Mathematical Proceedings of the Cambridge Philosophical Society

http://journals.cambridge.org/PSP

Additional services for **Mathematical Proceedings of the Cambridge Philosophical Society:**

Email alerts: <u>Click here</u> Subscriptions: <u>Click here</u> Commercial reprints: <u>Click here</u> Terms of use : <u>Click here</u>

A ring in graph theory



Mathematical Proceedings of the Cambridge Philosophical Society / Volume 43 / Issue 01 / January 1947, pp 26 - 40 DOI: 10.1017/S0305004100023173, Published online: 24 October 2008

Link to this article: http://journals.cambridge.org/abstract_S0305004100023173

How to cite this article:

W. T. Tutte (1947). A ring in graph theory. Mathematical Proceedings of the Cambridge Philosophical Society, 43, pp 26-40 doi:10.1017/S0305004100023173

Request Permissions : Click here





A RING IN GRAPH THEORY

By W. T. TUTTE

Received 10 April 1946

1. INTRODUCTION

We call a point set in a complex K a 0-cell if it contains just one point of K, and a 1-cell if it is an open arc. A set L of 0-cells and 1-cells of K is called a *linear graph* on K if

(i) no two members of L intersect,

- (ii) the union of all the members of L is K,
- (iii) each end-point of a 1-cell of L is a 0-cell of L

and (iv) the number of 0-cells and 1-cells of L is finite and not 0.

Clearly if L is a linear graph on K, then K is either a 0-complex or a 1-complex, and L contains at least one 0-cell.

A 1-cell of L is called a *loop* if its two end-points coincide and a *link* otherwise.

We say that L is connected if K is connected. If not then the subset of L consisting of the 0-cells and 1-cells of L which are in a component K_1 of K constitute a component of L. A component of a linear graph is itself a linear graph.

Let the numbers of 0-cells and 1-cells of a linear graph L on a complex K be $\alpha_0(L)$ and $\alpha_1(L)$ respectively. Then if $p_i(L) = p_i(K)$ is the Betti number of dimension i of K we have by elementary homology theory

$$\alpha_1(L) - \alpha_0(L) = p_1(L) - p_0(L). \tag{1}$$

Let L_1, L_2 be linear graphs on K_1, K_2 respectively. Then if there is a homoeomorphism of K_1 on to K_2 which maps each *i*-cell of L_1 on to an *i*-cell of L_2 (i = 0, 1) we say that L_1 and L_2 are *isomorphic* and write

$$L_1 \cong L_2. \tag{2}$$

If L_1 and L_2 are two linear graphs whose complexes K_1 and K_2 do not meet, then together they constitute a linear graph L on the union of K_1 and K_2 . We call it the product of L_1 and L_2 and write

$$L = L_1 L_2. \tag{3}$$

The set of all the 0-cells of a linear graph L, together with an arbitrary subset of the 1-cells constitutes a linear graph S which we call a subgraph of L. We call S a subtree of L if $p_0(S) = 1$ and $p_1(S) = 0$.

Let A be a link in a linear graph L on a complex K. By suppressing A we derive from L a linear graph L'_A on a complex K'_A . By identifying all the points of the closure of A in K and taking the resulting point as a 0-cell of the new linear graph we derive from L a linear graph L'_A on a complex K'_A .

Now there exist single-valued functions W(L) on the set of all linear graphs to the ring I of rational integers which obey the general laws

$$W(L_1) = W(L_2) \quad \text{if} \quad L_1 \cong L_2 \tag{4}$$

$$W(L) = W(L'_{A}) + W(L''_{A}),$$
(5)

and

where A is any link of L. Some of these functions also satisfy

$$W(L_1 L_2) = W(L_1) W(L_2), (6)$$

27

whenever the product $L_1 L_2$ exists.

We give here three examples; all three satisfy (4) and (5) and the last two satisfy (6). Proofs of these statements will emerge later, but the reader may easily verify them at once.

(I) W(L) is the number of subtrees of L. This function is connected with the theory of Kirchhoff's Laws. A summary of its properties and an application of it to dissection problems is given in a paper entitled 'The dissection of rectangles into squares' by Brooks, Smith, Stone and Tutte (*Duke Math. J.* 7 (1940), 312-40). These authors call it the *complexity* of L.

(II) $(-1)^{\alpha_0(L)} W(L)$ vanishes whenever L contains a loop, and is otherwise equal to the number of single-valued functions on the set of 0-cells of L to some fixed set H of a finite number n of elements such that for each 1-cell of L the two end-points are associated with different elements of H.

Important papers dealing with such 'colourings of the 0-cells of L in n colours' are 'The coloring of graphs' by Hassler Whitney* (Ann. Math. 33 (1932), 688–718) and 'On colouring the nodes of a network' by R. L. Brooks (Proc. Cambridge Phil. Soc. 37 (1941), 194–97).

(III) If we orient the 1-cells of L and adopt the convention that the boundary of an oriented loop vanishes, we can define 1-cycles on L with coefficients in a fixed additive Abelian group G of finite order λ . $(-1)^{\alpha_0(L)+\alpha_1(L)}W(L)$ is the number of such 1-cycles on L in which no 1-cell has for coefficient the zero element of G.

These examples suggest that a general theory of functions satisfying the laws (4) and (5) should be constructed, and this paper represents an attempt to develop such a theory. For this purpose it is convenient to have the following definitions.

A W-function (V-function) is a single-valued function on the set of all linear graphs to an additive Abelian group G (commutative ring H) which satisfies equations (4) and (5) (equations (4), (5) and (6)).

In the second section of this paper a ring R is defined such that each linear graph L is associated with a unique element f(L) of R, and it is shown that every W-function to G (V-function to H) can be expressed in the form hf(L) where h is a homomorphism of R considered as an additive group (considered as a ring) into the group G (ring H), and that every such homomorphism is a W-function to G (V-function to H).

In the third section a V-function Z(L) defined in terms of the subgraphs of L is studied; it is used in the next section in the proof of the following theorem.

