

# TUTTE FUNCTIONS OF MATROIDS

JOANNA ELLIS-MONAGHAN  
THOMAS ZASLAVSKY

*AMS Special Session on Matroids*

University of Kentucky

27 March 2010

$$F(M) = \delta_e F(M \setminus e) + \gamma_e F(M/e)$$

... and then, what?

## TUTTE INVARIANTS

## Tutte/Brylawski Theorems (graphs/matroids):

Given a “*Tutte–Grothendieck invariant*”

$F : \{\text{matroids}\} \rightarrow$  commutative, unital ring  $A$ , s.t.

- (1) *Universal Domain*:  $F(M)$  is defined for every finite matroid.
- (2) *Invariance*:  $F(M) = F(N)$  if  $M \cong N$ .
- (3) *Multiplicativity*:  $F(M \oplus N) = F(M) \cdot F(N)$ .
- (4) *Unitarity*:  $F(\emptyset) = 1$ .
- (5) *Deletion-Contraction Law*:

$$F(M) = F(M \setminus e) + F(M/e)$$

if  $e$  neither a loop nor a coloop is.

Conclusion:

Each matroid  $M$  has a polynomial  $T_M$  (not depending on  $F$ ) s.t.

$$F(M) = T_M(x, y) = \sum_{i,j \geq 0} t_{ij} x^i y^j,$$

where  $x = F(\text{coloop})$ ,  $y = F(\text{loop})$ .

That is:  $M \mapsto T_M \in \mathbb{Z}[x, y]$ .

$\mathbb{Z}[x, y]$ : the **universal ring** for Tutte–Grothendieck invariants.

Given a “*Tutte invariant*”

$F : \{\text{matroids}\} \rightarrow$  module, that satisfies (1, 2, 4, 5).

Conclusion:

$$F(M) = \sum_{i,j \geq 0} t_{ij} a_{ij},$$

where  $a_{ij} = F(i \text{ coloops}, j \text{ loops})$ .

That is,  $M \mapsto \tilde{T}_M \in \mathbb{Z}\{x_{ij} : i, j \geq 0\} \cong \mathbb{Z}[x, y]^+$ .

$\mathbb{Z}[x, y]^+$  is the **universal module** for Tutte invariants.

## STRONG TUTTE FUNCTIONS

*Can we weaken any of the hypotheses?*

- (1) Restrict  $F$  to graphic matroids (Tutte). Or even further?
- (2) ?
- (3) Multiplicativity: Keep.
- (4) ?
- (5)  $F(M) = \delta F(M \setminus e) + \gamma F(M/e)$ : same  $T_M$  with renormalized  $x, y$ .  
Weaken further?

The approach of

Thomas Zaslavsky,  
Strong Tutte functions of matroids and graphs.  
*Trans. Amer. Math. Soc.* **334** (1992), 317–347.

A *strong Tutte function*,  $F : \mathcal{M} \rightarrow \text{field } K$ , s.t.

- (1) *Domain*: any minor-closed class  $\mathcal{M}$  that contains all 3-point matroids.
- (3) *Multiplicativity*:  $F(M \oplus N) = F(M) \cdot F(N)$ .
- (4) *Unitarity*:  $F(\emptyset) = 1$ .
- (5) *Parametrized Deletion-Contraction*:

$$F(M) = \delta_e F(M \setminus e) + \gamma_e F(M/e)$$

if  $e$  neither a loop nor a coloop is.

( $\delta_e, \gamma_e \in K$  depend on  $e$ .)

**Theorem 1** (Zaslavsky). *There are 6 types of nontrivial strong Tutte function, each having its own universal polynomial. One type (“normal”) exists for all possible parameters.*

## ALGEBRAS

The approach of

Bela Bollobás and Oliver Riordan,

A Tutte polynomial for coloured graphs.

*Combin. Probab. Comput.* **8** (1999), 45–93.

Given:  $F : \{\text{graphic matroids}\} \rightarrow$  commutative ring  $A$ , s.t.

- (1) *Domain*:  $F$  is defined on all graphic matroids, or on any minor-closed class  $\mathcal{M}$  that contains all 3-point matroids.
- (3) *Multiplicativity*:  $F(M \oplus N) = F(M) \cdot F(N)$ .
- (4) *Unitarity*:  $F(\emptyset) = 1$ .
- (5) *Parametrized Deletion-Contraction*. ( $\delta_e, \gamma_e \in A$  depend on  $e$ .)

