




Definition: We say that points  $P_0, P_1, \dots, P_k$  in  $\mathbb{R}^n$  are in general position if the vectors  $\vec{P_0P_1}, \dots, \vec{P_0P_k}$  are linearly independent (recall: if  $A = (a_{11}, \dots, a_{1n}), B = (b_{11}, \dots, b_{1n})$  then  $\vec{AB} = (b_1 - a_{11}, \dots, b_n - a_{1n})$ ).

Exercise: If  $P_0, P_1, \dots, P_k$  are in general position then for any  $i$  the vectors  $\vec{P_iP_j}, j=1, \dots, k, j \neq i$  are linearly independent so this definition does not depend on how the points are listed.

Given points  $A_0, A_1, \dots, A_k$  in  $\mathbb{R}^n$  which are in general position, we define  $\Delta(A_0, A_1, \dots, A_k) = \{t_0 A_0 + t_1 A_1 + \dots + t_k A_k : t_i \in [0, 1] \text{ and } t_0 + t_1 + \dots + t_k = 1\}$ . Any set of the form  $\Delta(A_0, A_1, \dots, A_k)$  is called a k-dimensional simplex.

Ex: 0-dimensional simplex is just a point 

1-dimensional simplex is just a segment: 

2-dimensional simplex is a triangle: 

3-dimensional simplex is a tetrahedron: 

etc.

Lemma: Any point  $P \in \Delta(A_0, A_1, \dots, A_k)$  has unique expression as  $t_0 A_0 + t_1 A_1 + \dots + t_k A_k$ .

Pf: Note that  $t_0 A_0 + t_1 A_1 + \dots + t_k A_k = t_1 \vec{A_0A_1} + t_2 \vec{A_0A_2} + \dots + t_k \vec{A_0A_k} + t_0 A_0 + (t_1 + t_2 + \dots + t_k) A_0 = t_1 \vec{A_0A_1} + \dots + t_k \vec{A_0A_k} = A_0 + t_1 \vec{A_0A_1} + \dots + t_k \vec{A_0A_k}$ .

Thus if  $t_0 A_0 + \dots + t_k A_k = s_0 A_0 + \dots + s_k A_k$  then

$t_1 \vec{A_0 A_1} + \dots + t_k \vec{A_0 A_k} = s_1 \vec{A_0 A_1} + \dots + s_k \vec{A_0 A_k}$ . By linear independence of  $\vec{A_0 A_1}, \dots, \vec{A_0 A_k}$  we conclude that  $t_1 = s_1, t_2 = s_2, \dots, t_k = s_k$  so  $t_0 = 1 - (t_1 + \dots + t_k) = 1 - (s_1 + \dots + s_k) = s_0 = \boxed{A}$

Def: The unique numbers  $t_0, \dots, t_k$  s.t.  $t_i \in [0, 1], t_0 + \dots + t_k = 1$  and  $P = t_0 A_0 + \dots + t_k A_k$  are called the barycentric coordinates of  $P$ .

Remark: As a subset of  $\mathbb{R}^n$ ,  $\Delta(A_0, \dots, A_k)$  is a convex, closed, bounded subset of  $\mathbb{R}^n$ , hence it is compact.

$\Delta(A_0, \dots, A_k)$  is the smallest convex set which contains  $A_0, \dots, A_k$ .

The points  $A_0, \dots, A_k$  are called vertices of  $\Delta(A_0, \dots, A_k)$ .

Each function  $t_i: \Delta(A_0, \dots, A_k) \rightarrow [0, 1], t_i(P) = i$ -th barycentric coordinate of  $P$  is a continuous function.

Cor: Any two  $k$ -dimensional simplices are homeomorphic:

$$\begin{aligned} \Delta(A_0, \dots, A_k) &\longrightarrow \Delta(B_0, \dots, B_k) \\ \sum_{i=0}^k t_i A_i &\longmapsto \sum_{i=0}^k t_i B_i \end{aligned} \quad \text{is a homeomorphism.}$$

