}(\mathcal{O}(X); \mathfrak{A})$ .*

*Proof.* Let  $f \in \mathcal{B}_{\underline{r}_0}$ ,  $x = [\xi, t]$ ,  $y = [\eta, s]$ , and suppose that  $f_y^x \neq 0$ . Then if  $x \in \langle k, k+1 \rangle$ ,

$$\begin{aligned} \rho(x, y) &= \min\{s, t\}d(\xi, \eta) + |s - t| \\ &\leq \min\{s, t\} \frac{\beta}{k - \gamma} + \alpha + 1 \leq (k + \alpha + 1) \frac{\beta}{k - \gamma} + \alpha + 1. \end{aligned}$$

Since  $(k + 1 + \alpha)(k - \gamma) \rightarrow 1$  as  $k \rightarrow \infty$ ,  $\rho(x, y) < 2\beta + \alpha + 1$  for all  $x = [\xi, t]$  with  $t$  sufficiently large. Since there are only finitely many  $f_y$  omitted by this process, there is a number  $B$  so that  $\rho(x, y) < B$  for all  $x, y$  with  $f_y \neq 0$ . Thus  $\mathcal{B}_{\underline{r}_0} \subset \mathcal{C}(\mathcal{O}(X); \mathfrak{A})$ .

Let  $x \in \langle n, n+1 \rangle$  and suppose  $d > 0$  is given. Simple estimates using (1) show that  $B(x, d) \subset \langle n-d, n+d+1 \rangle \cap \text{ang}(x, d/(n-d))$ . Hence if  $f \in \mathcal{C}(\mathcal{O}(X); \mathfrak{A})$  has the property

that  $\rho(x, y) < d$  when  $f_y \neq 0$ , then  $f \in \mathcal{B}_{\underline{r}_0}$  with constants  $\alpha = \beta = \gamma = d$  in (2). Thus  $\mathcal{C}(\mathcal{O}(X); \mathfrak{A}) \subset \mathcal{B}_{\underline{r}_0}$ . This completes the proof of Lemma 2.6.  $\square$

**Lemma 2.7.** *For every sequence  $\underline{r}$ ,  $\mathcal{B}_{\underline{r}}$  is a subcategory of  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  and there is an isomorphism of categories  $h_{\underline{r}^*} : \mathcal{B}_{\underline{r}_0} \rightarrow \mathcal{B}_{\underline{r}}$ .*

*Proof.* Let  $\rho_{\underline{r}} : [0, \infty) \rightarrow [0, \infty)$  be the homeomorphism that maps  $[k, k+1]$  linearly onto  $[r_k, r_{k+1}]$  and set  $h_{\underline{r}}[\xi, t] = [\xi, \rho_{\underline{r}}(t)]$ . Then  $h_{\underline{r}}$  induces an automorphism  $h_{\underline{r}^*}$  of the category  $\mathcal{B}(\mathcal{O}(X) \cup X(\infty), X(\infty); \mathfrak{A})$  onto itself whose inverse is  $(h_{\underline{r}}^{-1})_*$ . Straightforward calculation shows that  $h_{\underline{r}^*}(\mathcal{B}_{\underline{r}_0}) \subset \mathcal{B}_{\underline{r}}$  and that  $(h_{\underline{r}}^{-1})_*(\mathcal{B}_{\underline{r}}) \subset \mathcal{B}_{\underline{r}_0}$  from which Lemma 2.7 follows.  $\square$

**Lemma 2.8.** *Let  $\underline{r}' = (r'_1, r'_2, r'_3, \dots)$  and  $\underline{r}'' = (r''_1, r''_2, r''_3, \dots)$  be increasing sequences of integers. Then there is a sequence  $\underline{r}$  so that  $\mathcal{B}_{\underline{r}'} \subset \mathcal{B}_{\underline{r}} \supset \mathcal{B}_{\underline{r}''}$ .*

We say that a sequence  $\underline{s}$  *splices* the sequences  $\underline{t}$  if  $t_n < s_n < t_{n+1}$  for all  $n$ . Notice that if  $\underline{s}$  splices  $\underline{t}$ , the  $\mathcal{B}_{\underline{t}} = \mathcal{B}_{\underline{s}}$ .

*Proof.* Clearly one can choose subsequences  $\underline{s}'$  and  $\underline{s}''$  of  $\underline{r}'$  and  $\underline{r}''$  respectively so that  $\underline{s}'$  splices  $\underline{s}''$ . Then  $\mathcal{B}_{\underline{s}'} = \mathcal{B}_{\underline{s}''}$ . Since  $\underline{s}''$  is a subsequence of  $\underline{r}'$ , we see that  $\mathcal{B}_{\underline{r}'} \subset \mathcal{B}_{\underline{s}'}$ . Similarly  $\mathcal{B}_{\underline{r}''} \subset \mathcal{B}_{\underline{s}''}$ . The proof is completed by letting  $\underline{r} = \underline{s}'$ .  $\square$

### 3. THE MAYER-VOETORIES PROPERTY

This section establishes a Mayer-Vietories property. Although the result given here is technical, the consequences of it obtained in the next section are far less so and are sufficient for proving the main theorems of this paper. The approach taken here is a modification of that in [2]. The difference is that the categories in [2] were filtered; whereas the categories that arise here are not. The reader who is interested in only the main results of this paper can skip this section and refer back to it only as needed.

We recall some definitions and notation.

If

$fb$  is a full, additive subcategory of the additive category  $\mathfrak{A}$ , then the *idempotent semicompletion* (or simply, *semicompletion*) of  $\mathfrak{A}$  with respect to  $\mathfrak{B}$  is the full, additive subcategory of  $\widehat{\mathfrak{A}}$  containing those objects  $(A, p)$  isomorphic to  $(B, q) \oplus (C, 1)$  with  $(B, q) \in \mathfrak{B}$  and  $C \in \mathfrak{A}$ . The semicompletion is denoted by  $\widetilde{\mathfrak{A}}$ .

**Theorem 3.1.** *Let  $(\overline{E}, \Sigma)$  be the pushout of the diagram  $(\overline{E}_1, \Sigma_1) \xleftarrow{i_1} (\overline{E}_0, \Sigma_0) \xrightarrow{i_2} (\overline{E}_2, \Sigma_2)$  of inclusions in  $\mathcal{E}$  in which  $\overline{E}_0 - \Sigma_0 \neq \emptyset$ . If  $(\overline{E}_0, \Sigma_0)$  is an eventual neighborhood retract in  $(\overline{E}, \Sigma)$ , then the square of spaces*

$$\begin{array}{ccc} \mathbb{K}\widehat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A}) & \xrightarrow{i_1} & \mathbb{K}\widetilde{\mathcal{B}}(\overline{E}_1, \Sigma_1; \mathfrak{A}) \\ \downarrow i_2 & & \downarrow j_1 \\ \mathbb{K}\widetilde{\mathcal{B}}(\overline{E}_2, \Sigma_2; \mathfrak{A}) & \xrightarrow{j_2} & \mathbb{K}\widetilde{\mathcal{B}}(\overline{E}, \Sigma; \mathfrak{A}) \end{array}$$

is a pullback up to homotopy. Here the semicompletions are with respect to  $\mathcal{B}(\overline{E}_0, \Sigma_0; \mathfrak{A})$ . Hence there is a long exact sequence

$$\cdots \rightarrow \pi_{n+1}(\mathbb{K}\mathcal{B}) \rightarrow \pi(\mathbb{K}\mathcal{B}_0) \rightarrow \pi_n(\mathbb{K}\mathcal{B}_1) \oplus \pi_n(\mathbb{K}\mathcal{B}_2) \rightarrow \pi_n(\mathbb{K}\mathcal{B}) \rightarrow \cdots$$

( $n \geq 0$ ) where  $\mathbb{K}\mathcal{B}_i = \mathbb{K}\tilde{\mathcal{B}}(\overline{E}_i, \Sigma_i; \mathfrak{A})$  ( $i = 1, 2, \emptyset$ ) and  $\mathbb{K}\mathcal{B}_0 = \mathbb{K}\hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A})$ .

