## Homework due on Monday, October 22

Read sections 2.3.1-2.3.3 in Cameron's book and sections 3.5-3.5.4 in Lauritzen's book. Solve the following problems:

**Problem 1.** Consider the ring  $R = \mathbb{Z}[\omega] = \{a+b\omega : a, b \in \mathbb{Z}\}$  of Eisenstein integers, where  $\omega = (-1 + \sqrt{-3})/2$  (see Homework 17 for a proof that this is a ring). Recall that  $\omega^2 + \omega + 1 = 0$ . Let  $\overline{\omega} = (-1 - \sqrt{-3})/2$ .

a) Prove that  $\overline{\omega}^2 + \overline{\omega} + 1 = 0$ ,  $\omega \overline{\omega} = 1$ ,  $\overline{\omega} = \omega^2$ ,  $\omega^3 = 1 = \overline{\omega}^3$ .

b) Define a map  $f: R \longrightarrow R$  by  $f(a+b\omega) = a+b\overline{\omega}$ . Prove that f is an isomorphism.

c) Consider the map  $N : R \longrightarrow \mathbb{Z}$  defined by  $N(a + b\omega) = a^2 - ab + b^2$ . Prove that N(xy) = N(x)N(y) for all  $x, y \in R$ . Prove that  $x \in R$  is invertible iff  $N(x) = \pm 1$ . Prove that if |N(x)| is a prime number then x is irreducible.

d) Prove that the only invertible elements of  $\mathbb{Z}[\omega]$  are  $1, -1, \omega, -\omega, \overline{\omega}, -\overline{\omega}$ .

e) Suppose that p is a prime and  $x, y \in R$  are such that  $xy \in pR$ . Prove that p|N(x) or p|N(y).

f) Let p be an odd prime such that -3 is a quadratic non-residue modulo p. Prove that if a, b are integers such that  $p|a^2-ab+b^2$  then p|a and p|b. **Hint.**  $(2a-b)^2+3b^2 = 4(a^2-ab+b^2)$ .

g) Prove that if a, b are integers such that  $2|a^2 - ab + b^2$  then 2|a and 2|b.

h) Use e), f), g) to conclude that if p = 2 or p is an odd prime such that -3 is a quadratic non-residue modulo p then pR is a prime ideal. Conclude that pR is maximal (Hint: R/pR is finite).

i) Suppose now p is an odd prime such that -3 is a quadratic residue modulo p. Prove that pR is not a prime ideal.

**Solution:** a) It is straightforward from the formula for the roots of a quadratic polynomial that the roots of  $x^2 + x + 1$  are  $\omega$  and  $\overline{\omega}$  (alternatively, just do the computation). Note that  $(x - 1)(x^2 + x + 1) = x^3 - 1$ . Thus  $\omega^3 - 1 = 0 = \overline{\omega}^3 - 1$ .

Note that  $1, \omega, \overline{\omega}$  are the three roots of the polynomial  $x^3 - 1$ . But if  $a^3 = 1$  then  $(a^2)^3 = 1$  so  $\omega^2$  is also a root of  $x^3 - 1$  and hence we must have  $\overline{\omega} = \omega^2$  (it can not be 1 or  $\omega$ ). Finally,  $\omega \overline{\omega} = \omega \omega^2 = \omega^3 = 1$ . (Alternatively, all the equalities can be verified by a simple explicit computation).

b) Clearly  $\overline{\omega} \in R$  so f maps R to R. To see that f is a homomorphism note that

$$f((a+b\omega) + (c+d\omega)) = f((a+c) + (b+d)\omega) = (a+c) + (b+d)\overline{\omega} =$$
$$= (a+b\overline{\omega}) + (c+d\overline{\omega}) = f(a+b\omega) + f(c+d\omega)$$

and

$$f((a+b\omega)(c+d\omega)) = f((ac-bd) + (ad+bc-bd)\omega) = (ac-bd) + (ad+bc-bd)\overline{\omega} =$$
$$= (a+b\overline{\omega})(c+d\overline{\omega}) = f(a+b\omega)f(c+d\omega)$$

(alternatively, just note that f is simply the complex conjugation restricted to the set R and use the properties of complex conjugation). Note now that f(f(z)) = zfor all  $z \in R$ , i.e.  $f \circ f$  is the identity, so f its own inverse. Thus f is a bijection and therefore an isomorphism.

c) The first statement follows from the simple observation that

$$N(a+b\omega) = a^2 - ab + b^2 = (a+b\omega)(a+b\overline{\omega}) = (a+b\omega)f(a+b\omega)$$

so N(x) = xf(x) for all  $x \in R$ , where f is the isomorphism from a). In fact, we have

$$N(xy) = xyf(xy) = xyf(x)f(y) = xf(x)yf(y) = N(x)N(y).$$

If  $N(x) = \pm 1$  then  $xf(x) = \pm 1$  so x is invertible. Conversely, assume that x is invertible. Then xy = 1 for some  $y \in R$  and therefore

$$1 = N(1) = N(xy) = N(x)N(y).$$

Since both N(x), N(y) are integers, we must have  $N(x) = \pm 1$ .