Conclusion: Universal scalars and algebra:

$$\tilde{A} := \mathbb{Z}[d_e, c_e : \forall e],$$

and the *Tutte algebra*,

$$\mathbf{W}(\mathcal{M}) := \tilde{A}[x_e, y_e : \forall e] / \hat{\Delta},$$

where

$$\begin{aligned} \hat{\Delta} := \langle\langle c_f x_e - c_e x_f - d_e y_f + d_f y_e, (d_e y_f - d_f y_e - d_e c_f + c_e d_f) y_g, \\ (c_e x_f - c_f x_e - d_e c_f + c_e d_f) x_g : \forall e, f, g \rangle\rangle \subseteq \tilde{A}\mathcal{M}. \end{aligned}$$

**Theorem 2** (Bollobás and Riordan). *Every function that factors through  $T : \mathcal{M} \rightarrow \mathbf{W}(\mathcal{M})$  is a strong Tutte function. Conversely, every strong Tutte function factors through  $T$ .*

**What are the functions?**

What is  $\hat{\Delta}$ ? What is the structure of  $\mathbf{W}(\mathcal{M})$ ?

We extend it not quite slightly.

A *multiplicative Tutte function*,  $F : \mathcal{M} \rightarrow$  commutative ring  $A$  s.t.

- (1) *Domain*: any minor-closed class  $\mathcal{M}$ .
- (3) *Multiplicativity*:  $F(M \oplus N) = F(M) \cdot F(N)$ .
- (4) *Unitarity*: Give it up.
- (5) *Parametrized Deletion-Contraction*.

Conclusion:

Universal scalars and algebra:

$$\tilde{A} := \mathbb{Z}[d_e, c_e : \forall e],$$

and the *Tutte algebra*,

$$\mathbf{W}(\mathcal{M}) := \tilde{A}[x_e, y_e : \forall e] / \hat{\Delta},$$

where

$$\hat{\Delta} := \left\langle \left\{ \begin{array}{l} \left| \begin{array}{cc} c_e & c_f \\ x_e & x_f \end{array} \right| + \left| \begin{array}{cc} d_e & d_f \\ y_e & y_f \end{array} \right| \text{ for } (ef)_1 \in \mathcal{M}, \\ \left( \left| \begin{array}{cc} d_e & d_f \\ y_e & y_f \end{array} \right| - \left| \begin{array}{cc} d_e & d_f \\ c_e & c_f \end{array} \right| \right) y_g \text{ for } (efg)_1 \in \mathcal{M}, \\ \left( \left| \begin{array}{cc} c_e & c_f \\ x_e & x_f \end{array} \right| - \left| \begin{array}{cc} d_e & d_f \\ c_e & c_f \end{array} \right| \right) x_g \text{ for } (efg)_2 \in \mathcal{M}, \end{array} \right\} \right\rangle \subseteq \tilde{A}\mathcal{M}.$$

**Theorem 3** (Bollobás and Riordan, extended by us). *Every function that factors through  $T : \mathcal{M} \rightarrow \mathbf{W}(\mathcal{M})$  is a multiplicative Tutte function. Conversely, every strong Tutte function factors through  $T$ .*

*What are the functions? What is  $\hat{\Delta}$ ? The structure of  $\mathbf{W}(\mathcal{M})$ ?*

## TUTTE FUNCTIONS

*Can we weaken the hypotheses more drastically?*

- (1) *Domain*: any minor-closed class  $\mathcal{M}$ .
  - (3) *Multiplicativity*: Give it up.
  - (5) *Parametrized Deletion-Contraction*.
- 

A *Tutte function*:

$F : \mathcal{M} \rightarrow$  any module over any commutative, unital ring  $A$ , s.t.

- *Domain*: any minor-closed class  $\mathcal{M}$ .
- *Parametrized Deletion-Contraction*:

$$F(M) = \delta_e F(M \setminus e) + \gamma_e F(M/e)$$

if  $e$  neither a loop nor a coloop is.

( $\delta_e, \gamma_e \in A$  depend on  $e$ .)