The reader will recall that the definition of  $\Sigma_0$  being an eventual retract in  $\overline{E}$  is given in section 1. Thorem 3.1 is an immediate consequence of Lemmas 3.2 and 3.3.

Suppose given a commutative diagram (\*) of additive categories and additive functors

$$(*) \quad \begin{array}{ccc} \mathfrak{A}_0 & \xrightarrow{i_1} & \mathfrak{A}_1 \\ \downarrow i_2 & & \downarrow j_1 \\ \mathfrak{A}_2 & \xrightarrow{j_2} & \mathfrak{A} \end{array}$$

and a directed set  $\Lambda$ , We say that (\*) has the *Mayer-Vietories property* relative to  $\Lambda$  if the following conditions hold:

- (1) The functors  $i_1, i_2, j_1$  and  $j_2$  are full and faithful.
- (2) For every pair of objects  $A, B \in \mathfrak{A}$  there is a family of subgroups  $\{F_\lambda \text{Hom}(A, B) | \lambda \in \Lambda\}$  such that if  $\mu < \lambda$ , then  $F_\mu \text{Hom}(A, B) \subset F_\lambda \text{Hom}(A, B)$  and with  $\text{Hom}(A, B) = \bigcup F_\lambda \text{Hom}(A, B)$ .
- (3) For every  $A \in \mathfrak{A}$ , there is a  $\lambda(A) \in \Lambda$  so that for every  $\lambda \geq \lambda(A)$  there is a *preferred decomposition* of  $A$  as  $A = A_{1\lambda} \oplus \overline{A}_{0\lambda} \oplus A_{2\lambda}$  with  $A_{i\lambda} \in \mathfrak{A}_i$  ( $i = 1, 2$ ) and an isomorphism  $\sigma_{0\lambda} : \overline{A}_{0,\lambda} \rightarrow A_{0\lambda}$  to an object  $A_{0\lambda} \in \mathfrak{A}$ . It is further required that  $1_A$  and  $1_{1\lambda} \oplus \sigma_{0\lambda} \oplus 1_{2\lambda}$  be in  $F_\lambda \text{Hom}(A, A')$  and that  $1_{1\lambda} \oplus (\sigma_{0\lambda})^{-1} \oplus 1_{2\lambda} \in F_\lambda \text{Hom}(A', A)$  for all  $\lambda \geq \lambda(A)$  where  $A' = A_{1\lambda} \oplus A_{0\lambda} \oplus A_{2\lambda}$ .
- (4) If  $\lambda \geq \lambda(A)$  and  $f \in F_\lambda \text{Hom}(A, B)$ , then  $f$  decomposes as

$$\begin{array}{ccccc} A_{1\lambda} & \oplus & \overline{A}_{0\lambda} & \oplus & A_{2\lambda} \\ \downarrow & \swarrow & \downarrow & \searrow & \downarrow \\ B_1 & \oplus & B_0 & \oplus & B_2 \end{array}$$

relative to the preferred decomposition of  $A$  and any decomposition  $B = B_1 \oplus B_0 \oplus B_2$  with  $B_i \in \mathfrak{A}_i$  ( $i = 1, 2$ ).

- (5) If  $\lambda \geq \lambda(B)$  and  $f \in F_\lambda \text{Hom}(A, B)$ , then  $f$  decomposes as

$$\begin{array}{ccccc} A_1 & \oplus & \overline{A}_0 & \oplus & A_2 \\ \downarrow & \swarrow & \downarrow & \searrow & \downarrow \\ B_{1\lambda} & \oplus & B_{0\lambda} & \oplus & B_{2\lambda} \end{array}$$

relative to any decomposition  $A = A_1 \oplus A_0 \oplus A_2$  with  $A_i \in \mathfrak{A}$  ( $i = 0, 1, 2$ ) and the preferred decomposition of  $B$ .

**Lemma 3.2.** *If  $(*)$  has the Mayer-Vietories property with respect to  $\Lambda$  and  $\mathfrak{A}_0$  is idempotent complete then*

$$\begin{array}{ccc} \mathbb{K}\mathfrak{A}_0 & \xrightarrow{i_1} & \mathbb{K}\mathfrak{A}_1 \\ i_2 \downarrow & & \downarrow j_1 \\ \mathbb{K}\mathfrak{A}_2 & \xrightarrow{j_2} & \mathbb{K}\mathfrak{A} \end{array}$$

is a pullback up to homotopy. Hence for  $n \geq 0$ , there is a long exact sequence

$$\cdots \rightarrow \pi_{n+1}(\mathbb{K}\mathfrak{A}) \rightarrow \pi(\mathbb{K}\mathfrak{A}_0) \rightarrow \pi_n(\mathbb{K}\mathfrak{A}_1) \oplus \pi_n(\mathbb{K}\mathfrak{A}_2) \rightarrow \pi_n(\mathbb{K}\mathfrak{A}) \rightarrow \cdots$$

*Proof.* The proof is similar to the proofs of the corresponding results in [8],[9] and [2]. Let  $\mathfrak{B}$  be Thomasson's simplified double mapping cylinder of the diagram  $\mathfrak{A}_1 \xleftarrow{\mathfrak{A}_0} \mathfrak{A}_2$  and  $\Sigma : \mathfrak{B} \rightarrow \mathfrak{A}$  be the obvious functor. We show  $\Sigma$  is a homotopy equivalence on  $K$ -theory classifying spaces using Quillen's Theorem A by showing that for each object  $A \in \mathfrak{A}$ , the category  $A \downarrow \Sigma$  is contractible.

For any  $\lambda \in \Lambda$ , let  $F_\lambda(A \downarrow \Sigma)$  be the full subcategory of  $A \downarrow \Sigma$  with objects those morphisms  $\alpha : A \rightarrow B_1 \oplus B_0 \oplus B_2 = B$  for which  $\alpha \in F_\lambda \text{Hom}(A, B)$  and  $\alpha^{-1} \in F_\lambda \text{Hom}(B, A)$ . Let  $\sigma$  be the composite

$$\sigma = 1 \oplus \sigma_{0\lambda} \oplus 1 : A = A_{1\lambda} \oplus \overline{A}_{0\lambda} \oplus A_{2\lambda} \rightarrow A_{1\lambda} \oplus A_{0\lambda} \oplus A_{2\lambda}.$$

The argument given in [8],[9] or [2] shows that if  $\lambda \geq \max\{\lambda(A), \lambda(B)\}$ , then  $\sigma$  is an initial object in  $F_\lambda(A \downarrow \Sigma)$ . Hence this category is contractible. Since  $\Lambda$  is a directed set, it follows that  $A \downarrow \Sigma$  is the directed union of contractible subcategories. Hence  $A \downarrow \Sigma$  is contractible and Lemma 3.2 follows.  $\square$

Let  $(\overline{E}, \Sigma) \in \mathcal{E}$ . Let  $A$  be an object of  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ . The *support* of  $A$  is the set  $\text{supp } A = \{x \in \overline{E} | A_x \neq 0\}$ . If  $X \subset \overline{E}$ , we write  $A|_X$  for the object  $\{B_y | y \in E\}$  where  $B_y = A_x$  if  $y = x \in X$  and  $B_y$  is zero if  $y \notin X$ . If  $f = \{f_y^x : A_x \rightarrow B_y | x, y \in E\} : A \rightarrow B$  is a morphism in  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$ , we let  $f|_X : A|_X \rightarrow B|_X$  be the morphism  $\{f_y^x | x, y \in X\}$ .