Suppose now that |N(x)| is a prime number. If x = st for some  $s, t \in R$ , then |N(x)| = |N(st)| = |N(s)||N(t)|. But |N(x)| is a prime number and |N(s)|, |N(t)| are integers, so one of |N(s)|, |N(t)| must be equal to 1. By our previous observation, this means that one of s, t is invertible. Thus x is irreducible.

**Remark:** Note that N(x) is non-negative for all  $x \in R$ , so the absolute value above is not needed and N(x) = -1 is not possible.

d) By c), the element  $a + b\omega$  is invertible iff  $a^2 - ab + b^2 = 1$ . Note that  $a^2 - ab + b^2 = (a - b/2)^2 + 3b^2/4 = 3a^2/4 + (b - a/2)^2$ . Since a, b are integers, we see that if  $a^2 - ab + b^2 = 1$  then both |a| < 2 and |b| < 2. Now it is straightforward to see that the only integral solutions to  $a^2 - ab + b^2 = 1$  are (1, 0), (-1, 0), (0, 1), (0, -1), (-1, -1, ), (1, 1). These correspond to  $1, -1, \omega, -\omega, \overline{\omega}, -\overline{\omega}$  respectively.

e) If  $xy \in pR$  then xy = pz for some  $z \in R$ . Thus

$$N(x)N(y) = N(xy) = N(pz) = N(p)N(z) = p^2N(z).$$

Since the values of N are integers, we see that p|N(x)N(y). It follows that p|N(x) or p|N(y).

f) Suppose that  $p|a^2 - ab + b^2$ . Since  $(2a - b)^2 + 3b^2 = 4(a^2 - ab + b^2)$ , we have  $p|(2a - b)^2 + 3b^2$ , i.e  $(2a - b)^2 \equiv -3b^2 \pmod{p}$ . If  $p \nmid b$  then multiplying the congruence by  $b^{p-3}$  and using Fermat's Little Theorem, we get

$$[(2a-b)b^{(p-3)/2}]^2 \equiv -3b^{p-1} \equiv -3 \pmod{p}$$

which contradicts our assumption that -3 is a quadratic non-residue mudulo p. Thus we must have p|b. Since  $p|a^2 - ab + b^2$ , we have  $p|a^2$  so also p|a.

g) If both a, b are odd or if one of them is odd and the other even then  $a^2 - ab + b^2$  is odd. On the other hand, if both a, b are even then clearly  $a^2 - ab + b^2$  is even. This proves the claim.

h) Suppose  $xy \in pR$ , where  $x = a + b\omega$ ,  $y = c + d\omega$ . By e), we have p|N(x) or p|N(y). If  $p|N(x) = a^2 - ab + b^2$  then by f) and g) we get p|a and p|b so  $a + b\omega \in pR$ . Similarly, if p|N(y) then  $c + d\omega \in pR$ . This proves that pR is a prime ideal. Note now that R/pR has  $p^2$  elements so it is a finite domain, hence a field. It follows that pR is maximal.

i) Suppose that -3 is a quadratic residue modulo p, so  $k^2 \equiv -3 \pmod{p}$  for some integer k. We may assume that k = 2l - 1 is odd (if not, replace k by p + k). To show that pR is not prime it suffices to find integers a, b such that  $x = a + b\omega$ ,

 $y = a + b\overline{\omega} = a - b - b\omega$  do not belong to pR but  $xy = a^2 - ab + b^2$  belongs to pR. This will be the case if  $p \nmid b$  but  $p|a^2 - ab + b^2$ . Let us take a = l, b = 1 so  $a^2 - ab + b^2 = l^2 - l + 1 = [(2l - 1)^2 + 3]/4 = (k^2 + 3)/4$ . Since p is odd and  $p|k^2 + 3$ , we see that  $p|l^2 - l + 1$ . We showed that neither  $x = l + \omega$  nor  $y = l + \overline{\omega}$  belong to pR but  $xy \in pR$ . Thus pR is not prime.

**Problem 2.** Let R be an integral domain and let  $a \in R$  be irreducible but not prime. Prove that if P is a prime ideal of R and  $a \in P$  then P is not principal.