Universal ring and module:

$$\tilde{A} := \mathbb{Z}[d_e, c_e : e],$$

and the *Tutte module*,

$$\mathbf{w}(\mathcal{M}) := \tilde{A}\mathcal{M}/\Gamma,$$

where

$$\Gamma := \langle M - d_e(M \setminus e) - c_e(M/e) : M, e \rangle \subseteq \tilde{A}\mathcal{M}.$$

**Theorem 4.** *Every function that factors through  $t : \mathcal{M} \rightarrow \mathbf{w}(\mathcal{M})$  is a strong Tutte function. Conversely, every strong Tutte function factors through  $t$ .*

**Classify all Tutte functions!**

*What is the structure of  $\mathbf{w}(\mathcal{M})$ ? What is  $\Gamma$ ?*

## SIMPLIFICATION

A discrete matroid is all loops and coloops.

$\mathcal{D} :=$  set of discrete matroids in  $\mathcal{M}$ ,

$$\Delta := \Gamma \cap \tilde{A}\mathcal{D}.$$

**Theorem 5.**  $\mathbf{w}(\mathcal{M}) := \tilde{A}\mathcal{M}/\Gamma = \tilde{A}\mathcal{D}/\Delta$ .

*$\therefore$  What is  $\Delta$ ? Use it to get the structure of the Tutte module.*

Define

$$\tau_e(M) := \begin{cases} d_e(M \setminus e) + c_e(M/e), & e \in E(M) \text{ not a loop or coloop,} \\ M, & \text{otherwise;} \end{cases}$$

$$\tau_{e_1 \dots e_k}(M) := \tau_{e_k} \cdots \tau_{e_1}(M).$$

**Proposition 6.**  $\Delta = \{\tau_\sigma(M) - \tau_{\sigma'}(M) : \sigma, \sigma' \in \text{Perm}(E(M))\}$ .

*How does  $\Delta$  interact with  $\tilde{A}\mathcal{D}$ ?*

*How does  $\mathbf{w}$  compare with  $\mathbf{W}$ ?*

## COMPARISON

Tutte module  $\mathbf{w}(\mathcal{M})$  vs. Tutte algebra  $\mathbf{W}(\mathcal{M})$ :

An  $\tilde{A}$ -module homomorphism

$$\mathbf{w}(\mathcal{M}) \rightarrow \mathbf{W}(\mathcal{M}) \text{ extending } \mathcal{M} \rightarrow \mathbf{W}(\mathcal{M}).$$

(Proof 1. Obvious since  $\mathbf{W}$  has more properties.)

(Proof 2. The extension exists by Theorem 4 because  $\mathcal{M} \rightarrow \mathbf{W}$  is a Tutte function.)

*Is  $\mathbf{w}(\mathcal{M})$  a submodule of  $\mathbf{W}(\mathcal{M})^+$ ? I.e., is the mapping injective?*

Generally: No. (Counterexamples, based on a general property that prevents injectivity.)

Particularly: Sometimes. (Examples, e.g.,  $\mathcal{M}$  consisting of all 2-point matroids.)

Often? Interesting minor-closed classes? We don't know yet.  
Esp.,  $\mathcal{M}$  closed under direct summation? (We guess "yes".)



## OTHER WORK

- (1) Classification of types of multiplicativity of a Tutte function. (Done.)
- Unitary.
  - Separator strong (Joanna A. Ellis-Monaghan and Lorenzo Traldi, Parametrized Tutte polynomials of graphs and matroids. *Combin. Prob. Comput.* **15** (2006), no. 6, 835–854.)
  - Strict multiplicativity (excluding  $\emptyset$  as a factor).

- (2) Example minor-closed classes. (Partly done.)
- Small example: minors of a 3-point matroid.
  - Closed under direct summation. (Important!)
  - All minors of a fixed master matroid  $M_0$ . (Significant.)

- (3) Use the structure of  $\mathbf{w}(\mathcal{M})$  to classify all types of Tutte function, with  
**Recipes!**

(Like the parametrized corank-nullity polynomial from Traldi 1989, a bit more generally in Zaslavsky 1992.)

Lorenzo Traldi,

A dichromatic polynomial for weighted graphs and link polynomials.

*Proc. Amer. Math. Soc.* **106** (1989), 279–286.

- (4) What is the effect of choosing particular parameter values?  
 (Slightly done.)