**Lemma 3.3.** *Let  $(\overline{E}, \Sigma)$  be the pushout of a diagram  $(\overline{E}_1, \Sigma_1) \xleftarrow{i_1} (\overline{E}_0, \Sigma_0) \xrightarrow{i_2} (\overline{E}_2, \Sigma_2)$  of inclusions in  $\mathcal{E}$  in which  $\overline{E}_0 - \Sigma_0 \neq \emptyset$ . Let  $\Lambda = \{U | U \subset \overline{E} - \overline{E}_0 \text{ is a neighborhood of } \Sigma - \Sigma_0 \text{ with } U \cap \Sigma_0 \neq \emptyset \text{ and set } U \geq V \text{ if } U \subset V\}$ . If  $(\overline{E}_0, \Sigma_0)$  is an eventual neighborhood retract in  $(\overline{E}, \Sigma)$ , then the following diagram of additive categories and functors has the*

*Mayer-Vietories property relative to  $\Lambda$*

$$(\star\star) \quad \begin{array}{ccc} \hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A}) & \xrightarrow{i_1} & \tilde{\mathcal{B}}(\overline{E}_1, \Sigma_1; \mathfrak{A}) \\ \downarrow i_2 & & \downarrow j_1 \\ \tilde{\mathcal{B}}(\overline{E}_2, \Sigma_2; \mathfrak{A}) & \xrightarrow{j_2} & \tilde{\mathcal{B}}(\overline{E}, \Sigma; \mathfrak{A}) \end{array}$$

where the semicompletions are taken with respect to  $\hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A})$

*Proof.* The semicompletion  $\hat{\mathcal{B}}(\overline{E}_k, \Sigma_k; \mathfrak{A})$  ( $k = 1, 2, \emptyset$ ) can be described more concretely as the full subcategory of  $\tilde{\mathcal{B}}(\overline{E}, \Sigma; \mathfrak{A})$  with objects those  $(A, p)$  for which there is a neighborhood  $U_k$  of  $\Sigma_k - \Sigma_0$  in  $\overline{E}_k - \overline{E}_0$  so that

- (i)  $\text{supp } A \subset \overline{E}_k$ ;
- (ii)  $p_y^x = 0$  for  $(x, y) \in U_k \times (E_k - U_k) \cup (E_k - U_k) \times U_k \cup (U_k \times U_k - \Delta)$ ; and
- (iii)  $p_x^x = 1$  if  $x \in U_k$ .

Here  $p = \{p_y^x : A_x \rightarrow A_y | x, y \in E\}$  and  $\Delta$  is the diagonal in  $U_k \times U_k$ . Notice that (i)-(iii) imply that  $p|_{U_k} = 1$ , that  $p|_{E_k - U_k}$  is a projection, and that  $(A, p) = (A|_{U_k}, 1) \oplus (A|_{E_k - U_k}, p|_{E_k - U_k})$ .

We now verify that  $(\star\star)$  has the Mayer-Vietories property relative to  $\Lambda$  by verifying conditions (1)-(5) above.

It is clear from the description of  $\tilde{\mathcal{B}}(\overline{E}_k, \Sigma_k; \mathfrak{A})$  ( $k = 1, 2, \emptyset$ ) that the functors  $i_1, i_2, j_1$  and  $j_2$  are full and faithful, so (1) holds.

Let  $(A, p) \in \hat{\mathcal{B}}(\overline{E}_k, \Sigma_k; \mathfrak{A})$ , choose  $U \subset \overline{E} - \overline{E}_0$  satisfying (i)-(iii) above for  $k = \emptyset$  (i. e. for  $\overline{E}$ ), and set  $\lambda(A, p) = U$ . Suppose  $V = \lambda \geq \lambda(A, p) = U$ . Then  $V$  is a neighborhood of  $\Sigma - \Sigma_0$  contained in  $U$ . We set  $V_k = V \cap \overline{E}_k$  and let  $A_{k\lambda} = A|_{V_k}$  ( $k = 1, 2$ ),  $\overline{A}_{0\lambda} = A|_{\overline{E} - V}$ ,  $p_{k\lambda} = p|_{V_k}$  ( $k = 1, 2$ ), and  $\overline{p}_{0\lambda} = p|_{\overline{E} - V}$ . Then  $p_{k\lambda} = 1$  ( $k = 1, 2$ ) and the preferred decomposition of  $(A, p)$  is given by setting

$$(A, p) = (A_{1\lambda}, 1) \oplus (\overline{A}_{0\lambda}, \overline{p}_{0\lambda}) \oplus (A_{2\lambda}, 1).$$

Clearly  $(A_{k\lambda}, 1) \in \tilde{\mathcal{B}}(\overline{E}_k, \Sigma_k; \mathfrak{A})$  ( $k = 1, 2$ ) and  $(\overline{A}_{0\lambda}, \overline{p}_{0\lambda}) \in \hat{\mathcal{B}}(\overline{E} - V, \Sigma_0; \mathfrak{A})$ . Since  $\overline{E}_0, \Sigma_0$  is an eventual neighborhood retract in  $(\overline{E}, \Sigma)$ , there is a closed neighborhood  $N$  of  $\Sigma_0$  in  $\overline{E}$  and function  $f : (N - \Sigma) \cup \overline{E}_0 \rightarrow \overline{E}_0$  with  $r^{-1}(\Sigma_0)$  and  $r|_{\Sigma_0} = \text{id}$  which has  $r_W = r|_{(N - \Sigma - W) \cup \overline{E}_0, \Sigma_0} \rightarrow (\overline{E}_0, \Sigma_0)$  a morphism in  $\mathcal{E}$  with  $r_W|_{\Sigma_0}$  for any neighborhood  $W$  of  $\Sigma - \Sigma_0$  in  $\overline{E} - \overline{E}_0$ . Let  $V$  be one such neighborhood. We may extend  $r_V$  to a morphism  $\rho : (\overline{E} - V, \Sigma_0) \rightarrow (\overline{E}_0, \Sigma_0)$ , also in  $\mathcal{E}$ , by setting  $\rho(y) = x$  for all  $y \in E - (N \cup V \cup E_0)$  for some  $x \in E_0$ . Let  $(A_{0\lambda}, p_{0\lambda}) = \rho_*(\overline{A}_{0\lambda}, \rho_*\overline{A}_{0\lambda}, \rho_*\overline{p}_{0\lambda}) \in \hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A})$ . Since Corollary 1.5 shows that  $\rho_* : \hat{\mathcal{B}}(\overline{E} - V, \Sigma_0; \mathfrak{A}) \rightarrow \hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A})$  is an equivalence of categories with inverse the inclusion  $\hat{\mathcal{B}}(\overline{E}_0, \Sigma_0; \mathfrak{A}) \rightarrow \hat{\mathcal{B}}(\overline{E} - V, \Sigma_0; \mathfrak{A})$ ,  $(A_{0\lambda}, p_{0\lambda})$  is isomorphic to  $(\overline{A}_{0\lambda}, \overline{p}_{0\lambda})$ .

Suppose  $f : A \rightarrow B$  is a morphism in  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  and that  $U = \lambda \in \Lambda$ . We define a subgroup  $F_\lambda \text{Hom}(A, B)$  of  $\text{Hom}(A, B)$  by letting  $f \in F_\lambda \text{Hom}(A, B)$  if

(i)  $f$  decomposes as

$$\begin{array}{ccccc} A_{1\lambda} & \oplus & \overline{A}_{0\lambda} & \oplus & A_{2\lambda} \\ \downarrow & \swarrow & \downarrow & \searrow & \downarrow \\ B_1 & \oplus & B_0 & \oplus & B_2 \end{array}$$

relative to the decomposition of  $A$  given above and any decomposition  $B = B_1 \oplus B_0 \oplus B_2$  with  $B_k \in \mathcal{B}(\overline{E}_k, \Sigma_k; \mathfrak{A})$  ( $k = 0, 1, 2$ ); and

(ii)  $f$  decomposes as

$$\begin{array}{ccccc} A_1 & \oplus & \overline{A}_0 & \oplus & A_2 \\ \downarrow & \swarrow & \downarrow & \searrow & \downarrow \\ B_{1\lambda} & \oplus & B_{0\lambda} & \oplus & B_{2\lambda} \end{array}$$

relative to any decomposition  $A = A_1 \oplus A_0 \oplus A_2$  with  $A_k \in \mathcal{B}(\overline{E}_k, \Sigma_k; \mathfrak{A})$  ( $k = 0, 1, 2$ ) and the decomposition given above.