THEOREM. Let $(x_0, x_1, x_2, ...)$ be an infinite sequence of independent indeterminates over the ring I of rational integers. Then R is isomorphic with the ring of all polynomials over I in the x_i having no constant term.

^{*} The $p_1(L)$ of this paper is Whitney's 'nullity', and $p_0(L)$ is Whitney's P. The 'components' in this paper are Whitney's 'pieces': he uses the word 'component' with a different meaning. A footnote to Whitney's paper, dealing with some work of R. M. Forster, is particularly interesting with respect to the subject of the present paper.

Further there is a particular isomorphism in which the element of R corresponding to x, is the element associated with a linear graph having just one 0-cell and just r 1-cells.

In the fifth section those W- and V-functions which are topological invariants of the complexes K are considered, and in the sixth section a particular V-function is applied to some colouring problems.

In the seventh section those 1-complexes K which admit of a simplicial dissection in which each 0-simplex is an end-point of not less than two and not more than three 1-simplexes are studied. A class of topologically invariant functions of these 1-complexes, one member of which is associated with a well-known colouring problem, is investigated, and it is shown that each of these functions has a unique extension as a topologically invariant W-function to all linear graphs.

2. The ring R

From the definitions of $L'_{\mathcal{A}}$ and $L''_{\mathcal{A}}$ it is evident that

$$\alpha_0(L) = \alpha_0(L'_A) = \alpha_0(L''_A) + 1$$
(7)

(8)

$$\mathbf{and}$$

We say that the link A is an *isthmus* if its suppression increases the number of components of a linear graph. Evidently

 $\alpha_1(L) = \alpha_1(L''_A) + 1 = \alpha_1(L'_A) + 1.$

$$p_0(L) = p_0(L''_A) = p_0(L'_A)$$
 or $p_0(L'_A) - 1$, (9)

according as A is not or is an isthmus. Hence by (1)

$$p_1(L) = p_1(L''_A) = p_1(L'_A)$$
 or $p_1(L'_A) + 1$, (10)

according as A is or is not an isthmus.

We call the class of all linear graphs isomorphic with L the isomorphism class L^* of L. We also use clarendon type for isomorphism classes.

If L_1 and L_2 are any two isomorphism classes not necessarily distinct we can find L_1 in L_1 and L_2 in L_2 such that the product $L_1 L_2$ exists. All products formed in this way from L_1 and L_2 are clearly isomorphic. We call their isomorphism class L the product of L_1 and L_2 and write $L = L_1 L_2$. (11)

A graphic form is a linear form in the isomorphism classes L with integer coefficients of which only a finite number may be non-zero. We do not distinguish between an isomorphism class L and the graphic form in which the coefficient of L is unity and all the other coefficients are zero.

We define addition and multiplication for graphic forms by

$$\sum_{i} \lambda_{i} \mathbf{L}_{i} + \sum_{i} \mu_{i} \mathbf{L}_{i} = \sum_{i} (\lambda_{i} + \mu_{i}) \mathbf{L}_{i}$$
(12)

 $\left(\sum_{i} \lambda_{i} \mathbf{L}_{i}\right) \left(\sum_{j} \mu_{j} \mathbf{L}_{j}\right) = \sum_{i,j} \left(\lambda_{i} \mu_{j}\right) \mathbf{L}_{i} \mathbf{L}_{j},$ (13)

where the L_i are isomorphism classes and the λ_i are rational integers.

With these definitions the graphic forms are the elements of a commutative ring B. For the commutative, associative and distributive laws are evidently satisfied; and if $\mathbf{X} = \sum \lambda_i \mathbf{L}_i$ and $\mathbf{Y} = \sum_i \mu_i \mathbf{L}_i$ are any two graphic forms there is a unique graphic form $Z = \sum (\lambda_i - \mu_i) \mathbf{L}_i$ such that $\mathbf{Y} + \mathbf{Z} = \mathbf{X}$. We write $\mathbf{Z} = \mathbf{X} - \mathbf{Y}$. If $\mathbf{X} = \sum_{i} \lambda_i \mathbf{L}_i$ is any graphic form and λ an integer, we denote by $\lambda \mathbf{X}$ the graphic form $\sum_{i} \lambda \lambda_i \mathbf{L}_i$. We also denote by **O** the graphic form whose coefficients are all zero.

If A is a link in a linear graph L we say that the graphic form $L^* - (L'_A)^* - (L''_A)^*$ is a *W*-form. Let *W* denote the set of all linear combinations of a finite number of *W*-forms taken with integer coefficients. Then *W* is a modul of *B*, for with X and Y it contains also X - Y.

Now if L_0 is any linear graph such that the product $L_0 L$ exists we have

$$(L_0 L)'_A = L_0 L'_A$$
 and $(L_0 L)''_A = L_0 L''_A$.

Therefore if X is any W-form and L any isomorphism class, then LX is also a W-form. Hence by (12) and (13) for any $Y \in W$ and any $Z \in B$, we have $YZ \in W$. That is, W is an ideal of the commutative ring B. We denote the difference ring B - W by R.

The elements of R are the cosets mod. W in B. If we denote the coset of $X \mod W$ by [X], addition and multiplication in R satisfy

$$[X] + [Y] = [X + Y],$$
(14)

$$[\mathbf{X}][\mathbf{Y}] = [\mathbf{X}\mathbf{Y}]. \tag{15}$$

THEOREM I. A single-valued function W(L) on the set of all linear graphs L to an additive Abelian group G (commutative ring H) is a W-function (V-function) if and only if it is of the form $h[L^*]$ where h is a homomorphism of the additive group R (ring R) into G (H).

Now the functions W(L) which satisfy (4) depend only on the isomorphism classes. For such functions we write W(L) = W(L) where L is the isomorphism class of the linear graph L. W(L) can now be extended to all graphic forms by writing

$$W\left(\sum_{i} \lambda_{i} \mathbf{L}_{i}\right) = \sum_{i} \lambda_{i} W(\mathbf{L}_{i}).$$
(16)

If W(L) satisfies (6) we have also $W(L_1L_2) = W(L_1) W(L_2)$ for any two isomorphism classes L_1 and L_2 , and therefore, by (13) and (16),

$$W(\mathbf{X}_1\mathbf{X}_2) = W(\mathbf{X}_1) W(\mathbf{X}_2), \tag{17}$$

where X_1 and X_2 are any two graphic forms.