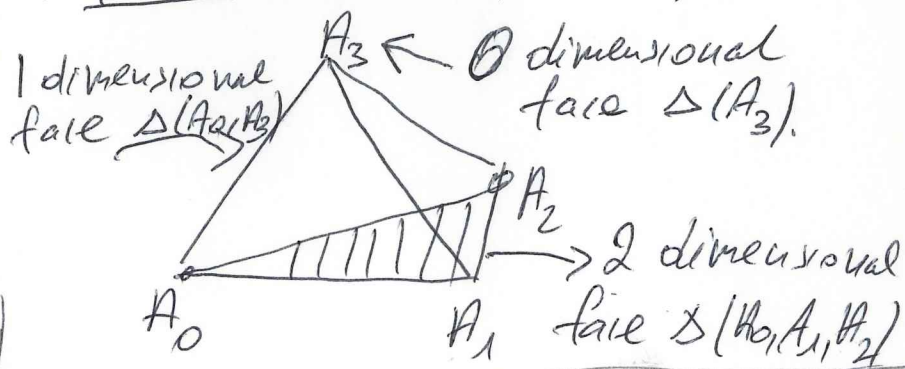
Definition: The set of all points  $P$  in  $\Delta(A_0, \dots, A_k)$  whose all barycentric coordinates are non-zero is called the interior of  $\Delta(A_0, \dots, A_k)$ . Interior is an open subset of  $\Delta(A_0, \dots, A_k)$ .

The set of all points in  $\Delta(A_0, \dots, A_k)$  whose barycentric coordinate  $t_i$  is 0 is called a  $(k-1)$ -dimensional face of  $\Delta(A_0, \dots, A_k)$  opposite vertex  $A_i$ .

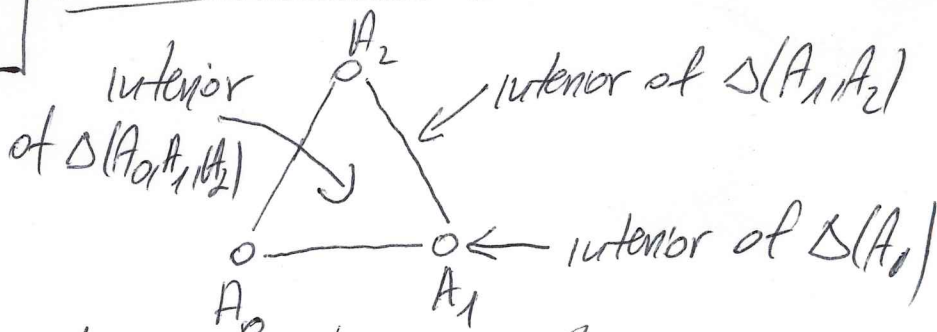
Clearly, the  $k-1$  dimensional face of  $\Delta(A_0, \dots, A_k)$  opposite vertex  $A_i$  is the  $k-1$  dimensional simplex with vertices  $A_0, \dots, A_{i-1}, \dots, A_k$  (i.e. we remove  $A_i$  from the vertices).

In general, for any subset  $I \subseteq \{0, 1, \dots, k\}$  the points  $P \in \Delta(A_0, \dots, A_k)$  such that  $t_j(P) = 0$  for all  $j \notin I$  form an  $(|I|-1)$ -dimensional simplex with vertices  $A_i, i \in I$ . Any such simplex is called a face of  $\Delta(A_0, \dots, A_k)$ .

It will be useful to observe the following:



The simplex  $\Delta(A_0, \dots, A_k)$  is a disjoint union of interiors of all its faces



The following simple observation is of key importance:

Observation: Let  $\Delta(A_0, \dots, A_k) \subseteq \mathbb{R}^n$  be a  $k$ -dimensional simplex. Given any points  $B_0, B_1, \dots, B_k$  in  $\mathbb{R}^m$  (for some  $m$ ) the function  $\phi: \Delta(A_0, \dots, A_k) \rightarrow \mathbb{R}^m$ ,  $\phi(t_0 A_0 + \dots + t_k A_k) = t_0 B_0 + \dots + t_k B_k$  is a continuous, linear function s.t.  $\phi(A_i) = B_i$ .

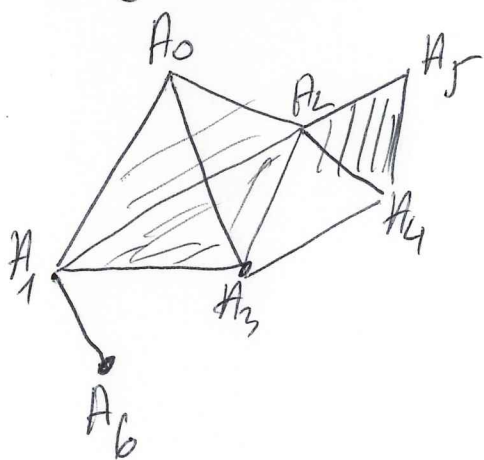
Definition: The barycenter of a simplex  $\Delta(A_0, A_1, \dots, A_k)$  is the point  $P = \frac{1}{k+1} A_0 + \frac{1}{k+1} A_1 + \dots + \frac{1}{k+1} A_k$ .