For  $(A, p), (B, q) \in (\widetilde{\overline{E}}, \Sigma; \mathfrak{A})$  and  $\lambda \in \Lambda$ , we now let  $F_\lambda \text{Hom}((A, p), (B, q)) = \{f \mid f = qgp \text{ for some } g \in F_\lambda \text{Hom}(A, B)\}$ . Suppose  $f \in \text{Hom}((A, p), (B, q))$  in  $\widetilde{\mathcal{B}}(\overline{E}, \Sigma; \mathfrak{A})$ . Then  $f : A \rightarrow B$  in  $\mathcal{B}(\overline{E}, \Sigma; \mathfrak{A})$  and  $f = qfp$ . By Lemma 1.2 for  $k = 1, 2$ , there is a neighborhood  $U_k$  of  $\Sigma_k - \Sigma_0$  with  $U_k \subset \overline{E} - \overline{E}_{3-k}$  so that if either  $(x, y)$  or  $(y, x)$  is in  $U_k \times \overline{E}_{3-k}$ , then  $f_y^x = 0$ . Let  $\lambda = U_1 \cup U_2$ . Clearly  $f \in F_\lambda \text{Hom}(A, B)$  and since  $f = qfp$ ,  $f \in F_\lambda \text{Hom}((A, p), (B, q))$ . Thus  $(\star\star)$  satisfies (2).

That  $(\star\star)$  satisfies (3), (4) and (5) follows immediately from the definition of  $F_\lambda \text{Hom}((A, p), (B, q))$  and the choice of the preferred decompositions of  $(A, p)$  and  $(B, q)$  given above.

This completes the proof of Lemma 3.3 □

#### 4. SOME CONSEQUENCES OF THE MAYER-VIETORIES PROPERTY

Some consequences of the Mayer-Vietories property are obtained in this section. They are used in the next section to prove the main results of this paper. For the remainder of this paper, we let  $\mathcal{CM}$  be the category of compact metrizable spaces and continuous maps.

**Theorem 4.1.** *Let  $X$  be the pushout of the diagram  $X_1 \xleftarrow{i_1} X_0 \xrightarrow{i_2} X_2$  of inclusions of spaces in  $\mathcal{CM}$  and suppose that  $X_0$  is a neighborhood retract in  $X_i$  ( $i = 1, 2$ ). Then the square*

$$\begin{array}{ccc} \mathbb{K}\widehat{\mathcal{B}}(cX_0, X_0; \mathfrak{A}) & \xrightarrow{i_1} & \mathbb{K}\widetilde{\mathcal{B}}(cX_1, X_1; \mathfrak{A}) \\ \downarrow i_2 & & \downarrow j_1 \\ \mathbb{K}\widetilde{\mathcal{B}}(cX_2, X_2; \mathfrak{A}) & \xrightarrow{j_2} & \mathbb{K}\widetilde{\mathcal{B}}(cX, X; \mathfrak{A}) \end{array}$$

is a pullback up to homotopy. hence there is a long exact sequence

$$\cdots \rightarrow \pi_{n+1}(\mathbb{K}\mathcal{B}) \rightarrow \pi(\mathbb{K}\mathcal{B}_0) \rightarrow \pi_n(\mathbb{K}\mathcal{B}_1) \oplus \pi_n(\mathbb{K}\mathcal{B}_2) \rightarrow \pi_n(\mathbb{K}\mathcal{B}) \rightarrow \cdots$$

( $n \geq 0$ ) where  $\mathbb{K}\mathcal{B}_i = \mathbb{K}\tilde{\mathcal{B}}(cX_i, X_i; \mathfrak{A})(i = 1, 2, \emptyset)$  and  $\mathbb{K}\mathcal{B}_0 = \mathbb{K}\hat{\mathcal{B}}(cX_0, X_0; \mathfrak{A})$ .

We recall that if  $X_0 = \emptyset$ , then  $(cX_0, X_0) = (c, \emptyset)$ .

*Proof.* Take  $(\bar{E}_i, \Sigma_i) = (cX_i, X_i)(i = 0, 1, 2, \emptyset)$  in Theorem 3.1. Since  $X_0$  is a neighborhood retract in  $X_i(i = 1, 2)$ , it is also a neighborhood retract in  $X$ . Hence  $(cX_0, X_0)$  is an eventual neighborhood retract of  $(cX, X)$  by Proposition 1.6 and Theorem 4.1 follows directly from Theorem 3.1  $\square$

**Corollary 4.2.** *For any compact metrizable space  $X$ , there are homotopy equivalences*

$$\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \xleftarrow{f} \Omega\mathbb{K}\tilde{\mathcal{B}}(cSX, SX; \mathfrak{A}) \xrightarrow{\Omega i} \Omega\mathbb{K}\hat{\mathcal{B}}(cSX, SX; \mathfrak{A})$$

where  $SX$  is the suspension of  $X$  and  $i$  is induced by the inclusion  $\tilde{\mathcal{B}}(cSX, SX; \mathfrak{A}) \rightarrow \hat{\mathcal{B}}(cSX, SX; \mathfrak{A})$ .

*Proof.* The homotopy equivalence  $f$  is obtained by applying Theorem 4.1 to the pushout diagram  $vX \leftarrow X \rightarrow wX$  of spaces in  $\mathcal{CM}$  and using the fact that  $\mathbb{K}\tilde{\mathcal{B}}(cuX, uX; \mathfrak{A})(u = v, w)$  is contractible. Here we think of  $SX$  as the join  $\{v, w\}X$ . Since  $\tilde{\mathcal{B}}(cSX, SX; \mathfrak{A})$  is cofinal in  $\hat{\mathcal{B}}(cSX, SX; \mathfrak{A})$ ,  $\mathbb{K}\hat{\mathcal{B}}(cSX, SX; \mathfrak{A})$  is a union of components of  $\mathbb{K}\tilde{\mathcal{B}}(cSX, SX; \mathfrak{A})$  and  $\Omega i$  is actually a homeomorphism.  $\square$

**Corollary 4.3.** *For any additive category  $\mathfrak{A}$ , there are homotopy equivalences*

$$\mathbb{K}(\hat{\mathfrak{A}}) \xleftarrow{f} \Omega\mathbb{K}\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A}) \xrightarrow{\Omega i} \Omega\mathbb{K}\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A})$$

*Proof.* Let  $X = \emptyset$ . Then  $cX$  is a point,  $\hat{\mathcal{B}}(cX, X; \mathfrak{A}) = \mathfrak{A}$ ,  $SX$  is the two-point space and  $(cSX, X) = (D^1, S^0)$ . Thus Corollary 4.3 is a special case of Corollary 4.2.  $\square$

**Theorem 4.4.** *Let  $X$  be the pushout of the diagram  $X_1 \xleftarrow{i_1} X_0 \xrightarrow{i_2} X_2$  of inclusions of spaces in  $\mathcal{CM}$  and suppose that  $X_0$  is a neighborhood retract in  $X_i(i = 1, 2)$ . Then the square*

$$\begin{array}{ccc} \mathbb{K}\hat{\mathcal{B}}(cX_0, X_0; \mathfrak{A}) & \xrightarrow{i_1} & \mathbb{K}\hat{\mathcal{B}}(cX_1, X_1; \mathfrak{A}) \\ \downarrow i_2 & & \downarrow j_1 \\ \mathbb{K}\hat{\mathcal{B}}(cX_2, X_2; \mathfrak{A}) & \xrightarrow{j_2} & \mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \end{array}$$

is a pullback up to homotopy. hence there is a long exact sequence

$$\cdots \rightarrow \pi_{n+1}(\mathbb{K}\mathcal{B}) \rightarrow \pi(\mathbb{K}\mathcal{B}_0) \rightarrow \pi_n(\mathbb{K}\mathcal{B}_1) \oplus \pi_n(\mathbb{K}\mathcal{B}_2) \rightarrow \pi_n(\mathbb{K}\mathcal{B}) \rightarrow \cdots$$

( $n \geq 0$ ) where  $\mathbb{K}\mathcal{B}_i = \mathbb{K}\hat{\mathcal{B}}(cX_i, X_i; \mathfrak{A})(i = 0, 1, 2, \emptyset)$ .