By (16) and (17) any single-valued function W(L) satisfying equation (4) (equations (4) and (6)) is of the form $h_0 L^*$ where h_0 is a homomorphism of *B* considered as an additive group (considered as a ring) into G(H); and conversely it is evident that if h_0 is any such homomorphism, the function $h_0 L^*$ satisfies equation (4) (equations (4) and (6)).

W(L) then satisfies (5) if and only if h_0 maps all W-forms and therefore all elements of W on to the zero element of G(H). This is equivalent to the condition that h_0L^* shall depend only on the coset $[L^*]$. The theorem now follows from (14) and (15).

Let y_r denote any linear graph having just one 0-cell and just r 1-cells (necessarily loops). Clearly all such linear graphs (for a fixed r) are isomorphic. We denote their isomorphism class by y_r . We call the members of the y_r elementary graphs. Clearly

$$p_0(y_r) = 1,$$
 (18)

$$p_1(y_r) = r. \tag{19}$$

THEOREM II. If L is any linear graph then $[L^*]$ can be expressed as a polynomial $P[L^*] = P([L^*]; [y_0], [y_1], [y_2], ...)$ in the $[y_i]$ such that

(i) $P[L^*]$ has no constant term,

(ii) the coefficients of $P[L^*]$ are non-negative rational integers,

(iii) the degree of $P[L^*]$ is $\alpha_0(L)$,

(iv) $P[L^*]$ involves no suffix i greater than $p_1(L)$,

and (v) if L is connected and has no isthmus A such that for some component L_0 of L'_A , $p_1(L_0) = 0$; then $P[L^*]$ is of the form $[y_p] + [Q]$ where $p = p_1(L)$ and [Q] is a polynomial in those $[\mathbf{y}_i]$ for which i is less than p.

The proof is by induction. We first observe that if $\alpha_1(L)$ is zero, then L is the product of $\alpha_0(L)$ elementary graphs each isomorphic with y_0 . Hence by (15)

$$[L^*] = [\mathbf{y}_0]^{\alpha_0(L)}$$

and so the theorem is true for L.

Assume that the theorem is true for all connected linear graphs having fewer than some finite number n of 1-cells. Let L be any linear graph having just n 1-cells. If L is c

connected, then either
$$\alpha_0(L) = 1$$
, in which case

$$[L^*] = [\mathbf{y}_n],$$

and so the theorem is true for L, or else L contains a link A. In the second case we have $(L^* - (L'_A)^* - (L''_A)^*) \in W,$

$$[L^*] = [(L'_{\mathcal{A}})^*] + [(L''_{\mathcal{A}})^*].$$
(20)

By (8) $L'_{\mathcal{A}}$ and $L''_{\mathcal{A}}$ have each fewer 1-cells than L and so by the inductive hypothesis the theorem is true for them. The propositions (i) to (iv) follow immediately for Lfrom (20) with the help of (7) and (10).

Now suppose that L satisfies the conditions of (v). Then $L'_{\mathcal{A}}$ also satisfies these conditions since it is formed from L by identifying all the points and end-points of a link, a process which cannot alter the number of components of L'_B , where B is any link other than A of L. Hence by hypothesis

$$[(L''_{A})^{*}] = [\mathbf{y}_{p}] + [\mathbf{Q}_{p}], \tag{21}$$

where p is $p_1(L)$, and $[\mathbf{Q}_p]$ denotes any polynomial (not always the same polynomial) in those $[\mathbf{y}_i]$ for which i < p.

We also have $[(L'_{A})^{*}] = [\mathbf{Q}_{p}].$ (22)This follows at once from (10) and (iv) when A is not an isthmus. If A is an isthmus, $L'_{\mathcal{A}}$ is of the form $L_0 L_1$. Since L satisfies the conditions of (∇) , $p_1(L_0)$, $p_1(L_1) > 0$, and therefore since $p_1(L_0) + p_1(L_1) = p_1(L'_A)$ we have $p_1(L_0), p_1(L_1) < p_1(L'_A)$. Consequently $[(L'_{A})^{*}] = [(L_{0})^{*}][(L_{1})^{*}] = [Q_{p}] \text{ and } (22) \text{ is still valid.}$

By (20), (21) and (22) $[L^*] = [\mathbf{y}_p] + [\mathbf{Q}_p].$

This completes the proof that the theorem for connected linear graphs is true when $\alpha_1(L) = n$ if it is true for $\alpha_1(L) < n$. We have proved it for $\alpha_1(L) = 0$ and therefore it is true in general. If L is not connected we can obtain $P[L^*]$ satisfying the theorem by multiplying together the polynomials of its components.

COROLLARY. Any element [X] of R can be expressed as a polynomial in the $[\mathbf{y}_i]$ with rational integer coefficients and no constant term.

For X is a finite linear form in the L_i with integer coefficients.

 $\mathbf{30}$

3. SUBGRAPHS

Let S denote any subgraph of a linear graph L. Let the number of components T of S such that $p_1(T) = r$ be $i_r(S)$. We define a function Z(L) of L by

$$Z(L) = \sum_{S} \prod_{r} z_r^{i_r(S)}, \qquad (23)$$

where the z_r are independent indeterminates over the ring I of rational integers. Although (23) involves a formal infinite product, yet for a given S only a finite number of the $i_r(S)$ can be non-zero and so, for each L, Z(L) is a polynomial in the z_i .

THEOREM III. Z(L) is a V-function.

For first it is obvious that Z(L) satisfies (4).

Secondly, if A is any link of L, then the subgraphs of L which do not contain A are simply the subgraphs of L'_A , and the subgraphs S of L which do contain A are in 1-1 correspondence with the subgraphs S''_A of L''_A . For, for such an S, S''_A is a subgraph of L''_A ; and if S_1 is any subgraph of L''_A there is one and only one subgraph S of L having the same 1-cells as S_1 with the addition of A and therefore satisfying $S''_A = S$.