**Solution:** Suppose that a is irreducible but not prime and let P be a prime ideal containing a. Thus  $aR \subseteq P$  but  $aR \neq P$  (since a is not prime). Recall that a is irreducible iff aR is maximal among all proper principal ideals. Since P is proper and bigger than aR, it can not be principal.

Another argument: Suppose that P = bR is principal. Since P is proper, b is not invertible. Since  $a \in P = bR$ , we have a = bc for some  $c \in R$ . But a is irreducible, so either b or c is invertible. We alread know that b is not invertible, so c is invertible and therefore aR = bR, a contradiction. It proves that P is not principal.

**Problem 3.** Let  $R = \mathbb{Z}[\sqrt{-6}]$ .

a) Prove that  $\sqrt{-6}$ , 2 and 3 are irreducible in R (use the map N defined in problem 3 of homework 22). Prove that 1, -1 are the only elements invertible in R.

b) Note that  $-6 = \sqrt{-6}\sqrt{-6} = (-2) \cdot 3$  and conclude that R is not a UFD.

c) Prove that the ideal  $P = \langle 2, \sqrt{-6} \rangle$  satisfies  $P \cdot P = 2R$ .

**Remark.** In particular,  $2 \in P \cdot P$ . Note however that 2 cannot be written as *ab* with  $a \in P$  and  $b \in P$  (since 2 is irreducible). Thus we get an example justifying our answer to v) of Problem 8 from homework 14.

d) Show that P and 1 + P are the only cosets of P in R. Conclude that R/P has two elements and is a field. Use problem 2 to show that P is not principal.

**Solution:** a) We will use te map N defined by  $N(a + b\sqrt{-6}) = a^2 + 6b^2$ . Note that if  $b \neq 0$  then  $N(a + b\sqrt{-6}) \geq 6$ . Recall now that we proved in Problem 3 of homework 22 that  $a + b\sqrt{-6}$  is invertible iff  $N(a + b\sqrt{-6}) = \pm 1$ . Thus  $a + b\sqrt{-6}$ 

is invertible iff b = 0 and  $a = \pm 1$ , which proves that 1, -1 are the only invertible elements of R.

Observe now that if  $a + b\sqrt{-6}$  is not invertible then either  $b \neq 0$  or  $|a| \geq 2$ , so in both cases  $N(a + b\sqrt{-6}) \geq 4$  Consequently, if neither x nor y is invertible then  $N(xy) = N(x)N(y) \geq 4 \cdot 4 = 16$ . In other words, if  $z \in R$  is not irreducible then  $N(z) \geq 16$ . But  $N(\sqrt{-6}) = 6$ , N(2) = 4, N(3) = 9, so 2, 3,  $\sqrt{-6}$  are all irreducible.

b) By a),  $-6 = \sqrt{-6}\sqrt{-6} = (-2) \cdot 3$  are two irreducible factorizations of -6. Since 1, -1 are the only units of R, neither 2 nor 3 is associated to  $\sqrt{-6}$ . Thus these factorizations are not equivalent and therefore R is not a UFD.

c) Since  $P = \langle 2, \sqrt{-6} \rangle$ , we have (by Problem 3 of homework 19)

$$P \cdot P = <2 \cdot 2, 2 \cdot \sqrt{-6}, \sqrt{-6} \cdot \sqrt{-6} > = <4, 2 \cdot \sqrt{-6}, -6 >$$

We see that every generator of  $P \cdot P$  belongs to 2R, so  $P \cdot P \subseteq 2R$ . On the other hand,  $2 = (-1) \cdot 4 + (-1)(-6) \in P \cdot P$  (since both 4 and -6 are in  $P \cdot P$ ), so  $2R \subseteq P \cdot P$ . This proves that  $2R = P \cdot P$ .

d) Clearly  $1 \notin P$  (otherwise we would have R = P and  $P^2 = R$ , which contradicts c)) so P and 1 + P are different cosets. Now if  $x = a + b\sqrt{-6}$  and a = 2c is even then  $x = c \cdot 2 + b\sqrt{-6} \in P$ , so x + P = P. If  $x = a + b\sqrt{-6}$  and a = 2c + 1 is odd then  $x - 1 = c \cdot 2 + b\sqrt{-6} \in P$ , so x + P = 1 + P. This proves that P and 1 + P are the only cosets of P in R. Thus R/P has 2 elements and is isomorphic to the field  $\mathbb{Z}/2$ . Thus P is a miximal ideal, so also a prime ideal. Note that  $2 \in P$ . Recall that 2 is irreducible. Note however that 2 is not prime since  $\sqrt{-6} \notin 2R$  bur  $\sqrt{-6^2} = -6 \in 2R$ . By problem 2, P is not principal.