The proof is given at the end of this section.

**Corollary 4.5.** *Let  $X$  be a compact metrizable space and  $j_0 : X \rightarrow X \times I$  be the inclusion at level 0. Then*

$$\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \xrightarrow{j_0^*} \mathbb{K}\hat{\mathcal{B}}(c(X \times I), X \times I; \mathfrak{A}).$$

*is a homotopy equivalence. hence the correspondence  $X \mapsto \mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A})$  is a homotopy functor.*

*Proof.* Consider the inclusions  $\leftarrow X \rightarrow X \times I$ . Since  $X$  is a neighborhood retract in each of these spaces, we may apply Theorem 4.4 to this diagram. Since the pushout of  $vX \leftarrow X \rightarrow X \times I$  is homeomorphic to  $vX$ , the two spaces at the bottom of the resulting diagram are contractible by Corollary 2.3. Corollary 4.5 now follows from theorem 4.4. The last sentence is a well-known consequence of the first part.  $\square$

**Corollary 4.6.** *Let  $\iota : A \rightarrow X$  be an inclusion of spaces in  $\mathcal{CM}$ . Then there is a fibration up to homotopy*

$$\mathbb{K}\hat{\mathcal{B}}(cA, A; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(c(X \cup vA), X \cup vA; \mathfrak{A})$$

*Proof.* Suppose first that  $A$  is a neighborhood retract in  $X$  and consider the inclusions  $vA \leftarrow A \rightarrow X$ . Since  $A$  is a neighborhood retract in each of these spaces, we may apply Theorem 4.4 to this diagram. Since  $\mathbb{K}\hat{\mathcal{B}}(cvA, vA; \mathfrak{A})$  is contractible by Corollary 2.3, this case of Corollary 4.6 follows from Theorem 4.4.

Now consider the general case. Let  $(X, A)$  be a pair of spaces in  $\mathcal{CM}$  and consider the space  $X \cup vA$ . Recall that  $vA = A \times [0, 1]/A \times \{1\}$ . Let  $v_{1/2}A$  be the image of  $A \times [1/2, 1]$  in  $vA$ ,  $Y = Cl[X \cup vA - v_{1/2}A]$  and consider the inclusion of  $A$  into  $Y$  that sends  $a$  to  $(a, 1/2)$ . We denote the image of this inclusion by  $A_{1/2}$ . Since  $A_{1/2}$  is a neighborhood retract in  $Y$ , the preceding paragraph shows there is a fibration

$$\mathbb{K}\hat{\mathcal{B}}(cA_{1/2}; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cY, Y; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(c(X \cup vA), X \cup vA); \mathfrak{A}.$$

Since the inclusion  $X \rightarrow Y$  is a homotopy equivalence, by Corollary 4.5 so is  $\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cY, Y; \mathfrak{A})$ . Thus we may replace  $\mathbb{K}\hat{\mathcal{B}}(cY, Y; \mathfrak{A})$  with  $\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A})$  to obtain the desired fibration up to homotopy. This completes the proof of Corollary 4.6.  $\square$

*Proof of Theorem 4.4.* Consider the pushout diagram  $SX_1 \leftarrow SX_0 \rightarrow SX_2$  of inclusions of spaces in  $\mathcal{CM}$ . Since  $X_0$  is a neighborhood retract in  $X_i$  ( $i = 1, 2$ ),  $SX_0$  is a neighborhood retract in  $SX_i$  ( $i = 1, 2$ ) by Proposition 1.7. By taking the loops on the spaces in the diagram obtained by applying Theorem 4.1 to these inclusions, we see that the diagram

$$(3) \quad \begin{array}{ccc} \Omega\mathbb{K}\hat{\mathcal{B}}(cSX_0, SX_0; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\tilde{\mathcal{B}}(cSX_1, SX_1; \mathfrak{A}) \\ \downarrow & & \downarrow \\ \Omega\mathbb{K}\tilde{\mathcal{B}}(cSX_2, SX_2; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\tilde{\mathcal{B}}(cSX, SX; \mathfrak{A}) \end{array}$$



is a pullback up to homotopy. On the other hand, if  $j_1 : \mathbb{K}\hat{\mathcal{B}}(cSX_i, SX_i; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cSX_i, SX_i; \mathfrak{A})$  ( $i = 0, 1, 2, \emptyset$ ) is induced by the inclusion, then  $\Omega j_i$  is a homeomorphism since  $\mathbb{K}\tilde{\mathcal{B}}(cSX_i, SX_i; \mathfrak{A})$  is a union of components of  $\mathbb{K}\hat{\mathcal{B}}(cSX_i, SX_i; \mathfrak{A})$ . Hence (3) is homeomorphic to the diagram

$$(4) \quad \begin{array}{ccc} \Omega\mathbb{K}\hat{\mathcal{B}}(cSX_0, SX_0; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\hat{\mathcal{B}}(cSX_1, SX_1; \mathfrak{A}) \\ \downarrow & & \downarrow \\ \Omega\mathbb{K}\hat{\mathcal{B}}(cSX_2, SX_2; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\hat{\mathcal{B}}(cSX, SX; \mathfrak{A}) \end{array}$$

Let  $g_i : \Omega\mathbb{K}\hat{\mathcal{B}}(cSX_i, SX_i; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cX_i, X_i; \mathfrak{A})$  ( $i = 0, 1, 2, \emptyset$ ) be the inverse of the homotopy equivalence obtained by applying Corollary 4.2 to the space  $X_i$ . Consider the commutative cube obtained by using the maps  $g_i$  to map (4) into the diagram

$$(5) \quad \begin{array}{ccc} \Omega\mathbb{K}\hat{\mathcal{B}}(cX_0, X_0; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\hat{\mathcal{B}}(cX_1, X_1; \mathfrak{A}) \\ \downarrow & & \downarrow \\ \Omega\mathbb{K}\hat{\mathcal{B}}(cX_2, X_2; \mathfrak{A}) & \longrightarrow & \Omega\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \end{array}$$

We regard (4) and (5) as being the front and back faces of this cube, respectively. A straightforward argument using Corollary 4.2 shows that each of the other faces commutes up to a pointed homotopy. Since (4) is a pullback up to homotopy, the same is true for (5). This completes the proof of Theorem 4.4  $\square$

## 5. THE PROOFS OF THE MAIN THEOREMS

Let  $\mathfrak{A}$  be a fixed additive category. To simplify notation, we suppress mention of  $\mathfrak{A}$  when it is clear from the context. Let  $\Omega\text{-}\mathcal{SPEC}$  be the category in which an object is a  $\Omega$ -spectrum  $\{A_n; \varepsilon\} \rightarrow \{B_n; \eta_n\}$  is a sequence of maps  $\{f_n : A_n \rightarrow B_n | n \geq 0\}$  for which  $\eta_n f_n$  is pointed homotopic to  $(\Omega f_{n+1})\varepsilon_n$ .