Further $S''_{\mathcal{A}}$ differs from S only in that a component T of S is replaced by $T''_{\mathcal{A}}$; and, by (9) and (10), $T''_{\mathcal{A}}$ is connected and $p_1(T'') = p_1(T)$. Hence $i_r(S''_{\mathcal{A}}) = i_r(S)$ for all r.

Hence by (23) $Z(L) = \sum_{S(L'_{\mathcal{A}})} \prod_{r} z_{r}^{i_{\mathcal{A}}(S)} + \sum_{S(L''_{\mathcal{A}})} \prod_{r} z_{r}^{i_{\mathcal{A}}(S)},$

where $S(L'_A)$ for example denotes a subgraph S of L'_A . Therefore

$$Z(L) = Z(L'_{A}) + Z(L''_{A}),$$
(24)

so that Z(L) satisfies (5).

Thirdly, for any product $L_1 L_2$ the subgraphs of $L_1 L_2$ are simply the products of the subgraphs S_1 of L_1 with the subgraphs S_2 of L_2 . It is evident that

$$i_{r}(S_{1}S_{2}) = i_{r}(S_{1}) + i_{r}(S_{2}),$$

ad therefore
$$Z(L_{1}L_{2}) = \sum_{S_{1},S_{1}} \prod_{r} z_{r}^{i_{r}(S_{1})+i_{r}(S_{2})}$$
$$= \left(\sum_{S_{1}} \prod_{r} z_{r}^{i_{r}(S_{1})}\right) \left(\sum_{S_{1}} \prod_{r} z_{r}^{i_{r}(S_{2})}\right) = Z(L_{1}) Z(L_{2}).$$
(25)

Thus Z(L) satisfies (4), (5) and (6). That is, it is a V-function.

LEMMA.

ar

$$Z(y_r) = \sum_i \binom{r}{i} z_{r-i}.$$
(26)

For each subgraph of y_r has just one 0-cell (§ 2), and therefore just one component. Hence $Z(y_r)$ is a linear form in the z_r . The number of subgraphs S such that $p_1(S) = k$ is the number with $\alpha_1(S) = k$, by (19), and this is the number of ways of choosing k 1-cells out of r.

4. STRUCTURE OF THE RING R

 $\sum_{i=0}^{r} (-1)^{i} {\binom{r}{i}} {\binom{i}{j}} = (-1)^{r} \,\delta_{rj}.$ ⁽²⁷⁾

This equality can be obtained by expanding $x^r = ((x-1)+1)^r$ in powers of (x-1), expanding each of the terms in the resulting series in powers of x, and then equating coefficients.

THEOREM V. R is isomorphic with the ring R_0 of all polynomials in the z_i with integer coefficients and no constant term.

For by Theorem III Z(L) is a V-function with values in R_0 . Hence by Theorem I

$$Z(L) = h[L^*],$$
 (28)

where h is a homomorphism of R into R_0 .

Let $[t_i]$ be the element of R defined by

$$[\mathbf{t}_{i}] = \sum_{j=0}^{i} (-1)^{i+j} {i \choose j} [\mathbf{y}_{j}].$$
(29)

Then, by Theorem IV and the lemma,

$$h[\mathbf{t}_i] = \sum_{j=0}^{i} \sum_{s=0}^{j} (-1)^{i+j} {i \choose j} {j \choose s} z_s = z_i.$$
(30)

If we multiply (29) by $\binom{r}{i}$, sum from i = 0 to i = r, and use the lemma we find

$$[\mathbf{y}_r] = \sum_{i=0}^r \binom{r}{i} [\mathbf{t}_i].$$
(31)

Hence by Theorem II, Corollary, any element [X] of R can be expressed as a polynomial in the $[t_i]$ with integer coefficients and no constant term. Moreover this expression is unique; otherwise there would be a polynomial relationship between the $[t_i]$, and therefore by (30) between the z_i , with integer coefficients, and this would contradict the definition of the z_i . It follows that h is an isomorphism of R on to R_0 (for every integer polynomial in the $[t_i]$ is in R).

THEOREM VI. Let $x_0, x_1, x_2, ...$ be an infinite sequence of connected linear graphs, and $x_0, x_1, x_2, ...$ the corresponding isomorphism classes, such that

(i) $x_0 \cong y_0$,

(ii) $p_1(x_r) = r_1$

and (iii) x_r contains no isthmus A such that for some component L_0 of $(x_r)'_A$, $p_1(L_0) = 0$. Then any element [X] of R has a unique expression as a polynomial in the $[\mathbf{x}_i]$ with integer coefficients and no constant term.

By Theorem II (v) and equation (31) we have, for r > 0,

$$[\mathbf{X}_r] = [\mathbf{t}_r] + [\mathbf{S}_r], \tag{32}$$

where $[\mathbf{S}_r]$ is a polynomial in those $[\mathbf{t}_i]$ for which i < r. Hence

$$[\mathbf{t}_r] = [\mathbf{x}_r] + [\mathbf{U}_r], \tag{33}$$

where $[\mathbf{U}_r]$ is a polynomial in those $[\mathbf{x}_i]$ for which i < r. (If we assume this for r < n it follows for r = n by substitution in (32). Since $[\mathbf{x}_0] = [\mathbf{y}_0] = [\mathbf{t}_0]$ it is true for r = 0, and therefore it is true in general.) Clearly $[\mathbf{S}_r]$ and $[\mathbf{U}_r]$ have no constant terms.

By Theorem II, Corollary, and equations (31) and (33), [X] can be expressed as a polynomial without a constant term in the $[x_i]$.

