

**Problem 1.** Let  $f : R \longrightarrow S$  be a homomorphism of commutative unital rings.

- a) Prove that if  $P$  is a prime ideal of  $S$  then  $f^{-1}(P)$  is a prime ideal of  $R$ .
- b) Find an example when  $P$  is a maximal ideal of  $S$  but  $f^{-1}(P)$  is not maximal in  $R$ .
- c) Prove that if  $f$  is onto and  $Q$  is a prime ideal of  $R$  such that  $\ker f \subseteq Q$  then  $f(Q)$  is a prime ideal of  $S$ .
- d) Suppose that  $f$  is surjective. Prove that if  $P$  is a maximal ideal of  $S$  then  $f^{-1}(P)$  is maximal in  $R$ . Prove that if  $Q$  is a maximal ideal of  $R$  then  $f(Q)$  is either  $S$  or it is a maximal ideal of  $S$ . Show by example that a similar statement for prime ideals is false.
- e) Find all prime ideals of  $\mathbb{Z}/36\mathbb{Z}$ .

**Solution:** a) Suppose that  $a, b \in R$  are such that  $ab \in f^{-1}(P)$ . Then  $f(ab) = f(a)f(b) \in P$ . Since  $P$  is prime, we have either  $f(a) \in P$  or  $f(b) \in P$ . In the former case, we get  $a \in f^{-1}(P)$  and in the latter case we get  $b \in f^{-1}(P)$ . Thus, either  $a \in f^{-1}(P)$  or  $b \in f^{-1}(P)$ , which proves that  $P$  is a prime ideal.

b) Let  $R = \mathbb{Z}$ ,  $S = \mathbb{Q}$  and let  $f$  be the identity map  $f(m) = m$ . Let  $P = \{0\}$  be the ideal of  $S$ . Since  $S$  is a field,  $P$  is maximal. But  $f^{-1}(P) = \{0\}$  is not maximal as an ideal of  $R$ .

c) Let  $x, y \in S$  be such that  $xy \in f(Q)$ . Since  $f$  is surjective, there are  $a, b \in R$  such that  $x = f(a)$  and  $y = f(b)$ . Thus  $xy = f(ab) \in f(Q)$ . This means that there is  $q \in Q$  such that  $f(ab) = f(q)$ . In other words  $ab - q \in \ker f$ . Since  $\ker f \subseteq Q$ , we see that both  $ab - q$  and  $q$  are in  $Q$ , and therefore  $ab = (ab - q) + q \in Q$ . But  $Q$  is a prime ideal, so either  $a \in Q$  or  $b \in Q$  and consequently either  $f(a) = x \in f(Q)$  or  $f(b) = y \in f(Q)$ . This proves that  $f(Q)$  is a prime ideal.

**Another argument:** Since  $Q$  contains the kernel of  $f$ , we have  $R/Q$  and  $S/f(Q)$  are isomorphic by the second isomorphism theorem (as discussed in class). Thus  $R/Q$  is a domain iff  $S/f(Q)$  is a domain. It follows that if  $Q$  is prime then so is  $f(Q)$ .

**Remark:** Note that c) and a) (or our second argument for c)) imply that in the correspondence theorem prime ideals correspond to prime ideals.

d) If  $J$  is an ideal of  $R$  which contains  $f^{-1}(P)$  then  $J$  contains  $\ker f$  and  $f(J)$  is an ideal of  $S$  containing  $P$ . Since  $P$  is maximal, we have either  $f(J) = P$  or  $f(J) = S$ . Since  $J$  contains the kernel of  $f$ , we have  $J = f^{-1}(P)$  or  $J = f^{-1}(S) = R$ . This proves that  $f^{-1}(P)$  is maximal.

**Another argument:** We have  $R/f^{-1}(P)$  and  $S/P$  are isomorphic by the second isomorphism theorem (as discussed in class). Thus  $R/f^{-1}(P)$  is a field iff  $S/P$  is a field. It follows that  $f^{-1}(P)$  is maximal iff  $P$  is.