Let  $X \in \mathcal{CM}$  and define the  $\Omega$ -spectrum  $\tilde{\mathbf{K}}(X; \mathfrak{A}) = \{\tilde{\mathbf{K}}(X; \mathfrak{A})_n, \varepsilon_n\}$  as follows: Let

$$(6) \quad \tilde{\mathbf{K}}(X; \mathfrak{A})_n = \mathbb{K}\hat{\mathcal{B}}(cS^n X, S^n X; \mathfrak{A}) \quad (n \geq 0)$$

where  $S^n X$  is the  $n$ th suspension of  $X$  and  $S^0 X = X$ . then Corollary 4.2 shows that there are homotopy equivalences

$$\mathbb{K}\hat{\mathcal{B}}(cY, Y; \mathfrak{A}) \xleftarrow{f} \Omega\mathbb{K}\tilde{\mathcal{B}}(cSY, SY; \mathfrak{A}) \xrightarrow{\Omega j} \Omega\mathbb{K}\hat{\mathcal{B}}(cSY, SY; \mathfrak{A})$$

from which we obtain a homotopy equivalence

$$(7) \quad \varepsilon_n = (\Omega j) f^1 : \mathbb{K}\hat{\mathcal{B}}(cS^n X, S^n X; \mathfrak{A}) \rightarrow \Omega\mathbb{K}\hat{\mathcal{B}}(cS^{n+1} X, S^{n+1} X; \mathfrak{A})$$

by taking  $Y = S^n X$  and choosing a homotopy inverse  $f^{-1}$  for  $f$ . Thus  $\{\tilde{\mathbf{K}}(X; \mathfrak{A})_n, \varepsilon_n\}$  is an  $\Omega$ -spectrum and it is readily checked that the correspondance  $X \mapsto \tilde{\mathbf{K}}(X, \mathfrak{A})$  defines a functor

$$\tilde{\mathbf{K}}(-; \mathfrak{A}) : \mathcal{CM} \rightarrow \Omega - \mathcal{SPEC}.$$

**Proposition 5.1.** *The functor  $\tilde{\mathbf{K}}(-; \mathfrak{A})$  has the following properties: it is a homotopy functor;  $\tilde{\mathbf{K}}(pt; \mathfrak{A})$  is contractible; and there is a natural isomorphism of  $\Omega$ -spectra  $\Sigma \tilde{\mathbf{K}}(X; \mathfrak{A}) \rightarrow \tilde{\mathbf{K}}(SX; \mathfrak{A})$ .*

*Proof.* To see  $\tilde{\mathbf{K}}(-; \mathfrak{A})$  is a homotopy functor, let  $j_0 : X \rightarrow X \times I$  be the inclusion at level 0 and recall that

$$\mathbb{K}\hat{\mathcal{B}}(cX, X; \mathfrak{A}) \xrightarrow{j_0^*} \mathbb{K}\hat{\mathcal{B}}(c(X \times I), X \times I; \mathfrak{A})$$

is a homotopy equivalence by Corollary 4.5. A standard argument (cf. [2, p.575]) now easily adapts to prove that  $j_0$  induces a homotopy equivalence of spectra and that  $\tilde{\mathbf{K}}(-; \mathfrak{A})$  is a homotopy functor. The second statement follows from the definition of  $\tilde{\mathbf{K}}(pt; \mathfrak{A})$  and Corollary 2.3. The last statement is immediate from the definition of  $\tilde{\mathbf{K}}(X)$ .  $\square$

Let  $\tilde{K}_*(-; \mathfrak{A}) = \pi_*^S \tilde{\mathbf{K}}(-; \mathfrak{A}) : \mathcal{CM} \rightarrow \mathcal{GA}$  where  $\pi_*^S$  is the stable homotopy functor and  $\mathcal{GA}$  is the category of graded abelian groups. Theorem I is a consequence of the following more complete result:

**Theorem 5.2.** *The functor  $\tilde{K}_*(-; \mathfrak{A}) = \pi_*^S \tilde{\mathbf{K}}(-; \mathfrak{A}) : \mathcal{CM} \rightarrow \mathcal{GA}$  is a reduced homology theory on  $\mathcal{CM}$ ; that is,*

- (i)  $\tilde{K}_*(-; \mathfrak{A})$  is a homotopy functor.
- (ii) There is a natural isomorphism  $\Sigma : \tilde{K}_*(X; \mathfrak{A}) \rightarrow \tilde{K}_{*+1}(SX; \mathfrak{A})$ .
- (iii) For any pair  $(X, A)$  of spaces  $\mathcal{CM}$ , there is an exact sequence

$$\cdots \rightarrow \tilde{K}_*(A; \mathfrak{A}) \rightarrow \tilde{K}_*(X; \mathfrak{A}) \rightarrow \tilde{K}_*(X \cup vA; \mathfrak{A}) \rightarrow \tilde{K}_{*-1}(A; \mathfrak{A}) \rightarrow \cdots$$

- (iv)  $\tilde{K}_*(pt; \mathfrak{A}) = 0$ .

*Proof.* Part (i) follows directly from Proposition 5.1; (ii) follows from the definition of  $\tilde{\mathbf{K}}(X; \mathfrak{A})$ ; while (iv) follows from proposition 5.1. Finally for every  $n \geq 0$ , there is a fibration up to homotopy

$$\mathbb{K}\hat{\mathcal{B}}(cS^n A, S^n; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cS^n X, S^n X; \mathfrak{A}) \rightarrow \mathbb{K}\hat{\mathcal{B}}(cS^n(X \cup vA), S^n(X \cup vA); \mathfrak{A})$$

by Corollary 4.6. The associated homotopy exact sequence may now be spliced together using (ii) to obtain the exact sequence of (iii).  $\square$

For any pair  $(X, A)$  of spaces in  $\mathcal{CM}$ , let  $K_*(X, A; \mathfrak{A}) = \tilde{K}_*(X \cup vA)$  where the union is over  $A$  and  $vA$  is a point if  $A = \emptyset$ . In particular,  $X \cup v\emptyset = X^+$  is  $X$  with a disjoint basepoint attaches and  $K_*(X, \emptyset; \mathfrak{A}) = \tilde{K}_*(X^+)$ . The following result is Theorem II:

**Theorem 5.3.** *The functor  $K_*(X, A; \mathfrak{A})$  is a homology theory on the category of pairs of spaces in  $\mathcal{CM}$ ; that is,*

- (i)  $K_*(-, -; \mathfrak{A})$  is a homotopy functor.
- (ii) For any pair  $(X, A)$  of spaces in  $\mathcal{CM}$ , there is an exact sequence

$$\cdots \rightarrow K_*(A^+; \mathfrak{A}) \rightarrow K_*(X^+; \mathfrak{A}) \rightarrow K_*(X, A; \mathfrak{A}; \mathfrak{A}) \rightarrow K_{*-1}(A^+; \mathfrak{A}) \rightarrow \cdots$$

- (iii) If  $U$  is an open set with  $Cl(U) \subset \int(A)$ , then the inclusion map  $(X - U, A - U) \rightarrow (X, A)$  induces an isomorphism  $K_*(X - U, A - U) \rightarrow K_*(X, A)$ .

Furthermore, if we restrict this homology theory to the category of finite CW complexes, the representing spectrum  $\mathbf{K}(pt; \mathfrak{A}) = \tilde{K}(S^0; \mathfrak{A})$  has  $\Omega(\mathbf{K}(pt; \mathfrak{A})) = \mathbf{K}(\mathfrak{A})$ .

In this theorem  $\mathbf{K}(\mathfrak{A})$  is the non-connective spectrum that [8] associates with the additive category  $\mathfrak{A}$ . The reader will recall that  $\mathbf{K}(\mathfrak{A}) = \mathbf{K}(\tilde{\mathfrak{A}})$ .

*Proof.* That  $K_*$  satisfies the homotopy and exactness condition axioms follows directly from the corresponding statements for  $\tilde{K}_*$ . That  $K_*$  satisfies the excision axiom follows from the arguments given in [6, p. 19].  $\square$

It remains to identify the representing spectrum  $\mathbf{K}(pt; \mathfrak{A})$  for the restriction of  $K_*$  to the category of finite CW complexes. Let  $\tilde{K}'(S^0; \mathfrak{A}) = \{\tilde{K}'(S^0; \mathfrak{A})_n, \varepsilon'_n\}$  be the spectrum with

$$\tilde{K}'(S^0; \mathfrak{A})_n = \mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^{n+1}; \mathfrak{A}) \xrightarrow{\varepsilon'_n} \Omega\mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A}) = \Omega\tilde{\mathbf{K}}'(S^0; \mathfrak{A})_{n+1}$$

constructed from the homotopy equivalences of [8]

$$\mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^{n+1}; \mathfrak{A}) \xleftarrow{f'} \Omega\mathbb{K}\tilde{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A}) \xrightarrow{\Omega'_j} \Omega\mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A})$$

by choosing a homotopy inverse for  $f'$ . Recall that these are obtained by a Mayer-Vietories argument similar to the proof of Corollary 4.2 that uses the categories  $\mathcal{C}(-; \mathfrak{A})$  instead of  $\mathcal{B}(-; \mathfrak{A})$ . Then  $\tilde{\mathbf{K}}'(S^0; \mathfrak{A})$  is the spectrum that [8] associates with  $S^0$ .