Suppose this expression not unique. Then there will be a polynomial relationship

$$P([\mathbf{x}_i]) = 0 \tag{34}$$

between the $[x_i]$. Of the terms of non-zero coefficient in $P([x_i])$ pick out the subset M_1 of those which involve the greatest suffix occurring in them raised to the highest power

to which it occurs. Of this subset M_1 pick out the subset M_2 of terms involving the second greatest suffix appearing in M_1 raised to the highest power to which it occurs in M_1 , and so on. This process must terminate in a subset M_k consisting of a single term

$$A[\mathbf{x}_i]^{a(i)}[\mathbf{x}_j]^{a(j)}\dots$$

It is evident that if we substitute from (32) in (34), we shall obtain a polynomial relationship $Q([\mathbf{t}_i]) = 0$

between the $[t_i]$, in which the coefficient of $[t_i]^{a(i)}[t_i]^{a(j)}$... is $A \neq 0$. But it was shown in the proof of Theorem V that there is no polynomial relationship between the $[t_i]$.

This contradiction proves uniqueness and so completes the proof of the theorem.

5. TOPOLOGICALLY INVARIANT W-FUNCTIONS

Let A be a 1-cell of a linear graph L on a complex K. Let p be any point of A. We can obtain a new linear graph M on K from L by replacing A by the point p, taken as a 0-cell of M, and the two components of A - p taken as 1-cells of M. We call this operation a subdivision of A by p.

Given any two linear graphs L_1 , L_2 on the same K we can find a linear graph L_3 which can be obtained from either by suitable subdivisions. Such a linear graph is evidently obtained by taking as the set V of 0-cells the set of all points of K which are 0-cells either of L_1 or of L_2 , and by taking as 1-cells the components of K-V.

We seek the condition that a W-function W(L) shall be topologically invariant, i.e. depend only on K. By the above considerations a necessary and sufficient condition for this is that W(L) shall be invariant under subdivision operations. (For then $W(L_1) = W(L_3) = W(L_2).$

Suppose therefore that A is any 1-cell of L, possibly a loop, and let M be obtained from L by subdividing A by a point p. Let us denote the new 1-cells by B and C. Then by (5) for any W-function W(L)

$$W(M) = W(M'_B) + W(M''_B)$$

= $W((M'_B)'_C) + W((M'_B)''_C) + W(M''_B)$
= $W(p \cdot (M'_B)''_C) + W((M'_B)''_C) + W(M''_B).$

Here p is used to denote the linear graph which consists solely of the 0-cell p. It is isomorphic to y_0 .

By making use of the obvious isomorphisms $M''_B \cong L$ and $(M'_B)''_C \cong L_0$, where L_0 is the linear graph derived from L by suppressing A, we obtain

$$W(M) - W(L) = W(y_0 \cdot L_0) + W(L_0),$$

Therefore $W(M) - W(L) = h([y_0][L_0^*] + [L_0^*]),$ (35)

where h is a homomorphism of R, regarded as an additive group, into an additive Abelian group G (Theorem I).

Let N denote the set of all elements of R which are of the form $[y_0][X] + [X]$. Clearly N is an ideal of R. Let $\{X\}$ denote that element of the difference ring R - N which contains [X].

THEOREM VII. A function W(L) on the set of all linear graphs L to the additive Abelian group G (commutative ring H) is a topologically invariant W-function (V-function) if PSP 43, I

and only if it is of the form $k\{L^*\}$, where k is a homomorphism of the additive group R-N (ring R-N) into G (H).

For in (35), by a proper choice of L, we can have any linear graph we please as L_0 . It follows that the necessary and sufficient condition for the W-function W(L) to be topologically invariant is that h shall map all elements of R of the form $[y_0][L^*] + [L^*]$ and therefore all elements of N on to the zero of G. This proves the theorem for Wfunctions. The same argument applies to V-functions, except that h in (35) is then a homomorphism of R (as a ring) into the ring H.

THEOREM VIII. Let x_0, x_1, x_2, \dots be as in the enunciation of Theorem VI.

Then any element $\{\mathbf{X}\}$ of R-N has a unique expression as a polynomial in the $\{\mathbf{x}_i\}$ (i > 0) with integer coefficients.

For we can obtain such an expression for $\{X\}$ by replacing each $[x_i]$ by the corresponding $\{x_i\}$ in the expression for [X] in terms of the $[x_i]$ whose existence is asserted in Theorem VI. Now for all $\{X\}, \{X\} + \{y_0\} \{X\} = \{O\}$, and so R - N has a unity element $-\{y_0\} = -\{x_0\}$ which we may denote by 1. Hence $\{x_0\}$ is not an indeterminate over I, and we can regard our polynomial for $\{X\}$ as a polynomial in those $\{x_i\}$ for which i > 0 (with perhaps a constant term).

If this expression for $\{X\}$ is not unique then there will be a polynomial $\{P\}$ in the $\{x_i\}$ (i > 0) without a constant term such that

$$A\{\mathbf{x}_{0}\} + \{\mathbf{P}\} = \{\mathbf{O}\},\$$

where A is some integer. Hence if [P] is the polynomial of the same form in the $[\mathbf{x}_i]$ we must have $A[\mathbf{x}_0] + [\mathbf{P}] + [\mathbf{X}_0] + [\mathbf{x}_0][\mathbf{X}_0] = [\mathbf{O}]$ (36)

for some $[X_0]$.

Equating coefficients of like powers of $[\mathbf{x}_0]$, as is permissible by Theorem VI, we see that $[\mathbf{X}_0]$ cannot involve $[\mathbf{x}_0]$, and hence that $A = -[\mathbf{X}_0] = [\mathbf{P}]$. Consequently $\{\mathbf{P}\}$ is a constant and therefore, by its definition, the zero polynomial in the $\{\mathbf{x}_i\}$. The theorem follows.

6. Some colouring problems

The homomorphism of the ring R_0 (see Theorem V) into the ring of polynomials in two independent indeterminates t and z by the correspondence $z_i \rightarrow tz^i$ transforms Z(L)into $Q(L; t, z) = \sum_{S} t^{p_0(S)} z^{p_1(S)}$ (37)

by (23). Since Z(L) is of the form $h[L^*]$ where h is a homomorphism of R into R_0 (Theorems I and III). Q(L; t, z) can be defined by a homomorphism of R into the ring of polynomials in t and z and is therefore a V-function (Theorem I).