Suppose now that  $Q$  is maximal in  $R$ . If  $Q$  contains the kernel of  $f$  then  $f^{-1}(f(Q)) = Q$  (correspondence theorem). If  $f(Q)$  is contained in an ideal  $I$  then  $Q$  is contained in the ideal  $f^{-1}(I)$ . Since  $Q$  is maximal, either  $f^{-1}(I) = Q$  or  $f^{-1}(I) = R$ . In the former case we have  $I = f(Q)$  and in the latter case  $I = f(R) = S$ . This shows that  $f(Q)$  is maximal. This also follows from our second argument above, since  $R/Q$  and  $S/f(Q)$  are isomorphic.

If  $Q$  does not contain  $\ker f$  then  $Q + \ker f$  is an ideal larger than  $Q$ , so we must have  $Q + \ker f = R$  (since  $Q$  is maximal). Thus  $S = f(R) = f(Q + \ker f) = f(Q) + f(\ker f) = f(Q)$ .

To see that the statement is not always true for prime ideals consider the canonical homomorphism  $f : \mathbb{Z} \rightarrow \mathbb{Z}/6\mathbb{Z}$ . Note that  $\{0\} = Q$  is a prime ideal in  $\mathbb{Z}$  but  $f(Q) = \{0\}$  is not prime in  $\mathbb{Z}/6\mathbb{Z}$  since  $\mathbb{Z}/6\mathbb{Z}$  is not a domain.

**Remark:** Note that we proved in particular that in the correspondence theorem maximal ideals correspond to maximal ideals.

e) By correspondence theorem, ideals of  $\mathbb{Z}/36\mathbb{Z}$  are in bijective correspondence with ideals  $m\mathbb{Z}$  of  $\mathbb{Z}$  which contain  $36\mathbb{Z}$ , i.e. such that  $m|36$ . Also, by the remark to our solution to c), in the correspondence theorem prime ideals correspond to prime ideals. Prime ideals in  $\mathbb{Z}$  are  $\{0\}$  and  $p\mathbb{Z}$ ,  $p$  a prime. Among these ideals only  $2\mathbb{Z}$  and  $3\mathbb{Z}$  contain  $36\mathbb{Z}$ . Thus  $\mathbb{Z}/36\mathbb{Z}$  has two prime ideals, namely  $2\mathbb{Z}/36\mathbb{Z}$  and  $3\mathbb{Z}/36\mathbb{Z}$ .

**Problem 2.** Let  $R$  be a commutative unital ring.

- a) Prove that  $R$  is a domain iff  $\{0\}$  is a prime ideal of  $R$ .
- b) Prove that if  $P$  is a prime ideal and  $r \in R$  is nilpotent then  $r \in P$ .
- c) Prove that if  $R$  is finite then every prime ideal of  $R$  is maximal.

**Solution:** a) Since  $R$  and  $R/\{0\}$  are isomorphic, we see that  $R$  is a domain iff  $R/\{0\}$  is a domain iff  $\{0\}$  is a prime ideal.

Alternatively, if for any  $a, b$  in  $R$  we have  $ab \in \{0\}$  iff  $ab = 0$ . If  $R$  is a domain and  $ab \in \{0\}$ , this means that  $ab = 0$  and therefore  $a = 0$  or  $b = 0$ . This shows that  $a \in \{0\}$  or  $b \in \{0\}$ , i.e.  $\{0\}$  is prime. Conversely, if  $\{0\}$  is prime and  $ab = 0 \in \{0\}$ , then either  $a \in \{0\}$  or  $b \in \{0\}$ , i.e. either  $a = 0$  or  $b = 0$ . This proves that  $R$  is a domain.

b) Suppose that  $P$  is prime and  $r$  is nilpotent. This means that  $r^k = 0$  for some  $k > 0$ . In particular,  $r^k \in P$ . Let  $m$  be smallest positive integer such that  $r^m \in P$ . If  $m = 1$  then  $r \in P$ . Otherwise,  $r^m = r \cdot r^{m-1} \in P$ , so either  $r \in P$  or  $r^{m-1} \in P$ . This however contradicts our choice of  $m$ , so  $m > 1$  is not possible. Thus  $r \in P$ .

c) Let  $I$  be a prime ideal of  $R$ . Thus  $R/I$  is a domain and it is a finite ring. But we proved that a finite domain is a field, so  $R/I$  is a field and therefore  $I$  is maximal.