Definition: A simplicial complex is a finite collection  $\mathcal{C}$  of simplices in  $\mathbb{R}^n$  (for some  $n$ ) with the following properties:

- (1) If a simplex  $\Delta$  belongs to  $\mathcal{C}$  then every face of  $\Delta$  is also in  $\mathcal{C}$ .
- (2) If  $\Delta_1, \Delta_2 \in \mathcal{C}$  then  $\Delta_1 \cap \Delta_2 = \emptyset$  or  $\Delta_1 \cap \Delta_2$  is a common face of  $\Delta_1$  and  $\Delta_2$ .

The set  $|\mathcal{C}| = \text{union of all simplices in } \mathcal{C}$  is called the realization of  $\mathcal{C}$ .  $|\mathcal{C}|$  is a compact topological space (in the induced topology from  $\mathbb{R}^n$ ). Intuitively,  $|\mathcal{C}|$  is obtained by "glueing" the simplices in  $\mathcal{C}$  along some of their faces.

We write  $V_{\mathcal{C}}$  for the finite set of all vertices of simplices in  $\mathcal{C}$  (i.e. all 0-dimensional simplices in  $\mathcal{C}$ ).



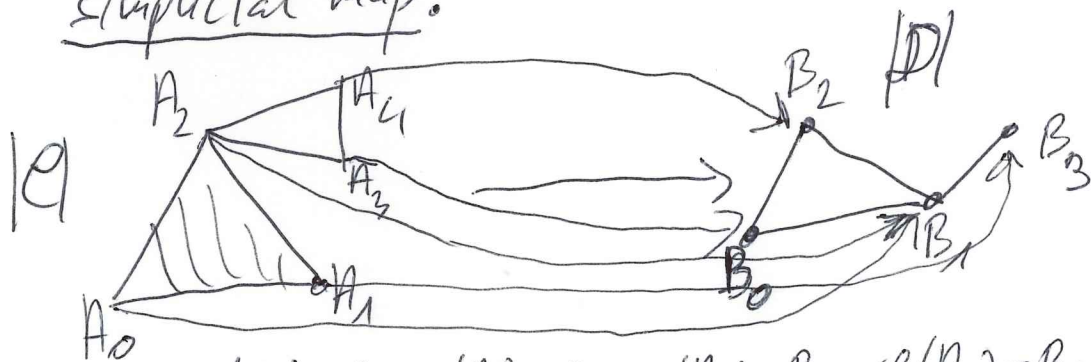
$$\mathcal{C} = \left\{ \begin{array}{l} \Delta(A_0, A_1, A_2), \Delta(A_1, A_2, A_3), \Delta(A_2, A_3, A_4), \\ \Delta(A_3, A_4, A_5), \Delta(A_1, A_6), \Delta(A_6) \end{array} \right\}$$

$$V_{\mathcal{C}} = \{A_0, A_1, A_2, A_3, A_4, A_5, A_6\}$$

We can extend our observation about simplices to simplicial complexes as follows:

If  $\mathcal{C}$  is a simplicial complex with vertices  $V_{\mathcal{C}}$  then any function  $\varphi: V_{\mathcal{C}} \rightarrow \mathbb{R}^m$  determines unique continuous function  $\varphi: |\mathcal{C}| \rightarrow \mathbb{R}^m$  which is linear on each simplex in  $\mathcal{C}$  (so  $\varphi$  is piecewise-linear) as follows: if  $P \in |\mathcal{C}|$  then  $P \in \Delta(A_0, \dots, A_k)$  for some simplex  $\Delta(A_0, \dots, A_k) \in \mathcal{C}$ , so  $P = t_0 A_0 + \dots + t_k A_k$ ,  $t_i$ -barycentric coordinates of  $P$ ; define  $\varphi(P) = t_0 \varphi(A_0) + \dots + t_k \varphi(A_k)$ .

Suppose now that  $\mathcal{D}$  is a simplicial complex in  $\mathbb{R}^m$  and  $\varphi: V_{\mathcal{C}} \rightarrow V_{\mathcal{D}}$  is a function with the following property: if  $\Delta(A_{i_0}, \dots, A_{i_k}) \in \mathcal{C}$  then  $\{\varphi(A_{i_0}), \dots, \varphi(A_{i_k})\}$  are vertices of a simplex in  $\mathcal{D}$ . Then, by the remark above, the function  $\varphi: |\mathcal{C}| \rightarrow \mathbb{R}^m$  maps  $|\mathcal{C}|$  into  $|\mathcal{D}|$ , hence we get a continuous function  $\varphi: |\mathcal{C}| \rightarrow |\mathcal{D}|$ . Any such function is called a simplicial map.