The coefficient of $t^a z^b$, for fixed a, b, therefore satisfies (4) and (5) and so is a W-function. Writing a = 1, b = 0 we obtain the function of Example I of the Introduction. This function satisfies $W(L_1 L_2) = 0$ (by (37) since $p_0(S)$ is always positive) and so it can be regarded as a V-function with values in the ring constructed from the additive group of the rational integers by defining the 'product' of any two elements as 0.

Q(L; t, z) has an interesting property which we call

THEOREM IX. If L_1 and L_2 are connected dual linear graphs on the sphere then

$$\frac{1}{t}Q(L_1; t, z) = \frac{1}{z}Q(L_2; z, t).$$
(38)

This follows from (37) as a consequence of the fact that there is a 1-1 correspondence $S \rightarrow S'$ between the subgraphs S of L_1 and the subgraphs S' of L_2 such that

and
$$p_0(S) = p_1(S') + 1$$

 $p_1(S) = p_0(S') - 1.$

(S' is that subgraph of L_2 whose 1-cells are precisely those not dual to 1-cells of S.) For a proof of this proposition reference may be made to the paper 'Non-separable and planar graphs' by Hassler Whitney (*Trans. American Math. Soc.* 34 (1932), 339-62).

We go on to consider two kinds of colourings of a linear graph, which we distinguish as α -colourings and β -colourings. An α -colouring of L of degree λ is a single-valued function on the set of 0-cells of L to a fixed set H the number of whose elements is λ .

If f is an α -colouring let $\phi(f)$ denote the number of 1-cells A of L such that f associates all the end-points of A with the same element of H (e.g. every loop has this property). We say that any subgraph of L all of whose 1-cells have this property for f is associated with f. We use the symbol S(f) to denote a subgraph associated with a given f, and f(S) to denote any α -colouring with which a given S is associated.

THEOREM X. Let $J(L; \lambda, \phi)$ be the number of α -colourings f of L of degree λ for which $\phi(f)$ has the value ϕ . Then the following identity is true.

$$\sum_{\phi} J(L; \lambda, \phi) x^{\phi} = (x-1)^{\alpha_0(L)} Q\left(L; \frac{\lambda}{x-1}, x-1\right)$$
(39)

where x is an indeterminate over I.

For, by (37) and (1), the right-hand side is

$$(x-1)^{\alpha_0(L)} \sum_{S} \lambda^{p_0(S)} (x-1)^{p_1(S)-p_0(S)} = \sum_{S} (x-1)^{\alpha_1(S)} \lambda^{p_0(S)}$$
$$= \sum_{S} \left((x-1)^{\alpha_1(S)} \sum_{f(S)} 1 \right)$$

for the α -colourings associated with S are precisely those which map all the 0-cells in the same component of S on to the same element of H. This last expression equals

$$\sum_{f} \sum_{S(f)} (x-1)^{\alpha_1(S)} = \sum_{f} x^{\phi(f)}$$

since the number of subgraphs associated with f and having just $\alpha_1(S)$ 1-cells is the number of ways of choosing $\alpha_1(S)$ 1-cells out of $\phi(f)$. This completes the proof of the theorem.

If we write x = 0 in (39) we find that $(-1)^{\alpha_0(L)} J(L; \lambda, 0)$, which is Example II of the Introduction, is the V-function $Q(L; -\lambda, -1)$. We thus obtain the well-known result* $J(L; \lambda, 0) = \sum_{S} (-1)^{\alpha_1(S)} \lambda^{p_0(S)}.$

* Hassler Whitney, 'A logical expansion in mathematics', Bull. American Math. Soc. 38 (1932), 572-9.

If we orient the 1-cells of L and adopt the convention that the boundary of an oriented loop vanishes, we can define 1-cycles on L with coefficients in some fixed additive Abelian group G of finite order λ . The number* of such 1-cycles on L will be $\lambda^{p_1(L)}$. We call them β -colourings of L with respect to G.

Let $E(L; G, \psi)$ be the number of such 1-cycles for which just ψ of the 1-cells have coefficient zero. Let g_G be any β -colouring with respect to G of L and let $\psi(g_G)$ be the number of its zero coefficients[†]. We say that a subgraph S of L is associated with g_G if every 1-cell of L not in S is assigned the zero element of G as its coefficient in g_G . We use the symbol $S(g_G)$ to denote a subgraph of L associated with g_G and $g_G(S)$ to denote a β -colouring with which a given subgraph is associated. Clearly the number of β -colourings associated with a given S is the number of β -colourings of S, which is $\lambda^{p_1(S)}$.

THEOREM XI. If x is an indeterminate over I then

$$\sum_{\psi} E(L; G, \psi) x^{\psi} = (x-1)^{\alpha_1(L) - \alpha_0(L)} Q\left(L; x-1, \frac{\lambda}{x-1}\right).$$
(40)

$$(x-1)^{\alpha_1(L)-\alpha_0(L)} \sum_{S} (x-1)^{p_0(S)-p_1(S)} \lambda^{p_1(S)} = \sum_{S} \left((x-1)^{\alpha_1(L)-\alpha_1(S)} \sum_{g_q(S)} 1 \right)$$
$$= \sum_{g_q,S(g_q)} \sum_{S} (x-1)^{\alpha_1(L)-\alpha_1(S)} = \sum_{g_q} x^{\psi(g_q)};$$

for the number of subgraphs of L associated with g_G and having just $\alpha_1(L) - \psi(g_G) + r$ 1-cells is the number of ways of choosing r 1-cells out of the $\psi(g_G)$ which have zero coefficient in g_G .

COROLLARY. $E(L; G, \psi)$ is the same for all additive Abelian groups G of the same order λ .

If we write x = 0 in (40) we find that $(-1)^{\alpha_1(L)-\alpha_0(L)} E(L; G, 0)$, which is Example III of the Introduction, is the V-function $Q(L; -1, -\lambda)$. It takes the value -1 when L is y_0 and therefore corresponds to a homomorphism of R into the ring of rational integers which maps N into 0. It is therefore, by the preceding section, topologically invariant.