We now identify  $\mathbb{R}^{n+1} = \mathcal{O}(S^n)$  with  $oS^n = \int D^{n+1} = \{x \in \mathbb{R}^{n+1} \mid \|x\| < t\}$  as above and write  $cS^n = D^{n+1}$ . Since every bounded map of  $\mathbb{R}^{n+1}$  extends via the identity on  $S^n$  to a map of  $D^{n+1}$ , there is an inclusion of additive categories

$$i : \hat{\mathcal{C}}(\mathbb{R}^{n+1}; \mathfrak{A}) \rightarrow \hat{\mathcal{B}}(D^{n+1}, S^n; \mathfrak{A}).$$

It is easily checked that these inclusions for  $n \geq 0$  induce a map of spectra  $i_* : \tilde{\mathbf{K}}'(S^0; \mathfrak{A}) \rightarrow \tilde{\mathbf{K}}(S^0; \mathfrak{A})$ . The proof of Theorem 5.3 is completed by proving the following lemma:

**Lemma 5.4.** *The map  $i_* : \tilde{\mathbf{K}}'(S^0; \mathfrak{A}) \rightarrow \tilde{\mathbf{K}}(S^0; \mathfrak{A})$  is a homotopy equivalence of spectra. In particular,  $\Omega\tilde{\mathbf{K}}(S^0; \mathfrak{A}) = \mathbf{K}(\mathfrak{A})$ .*

*Proof.* Since [8] and [9] show that  $\Omega\tilde{\mathbf{K}}'(S^0; \mathfrak{A}) = \mathbf{K}(\mathfrak{A})$ , the second sentence follows from the first. To show the first part, it suffices to show that  $i_* : \tilde{\mathbf{K}}'_*(S^0; \mathfrak{A}) \rightarrow \tilde{\mathbf{K}}_*(S^0; \mathfrak{A})$  is an isomorphism. Notice that by the definition of  $\tilde{\mathbf{K}}(S^0; \mathfrak{A})$  and Corollary 4.2,

$$\tilde{\mathbf{K}}_n(S^0; \mathfrak{A}) = K_n\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A}) \quad \text{if } n \geq 0.$$

Similarly

$$\tilde{\mathbf{K}}'_n(S^0; \mathfrak{A}) = K_n\hat{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) \quad \text{if } n \geq 1,$$

and

$$\tilde{\mathbf{K}}'_{-n}(S^0; \mathfrak{A}) = K_1\hat{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A}) \quad \text{if } n \geq 0.$$

We examine

$$i_* : K_n\hat{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) \rightarrow K_n\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A})$$

for  $n \geq 1$  in Lemma 5.5 and

$$i_* : K_1\hat{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A}) \rightarrow K_0\hat{\mathcal{B}}(D^{n+2}, S^{n+1}; \mathfrak{A})$$

for  $n \geq 0$  in Lemma 5.6. □

**Lemma 5.5.** *For any additive category  $\mathfrak{A}$ , there is a homotopy commutative diagram of homotopy equivalences*

$$\begin{array}{ccc} & \mathbb{K}(\hat{\mathcal{A}}) & \\ \varepsilon' \swarrow & & \searrow \varepsilon \\ \Omega\mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) & \xrightarrow{\Omega_i} & \Omega\mathbb{K}\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A}) \end{array}$$

Hence for all  $n \geq 1$ ,  $i_* : K_n\hat{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) \rightarrow K_n\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A})$  is an isomorphism.

*Proof.* We claim that there is a homotopy commutative diagram

$$\begin{array}{ccccc} \mathbb{K}\hat{\mathcal{A}} & \xleftarrow{f'} & \Omega\mathbb{K}\tilde{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) & \xrightarrow{\Omega_{j'}} & \Omega\mathbb{K}\hat{\mathcal{C}}(\mathbb{R}^1; \mathfrak{A}) \\ \downarrow & & \downarrow \Omega_i & & \downarrow \Omega_i \\ \mathbb{K}\hat{\mathcal{A}} & \xleftarrow{f} & \Omega\mathbb{K}\tilde{\mathcal{B}}(D^1, S^0; \mathfrak{A}) & \xrightarrow{\Omega_j} & \Omega\mathbb{K}\hat{\mathcal{B}}(D^1, S^0; \mathfrak{A}) \end{array}$$

in which all the horizontal maps are homotopy equivalences. Letting  $\varepsilon' = j'f'^{-1}$  and  $\varepsilon = jf^{-1}$ , where  $f'^{-1}$  and  $f^{-1}$  are homotopy inverses for  $f'$  and  $f$  will complete the proof. To obtain the diagram, recall the homotopy equivalences  $f$  was constructed in Corollary 4.3 using a Mayer-Voetories argument. A corresponding Mayer-Vietories argument using  $\mathcal{C}$ , etc. instead of  $\mathcal{B}$ , etc. gives the homotopy equivalence  $f'$ . Since the Mayer-Vietories diagram using  $\mathcal{C}$  maps to the corresponding diagram using  $\mathcal{B}$ , the left-hand square homotopy commutes. The right-hand square commutes since the underlying diagram of categories commutes. □

**Lemma 5.6.** *Let  $n \geq 0$ . Then  $i_* : K_1\hat{\mathcal{C}}(\mathbb{R}^{n+2}, \mathfrak{A}) \rightarrow K_1\hat{\mathcal{B}}(D^{n+2}, S^{n+1}; \mathfrak{A})$  is an isomorphism.*

*Proof.* Since the map  $\Omega_i : \Omega\mathcal{K}\mathcal{C}(\mathbb{R}^{n+2}; \mathfrak{A}) \rightarrow \Omega\mathcal{K}\hat{\mathcal{C}}(\mathbb{R}^{n+2}; \mathfrak{A})$  induced by the inclusion is a homeomorphism, we may replace  $\hat{\mathcal{C}}$  with  $\mathcal{C}$  in this lemma. Similarly, we may replace  $\hat{\mathcal{B}}$  with  $\mathcal{B}$ .

To see that  $i_*$  is onto, let  $x = [A, f] \in K_1\mathcal{B}(D^{n+2}, S^{n+1}; \mathfrak{A})$  where  $f : A \rightarrow A$  is an isomorphism in  $\mathcal{B}(D^{n+1}, S^n; \mathfrak{A})$ . By Theorem 2.1(i) and Lemma 2.7, there is a homeomorphism  $h$  of  $D^{n+2} = \mathbb{R}^{n+2} \cup S^{n+1}(\infty)$  onto itself with  $h|_{S^{n+1}(\infty)} = 1$  for which  $h_*(f)$  is bounded. Since  $h_*$  is the identity by Lemma 1.3,  $x = [A, f] = [h_*(A), h_*(f)]$  and  $i_*$  is onto.

For any additive category  $\mathfrak{A}$ , let  $\mathfrak{A}[t, t^{-1}]$  be the “polynomial extension” category of  $\mathfrak{A}$  introduced by Ranicki [10]. This category has two descriptions. In one description, the objects of  $\mathfrak{A}[t, t^{-1}]$  are the same as the objects of  $\mathfrak{A}$  and  $\text{Hom}(A, B)$  is the set of all formal sums  $\sum_{-\infty}^{\infty} t^n f_n$  with  $f_n = 0$  for all  $n$  with  $|n|$  large enough. In the other description, the objects of  $\mathfrak{A}[t, t^{-1}]$  are the objects  $\{A_n | n \in \mathbb{Z}\}$  of  $\mathcal{C}(\mathbb{Z}, \mathfrak{A})$  for which  $A_n = A_0$  for all  $n$  and the morphisms  $f : A \rightarrow B$  are the  $\mathbb{Z}$ -equivariant morphisms  $f = \{f_m^n : A_n \rightarrow B_m | n, m \in \mathbb{Z}\}$  in  $\mathcal{C}(\mathbb{Z}; \mathfrak{A})$ . In either case, we let  $A[t, t^{-1}]$  denote the object of  $\mathfrak{A}[t, t^{-1}]$  determined by  $A \in \mathfrak{A}$ .

