

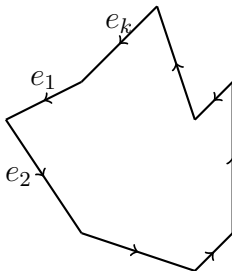
## Gain Graphs and Hyperplane Arrangements

Lecture 18:

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*Proof of Proposition ??.* Let  $L = \{l_1, \dots, l_t\} \subset \mathbb{R}_{>0}^+$  where  $\mathbb{R}_{>0}^+$  denotes the additive semigroup of positive real numbers. We will consider  $\pm LK_n$ , the gain graph with gain group  $\mathbb{R}^+$ , and the affino-graphic arrangement  $\mathcal{A}[\pm LK_n]$ . The objective is to find a set of integral gains  $L' = \{l'_1, \dots, l'_t\} \subset \mathbb{Z}_{>0}^+$  such that  $\langle L'K_n \rangle = \langle LK_n \rangle$ . To guarantee we obtain the same balanced chromatic polynomial, we must keep the same set of balanced circles. How do we achieve this? Consider a circle  $C$  as in the figure below, where  $\varphi(e_j) = \text{some } \pm l_{i_j}$ .



Here  $\varphi(C) = \epsilon_1 l_{i_1} + \epsilon_2 l_{i_2} + \dots + \epsilon_k l_{i_k}$  where  $\epsilon_j \in \{-1, 1\}$  and depends on  $\varphi(e_j)$ . If  $C$  is balanced, then  $\varphi(C) = 0$  and if  $C$  is not balanced, then  $\varphi(C) \neq 0$ . We need to choose  $L'$  so that this preserves balance, i.e.,  $\varphi'(C) = 0$  if and only if  $\varphi(C) = 0$ . So we will have an equation or inequation of the form

$$\epsilon_1 l_{i_1} + \epsilon_2 l_{i_2} + \dots + \epsilon_k l_{i_k} \begin{cases} = 0, \\ \neq 0. \end{cases}$$

That is almost enough to get the set  $L$ , We need  $t$  distinct values. There is one simplification: since we use gains  $\pm l_i$ , it does not matter whether  $l_i$  is positive or negative; but it must not be 0. Thus, we also need to state that  $l_i \neq 0$  and  $l_i \neq \pm l_j$  for  $i \neq j$ .

Now we replace the specific values  $l_i$  with variables  $x_i$  to obtain an equation  $\epsilon_1 x_1 + \epsilon_2 x_2 + \dots + \epsilon_k l_{i_k} = 0$  or an inequation  $\epsilon_1 x_1 + \epsilon_2 x_2 + \dots + \epsilon_k l_{i_k} \neq 0$ . There are  $t$  variables,  $x_1, \dots, x_t$ . We have one equation per balanced circle, one inequation per unbalanced circle, and  $t^2$  inequations  $x_i \neq 0$ ,  $x_i \neq x_j$ , and  $x_i \neq -x_j$  for  $i \neq j$ .

This gives us a system of equalities and inequalities. We look for an integer solution using linear algebra. That solution is guaranteed by Theorem 1.  $\square$

**Theorem 1** (Integralization). *Given  $\alpha_1, \dots, \alpha_p, \beta_1, \dots, \beta_q \in \mathbb{Q}^t$ , the requirements that all  $\alpha_i \cdot x = 0$  and all  $\beta_j \cdot x \neq 0$ , and the existence of a real solution  $y \in \mathbb{R}^t$ , then there exists a rational solution in  $\mathbb{Q}^t$ .*

After obtaining a rational solution, since  $t$  is finite, we can scale by an appropriate integer to obtain an integral solution.

*Proof.* Let the matrix  $A$  have rows  $\alpha_i^\perp$ . Consider the equation  $AX = 0 \in \mathbb{R}^p$ . Any dot product  $\alpha \cdot x = 0$  is forced if and only if  $\alpha \in \text{Row}(A)$ . Therefore, none of the  $\beta_j$ 's are in  $\text{Row}(A)$ . The solution space of  $Ax = 0$  is  $\text{Nul}(A)$ , which can be given in terms of parameters, say  $x_1, \dots, x_r$ ,  $r \leq n$ .  $A$  is a  $p \times t$  matrix. So we can put  $A$  into RREF  $[I_k|A']$  and the condition becomes  $[I_k|A'] \begin{pmatrix} \hat{x} \\ \bar{x} \end{pmatrix} = 0$  where  $\hat{x} = (x_1, \dots, x_k)$  and  $\bar{x} = (x_{k+1}, \dots, x_r)$ . So  $I\hat{x} + A'\bar{x} = 0$  and  $\hat{x} = -A'\bar{x}$ . Let  $B = -A'$ . Then

$$\text{Nul}(A) = \left\{ \begin{pmatrix} I \\ B \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_r \end{pmatrix} : \begin{pmatrix} x_1 \\ \vdots \\ x_r \end{pmatrix} \in \mathbb{R}^r \right\} \subseteq \mathbb{R}^t.$$

So our solution is  $\left\{ \begin{pmatrix} \bar{x} \\ B\bar{x} \end{pmatrix} : \bar{x} \in \mathbb{R}^t \right\}$ . Because  $A$  was a rational matrix and  $B$  was obtained from  $A$  by row operations,  $B$  is also a rational matrix. Therefore  $\bar{x} \in \mathbb{Q}^r$  implies  $x \in \mathbb{Q}^t$ . Therefore we have found a rational solution. We can choose  $\bar{x}$  arbitrarily near  $\bar{y}$  so that  $x$  is arbitrarily near  $y$ . This means each  $\beta_j \cdot x$  is changed too little to become 0, therefore we preserve all  $\beta_j \cdot x \neq 0$ .  $\square$

Now we know that we can replace real gains by rational gains and therefore by integral gains. This raises a natural question: How much (or little) do we need to perturb the real gains to obtain the rational gains? In particular, what is the smallest integer  $d > 0$  such that perturbing  $y$  by  $< 1/d$  gives a rational solution? Put differently, what is the smallest  $D$  such that rounding  $Dy$  to the nearest integer vector gives a solution? More simply, we might try multiplying the real gains by  $10^m$  (for some positive integer  $m$ ) and then rounding to the nearest integer. But what  $m$  is sufficiently large?

Virtually the same proof as that of Proposition ?? works for any additive real gain graph. Thus:

**Theorem 2** (Gain Graph Integralization). *Let  $\Phi$  be any  $\mathbb{R}^+$ -gain graph. Then there exists a  $\mathbb{Z}^+$ -gain graph  $\Phi'$  with the same biased graph and therefore the same chromatic polynomials.*

We can infer even more extensive conclusions from Theorem 2. Every complex additive gain graph  $\Phi$  can be replaced by a gain graph  $\Phi'$  whose gains are Gaussian integers. Indeed, gains in any real vector space  $\mathbb{R}^d$  can be replaced by vectors in  $\mathbb{Z}^d$ . The gains could even be polynomials over  $\mathbb{R}$  or  $\mathbb{C}$ .

Notice that  $\pm LK_n$  is similar to a hollow extended Catalan arrangement, which by definition is  $\mathcal{A}[\pm[1, t]_{\mathbb{Z}}K_n]$  where  $\pm[1, t]_{\mathbb{Z}}$  is the interval of integers from 1 to  $t$ . I will develop this thought in the final lecture.