If L_1 and L_2 are dual linear graphs on the sphere, the β -colourings of L_1 are closely connected with the α -colourings of L_2 . In fact a 1-cycle g_G bounds on the sphere and any 2-chain which it bounds on the map defined by L_1 has a dual 0-chain which is an α -colouring f_{λ} of L_2 such that $\phi(f_{\lambda}) = \psi(g_G)$.

There is also a relationship between the α -colourings and the β -colourings of the same linear graph L expressed by the following identity in x

$$(x-1)^{\alpha_1(L)} \sum_{\psi} \left(E(L; G, \psi) \left(\frac{\lambda}{x-1} + 1 \right)^{\psi} \right) = \lambda^{\alpha_1(L) - \alpha_0(L)} \sum_{\phi} J(L; \lambda, \phi) x^{\phi}.$$
(41)

This is obtained by writing $\lambda/(x-1)$ for (x-1) in (40) and then eliminating the function Q by means of (39).

* See Lefschetz, Algebraic Topology (Amer. Math. Soc. Colloquium Publications, vol. 27), p. 106.

† It may be mentioned that for graphs on the sphere a β -colouring is essentially equivalent to a colouring of the regions of the map defined by a graph in λ colours. The colours can be represented by elements of G and so the colouring can be represented by a 2-chain on the map with coefficients in G. A β -colouring is simply the boundary of such a 2-chain. The number of 1-cells incident with two regions of the same colour (or incident with only one region) in a given colouring is given by the number $\psi(g_{\theta})$ where g_{θ} is the corresponding β -colouring.

36

A ring in graph theory

7. CUBICAL NETWORKS

We define a *cubical network* as a 1-complex for which there exists a finite simplicial dissection in which each 0-simplex is incident with not less than two, and not more than three 1-simplexes. Clearly any other simplicial dissection of such a complex will have the same property. The 0-simplexes which are each incident with three 1-simplexes we call *nodes*. The set of nodes is evidently independent of the particular simplicial dissection taken.

A component of a cubical network which does not contain a node is evidently a simple closed curve, and if a component does contain nodes then the remainder of it



must consist of a number of non-intersecting open arcs whose end-points are nodes of the component. We call these open arcs the *arcs* of the cubical network.

The number of nodes in a cubical network N is clearly two-thirds of the number of arcs of N. It is therefore even.

Let X be an arc having distinct end-points P and Q in a cubical network N. In a simplicial dissection of N let A_1 , A_2 be those 1-simplexes incident with P, and B_1 , B_2 those 1-simplexes incident with Q, which are not in X. Let a_1 , a_2 , b_1 , b_2 be the other end-points of A_1 , A_2 , B_1 , B_2 respectively. By suitable subdivisions of a given simplicial dissection we can always arrange that a_1 , b_1 , a_2 , b_2 are distinct points and not nodes of N.

Other cubical networks can be obtained from N by replacing X, A_1 , A_2 , B_1 , B_2 , Pand Q by other systems of simplexes (see Fig. 1). If for example we suppress A_1 and B_1 , introduce a new arc Y joining a_1 to b_1 and then introduce an arc Z joining a point in Y

to a point in X, we obtain \overline{N} . We call this process a Λ -operation on N. If N_1 can be obtained from N by a finite sequence of Λ -operations we say that N and N_1 are Λ -equivalent. In such a case it is clear that N_1 has the same number of nodes as N and that if N is connected, so is N_1 .

By suppressing X in N we obtain N'_X , and by suppressing Z in \overline{N} we obtain $\overline{N'_Z}$. We define an *F*-function as a single-valued topologically invariant function on the set of all cubical networks to an additive Abelian group G or commutative ring H which satisfies the general law $F(N) = F(\overline{N'_A}) = F(\overline{N'_A})$ (42)

$$F(N) - F(N'_X) = F(N) - F(N'_Z).$$
(42)

THEOREM XII. If W(L) is a topologically invariant W-function, and F(N) is the value of W(L) for any linear graph on the cubical network N, then F(N) is an F-function.

For let N_0 be the 1-complex obtained from N by identifying all the points of the closure of X, and let L_0 be any linear graph on N_0 (clearly such exist). N_0 is evidently homoeomorphic to the 1-complex obtained from \overline{N} by identifying all the points of the closure of Z. Since W(L) is topologically invariant it follows from (5) that

$$F(N) - F(N'_X) = W(L_0) = F(N) - F(N'_Z),$$

which proves the theorem.

A trivial example of an F-function is $F(N) = x^{n(N)}$ where x is an arbitrary real or complex number and n(N) is one-half of the number of nodes of N. This function also satisfies $F(N_1 \cup N_2) = F(N_1) F(N_2),$ (43)

where N_1 and N_2 are any two disjoint cubical networks and $N_1 \cup N_2$ is their union.

Other *F*-functions may be obtained as follows. We define a subnetwork of *N* as a 1-complex which is the union of all the nodes of *N* and some subset of the arcs and nodeless components of *N*, such that each node of *N* is an end-point of at least one arc of the subset. If the number of arcs of a subnetwork *T* which have a given node *v* of *N* as an end-point (arcs which are loops being counted twice) is odd, we say that *v* is an odd node of *T*. The number of odd nodes of *T* is even, for it is congruent mod. 2 to the number of end-points of arcs of *T* (a loop being regarded as having two end-points, though they happen to coincide). Let k(T) be one-half the number of odd nodes of *T*. Let $\pi_k(N)$ be the number of subnetworks of *N* for which k(T) = k. As an example a cubical network *J* which consists of a single simple closed curve has just two subnetworks—*J* itself and the null complex—and so $\pi_0(J) = 2$ and $\pi_i(J) = 0$ (i > 0).

Let M be the 1-complex obtained from the cubical network N of Fig. 1 by suppressing X, A_1, A_2, B_1 and B_2 . Let T be any subnetwork of N, N'_X, \overline{N} or $\overline{N'}_Z$, and let T_0 be its intersection with M (which is contained in each of these four complexes). If we are told which of a_1, a_2, b_1, b_2 are contained in T_0 it is easy to determine for each of the four cubical networks how many subnetworks there are which agree with T_0 in M, and how many of these have 0 (or 1, or 2) odd nodes outside T_0 . A consideration of the possible cases will show $\pi_k(N) + \pi_k(N'_X) = \pi_k(\overline{N}) + \pi_k(\overline{N'}_Z)$, (44)

whence $(-1)^{n(N)}\pi_k(N)$ satisfies (42) and is thus an *F*-function.