The arguments given in Section 2 of [7] extend easily to define a “Bass-Heller-Swan” homomorphism  $\lambda_{\mathcal{C}} : K_1\mathcal{C}(\mathbb{R}^{n+1}; \mathfrak{A}) \rightarrow K_1\mathcal{C}(\mathbb{R}^n; \mathfrak{A}[t, t^{-1}])$  for any  $n \geq 0$  and to show that  $\lambda_{\mathcal{C}}$  is injective. Since  $K_1\hat{\mathfrak{A}} = K_1\mathfrak{A}$  for any additive category, the fact that  $i_*$  is one to one follows from the next lemma by an obvious inductive argument. This completes the proof of Lemma 5.6.  $\square$

**Lemma 5.7.** *Let  $n \geq 0$ . there is a homomorphism  $\lambda_{\mathcal{B}}$  making the following diagram commute:*

$$\begin{array}{ccc} K_1\mathcal{C}(\mathbb{R}^{n+1}; \mathfrak{A}) & \xrightarrow{\lambda_{\mathcal{C}}} & K_1\mathcal{C}(\mathbb{R}^n; \mathfrak{A}[t, t^{-1}]) \\ \downarrow i_* & & \downarrow i_* \\ K_1\mathcal{B}(D^{n+1}, S^n; \mathfrak{A}) & \xrightarrow{\lambda_{\mathcal{B}}} & K_1\mathcal{B}(D^n, S^{n-1}; \mathfrak{A}[t, t^{-1}]) \end{array}$$

*Proof.* The construction of  $\lambda_{\mathcal{B}}$  will follow Pedersen’s construction [7] of  $\lambda_{\mathcal{C}}$  quite closely.

Let  $\mathcal{D}(\mathfrak{A})$  be either  $\mathcal{C}(\mathbb{R}^{n+1}; \mathfrak{A})$  or  $\mathcal{B}(D^{n+1}, S^n; \mathfrak{A})$ ,  $A = \{A_x | x \in X\} \in \mathcal{D}(\mathfrak{A})$ , and  $A[t, t^{-1}] = \{A_x[t, t^{-1}] | x \in X\}$  be the object of  $\mathcal{D}(\mathfrak{A}[t, t^{-1}])$  determined by  $A$ . Here  $X$  is either  $\mathbb{R}^{n+1}$  or  $D^n \times \mathbb{R}$ . Let  $p_- : A \rightarrow A$  be the projection that is the identity on  $A_x$  if  $x = (x_1, \dots, x_{n+1})$  has  $x_{n+1} < 0$  and is zero otherwise. Let  $p_t$  be the morphism of  $A[t, t^{-1}] = \{A_x[t, t^{-1}] | x \in X\}$  given by  $p_t = tp_- + (1 - p_-)$  and notice that  $p_t$  is an automorphism with inverse  $t^{-1}p_- + (1 - p_-)$ . Finally, if  $a : A \rightarrow A$  is an automorphism, we let  $a_t = a[t, t^{-1}]$  be the automorphism of  $A[t, t^{-1}]$  induced from  $a$ .

Let  $[A, a]$  represent an element of  $K_1\mathcal{D}(\mathfrak{A})$  and consider the commutator  $[a_t, p_t]$ . If  $\mathcal{D}(\mathfrak{A}) = \mathcal{C}(\mathbb{R}^{n+1}; \mathfrak{A})$  and  $a$  has bound  $d$ , [7, p. 469] shows that  $[a_t, p_t] \mathbb{R}^{n+1} - B = \text{id}$  where  $B$  is the band  $\{x = (x_1, \dots, x_{n+1}) | x_{n+1} \leq 2d\}$ . It also shows that  $[a_t, p_t]$  restricts to an automorphism of  $A[t, t^{-1}]|_B$  and lets  $\lambda_{\mathcal{C}}([A, a])$  be represented by  $[\bar{A}[t, t^{-1}], [a_t, p_t]]$ . here if  $y = (y_1, \dots, y_n)$ ,

$\bar{A}[t, t^{-1}] \in \mathcal{C}(\mathbb{R}^n; \mathfrak{A}[t, t^{-1}])$  has  $\bar{A}[t, t^{-1}]_y = \sum \{A_x[t, t^{-1}] | x = (y_1, \dots, y_n, x_{n+1}) \text{ with } \|x_{n+1}\| \leq 2d\}$ .

If  $\mathcal{D}(\mathfrak{A}) = \mathcal{B}(D^{n+1}, S^n; \mathfrak{A})$  and  $a$  is continuously controlled at infinity, then by applying Lemma 1.2 with  $K = H_{\pm} = \{x = (x_a, \dots, x_{n+1}) | \pm x_{n+1} \geq 0\}$  and  $W = \int D_{\mp}$  where  $D_{\mp} = H_{\pm} \cap S^n$ , there are neighborhoods  $U_{\pm}$  of  $\int D_{\pm}$  so that if  $a^{-1} = b = \{b_y^x | x, y \in \int D^{n+1}\}$ , then  $b_y^x = 0$  for  $(x, y) \in (U_{\pm} \times H_{\mp}) \cup (H_{\pm} \times U_{\mp})$ . It now follows that  $c = [a_t, p_t]$  has

$$c|_{U_{\pm}} = \text{id} : A[t, t^{-1}]|_{U_{\pm}} \rightarrow A[t, t^{-1}]|_{U_{\pm}}.$$

Consider  $f = c| : A[t, t^{-1}]|_M \rightarrow A[t, t^{-1}]$  where  $M = D^{n+1} - (U_+ \cup U_-)$ . Applying Lemma 1.2 a second time with  $K = M$  and  $W = \int D_+ \cup D_-$ , there is a neighborhood  $V$  of  $W$  with  $f_y^x = 0$  if  $(x, y) \in V \times K \cup K \times V$ . Let  $W_{\pm} = U_{\pm} \cap V$ . It is then easy to check that  $c|_{W_{\pm}} = \text{id} : A[t, t^{-1}]|_{W_{\pm}} \rightarrow A[t, t^{-1}]|_{W_{\pm}}$  and that the restriction of  $c$  maps  $A[t, t^{-1}]|_{D^{n+1} - (W_+ \cup W_-)}$  isomorphically onto itself. Let  $\lambda_{\mathcal{B}}([A, a])$  be represented by  $[\bar{A}[t, t^{-1}], [a_t, p_t]]$  where if  $y = (y_1, \dots, y_n)$  then  $\bar{A}[t, t^{-1}] \in \mathcal{B}(D^n, S^{n-1}; \mathfrak{A}[t, t^{-1}])$  had  $\bar{A}[t, t^{-1}]_y = \sum A_x[t, t^{-1}]$  where the sum runs over those  $x \in \text{im}\{z \times \mathbb{R}\} \cap \{D^{n+1} - (W_+ \cup W_-)\}$ . Here  $z = (1 - \|y\|^{-1} y)$  and  $\text{im}\{z \times \mathbb{R}\}$  is the image of this line in  $\mathbb{R}^{n+1}$  under the identification of  $\mathbb{R}^{n+1}$  with  $\int D^{n+1}$  given above. The proof that  $\lambda_{\mathcal{C}}$  is well defined given in [7, Theorem 2.3] carries over, almost without change, to show that  $\lambda_{\mathcal{B}}$  is a well-defined homomorphism.

Since it follows immediately from the definitions of  $\lambda_{\mathcal{C}}$  and  $\lambda_{\mathcal{B}}$  that the diagram above commutes, this completes the proof of Lemma 5.7.  $\square$

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