If therefore we define a polynomial D(N; x) by

$$D(N; x) = \sum_{k} \pi_k(N) x^k$$

then $(-1)^{n(N)}D(N; x)$ will be an *F*-function. Further, by an argument analogous to the proof of (25) this *F*-function satisfies (43).

If N has no nodeless component, $\pi_0(N) = D(N; 0)$ is by its definition the number of solutions of Petersen's problem* for N.

We define a Hamiltonian circuit of N as a subnetwork of N which is connected and has no odd nodes. It is easily verified that the residue mod. 2 of the number of Hamiltonian circuits of N satisfies (42), so this also is an F-function.

Let γ_{i+1} $(i \ge 1)$ be a cubical network with just 2i nodes $a_1, a_2, a_3, \ldots, a_{2i}$, having just one arc linking each pair of nodes a_r , a_{r+1} for which r is odd, having just two arcs linking each pair of nodes a_r , a_{r+1} for which r is even, and having two arcs which are loops the end-points of one coinciding in a_1 and those of the other in a_{2i} . The nodes and arcs define a linear graph which we also denote by γ_{i+1} .

THEOREM XIII. Any connected cubical network N of 2n nodes (n > 0) is Λ -equivalent to a homoeomorph of γ_{n+1} .

For first, if N, not being homoeomorphic to γ_{n+1} , contains a simple closed curve K of k > 1 arcs, then N is Λ -equivalent to a cubical network N_1 containing a simple closed curve of k-1 arcs. For we can suppose that K contains the arc X (Fig. 1) and also a_1 and b_1 . Then \overline{N} clearly has the property desired. It follows that by a sequence of Λ -operations we can convert N into a cubical network having a loop.

Let δ_r be the 1-complex derived from γ_{r+1} (r > 0) by suppressing the loop on a_{2r} . If part of a cubical network M meeting the rest of M only in a single node is homoeomorphic with δ_r , we call it a *frond* of M of *degree* r, and say that the node corresponding to a_{2r} is the *base* of the frond. The above argument showed that N is Λ -equivalent to a cubical network N_2 having a frond f (of degree r say).

Secondly either N_2 contains a simple closed curve passing through the base of f, or it is Λ -equivalent to a cubical network having a frond of degree at least r with a simple closed curve through its base. For if the base c_0 of f is not on such a curve there will be a sequence $c_0, c_1, c_2, c_3, \ldots, c_s$ of minimum length such that consecutive nodes c_i, c_{i+1} are linked by an arc C_i , and such that c_s is on a simple closed curve K_1 in N_2 . Otherwise we could extend the sequence c_0, c_1, c_2, \ldots indefinitely in such a way that C_i differed from C_{i+1} for each i without repetitions, which is absurd since N_2 has only a finite number of nodes. By Λ -operations on C_0, C_1, \ldots in turn it is possible to transfer the from to a base on a simple closed curve without altering its degree.

Now at this stage the simple closed curve through the base of the frond may be a loop, in which case N has been transformed into a γ_i -homoeomorph, and i = n + 1 since connexion and number of nodes are invariant under Λ -operations; or it may contain just two arcs in which case N_2 has been transformed into a cubical network having a frond of degree exceeding r; or it can be reduced to a curve of just two arcs by a sequence of Λ -operations on those of its arcs not meeting the base of the frond. Hence if N_2 is not homoeomorphic with γ_{n+1} it can be transformed into a cubical network with a frond of degree greater than r. A finite number of such transformations will therefore change it into a homoeomorph of γ_{n+1} .

* Dénes König, Theorie der Endlichen und unendlichen Graphen (Leipzig, 1936), p. 186.

THEOREM XIV. Let F(N) be any F-function. Then there is a unique topologically invariant W-function W(L) such that W(L) = F(N) whenever L is a linear graph on N.

For the linear graphs γ_{i+1} may be taken as the linear graphs x_{i+1} of Theorem VI. If we make the definitions $\gamma_0 = y_0$ and $\gamma_1 = y_1$ then the γ_i clearly satisfy the conditions of Theorem VI, and so by Theorem VIII $\{L^*\}$ has a unique expression as a polynomial in the $\{\gamma_i\}$. Hence there is a unique topologically invariant W-function W(L) which is equal to F(N) whenever N is a product of γ_i and L is on N. By Theorem XII there is a unique F-function $F_1(N)$ such that $W(L) = F_1(N)$ whenever L is on N.

But if the value of an F-function is given for every product of γ_i , then it is determined for all N. For by (42) if it is known for all N such that n(N) = p and for one cubical network M such that n(M) = p + 1, then it is determined for any cubical network $M_1 \Lambda$ -equivalent to a homoeomorph of M. By applying Theorem XIII to each component having a node we see that every cubical network is Λ -equivalent to a homoeomorph of a product of γ_i and so the required result follows by induction. Since $F(N) = F_1(N)$ whenever N is a product of γ_i it follows that $F(N) = F_1(N)$ for every cubical network N. This proves the theorem.

COROLLARY. For an F-function satisfying (43) 'W-function' can be replaced by 'V-function' in the above argument.

As an example we mention an application of the above theory to the problem of functions obeying the law $f(\overline{N}) = f(N'_X) + f(M_0)$ (45) (see Fig. 1).

By eliminating $f(M_0)$ from two equations of the form (45) it is easy to show that f(N) is an *F*-function multiplied by $(-1)^{n(N)}$. Hence it is fixed when its values for the products of the γ_i are given. But by applying (45) to these products we can show that for them $f(N) = 2^{n(N)}A$ where A is a constant. Since $2^{n(N)}A$ is obviously a solution of (45) it follows that it is the general solution.

TRINITY COLLEGE CAMBRIDGE