$\varphi(A_0) = B_1, \varphi(A_1) = B_3, \varphi(A_2) = B_1, \varphi(A_3) = B_0, \varphi(A_4) = B_2$   
 $\varphi$  extends to continuous, piecewise-linear map  $|\mathcal{C}| \rightarrow |\mathcal{D}|$ .

Note that a simplicial map  $\varphi: |C| \rightarrow |D|$  maps any simplex  $\Delta$  in  $C$  onto a simplex in  $D$  of dimension smaller or equal to dimension of  $\Delta$ .

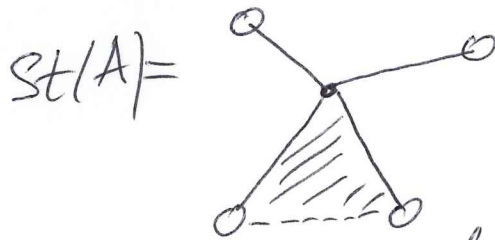
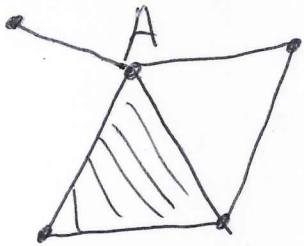
Definition: The dimension of a simplicial complex  $C$  is the largest dimension of the simplices in  $C$ .

Corollary: If  $\varphi: |C| \rightarrow |D|$  is simplicial and  $\dim C < \dim D$  then  $\varphi$  is not surjective.

In fact if  $\Delta \in D$  and  $\dim \Delta > \dim C$  then no point in the interior of  $\Delta$  is in the image of  $\varphi$ .

Let  $C$  be a simplicial complex and  $A \in V_C$  a vertex of  $C$ .

Definition: The star  $St(A)$  of the vertex  $A$  is the union of interiors of all simplices with  $A$  as a vertex.



Note that  $St(A)$  is an open subset of  $|C|$  and the sets  $\{St(A) : A \in V_C\}$  cover  $|C|$ .

Remark: As in the case of a simplex, the realization  $|C|$  is the disjoint union of the interiors of simplices in  $C$ .

Thm: Let  $A_0, A_1, \dots, A_k \in V_C$ . Then  $\Delta(A_0, \dots, A_k) \in C$  if and only if

$$St(A_0) \cap \dots \cap St(A_k) \neq \emptyset.$$

Pf: If  $\Delta(A_0, \dots, A_k) \in C$  then interior of  $\Delta(A_0, \dots, A_k)$  belongs to  $St(A_i)$  for every  $i$ , hence  $St(A_0) \cap \dots \cap St(A_k) \neq \emptyset$ . Conversely, suppose

$P \in \text{St}(A_0) \cap \dots \cap \text{St}(A_k)$ . There is unique simplex  $\Delta$  in  $\mathcal{C}$  such that  $P \in \text{interior of } \Delta$ . Thus interior of  $\Delta$  belongs to  $\text{St}(A_i)$  for all  $A_i$ , i.e. each  $A_i$  is a vertex of  $\Delta$ . It follows that  $\Delta(A_{i_1}, \dots, A_{i_k})$  is a face of  $\Delta$ , so  $\Delta(A_{i_1}, \dots, A_{i_k}) \in \mathcal{C}$ .  $\square$

We make now several key observations:

(A) If  $f, g: |\mathcal{C}| \rightarrow |\mathcal{D}|$  are continuous functions (here  $\mathcal{C}$  is a simplicial complex in  $\mathbb{R}^n$ ,  $\mathcal{D}$  a simplicial complex in  $\mathbb{R}^m$ ) such that for every  $P \in |\mathcal{C}|$ ,  $f(P)$  and  $g(P)$  belong to the same simplex in  $\mathcal{D}$ , then  $f \simeq g$  ( $f, g$  are homotopic).

Prf. The function  $H: |\mathcal{C}| \times I \rightarrow \mathbb{R}^m$ ,  $H(P, t) = (t+1)f(P) + tg(P)$  is continuous. Since  $f(P), g(P)$  are in the same simplex of  $\mathcal{D}$ ,  $(t+1)f(P) + tg(P)$  stays in that simplex for all  $t \in [0, 1]$ . Thus  $H$  takes values in  $|\mathcal{D}|$ , i.e.  $H: |\mathcal{C}| \times I \rightarrow |\mathcal{D}|$  is a homotopy between  $f$  and  $g$ .

(B) Suppose  $f: |\mathcal{C}| \rightarrow |\mathcal{D}|$  is a continuous function and for every vertex  $A \in V_{\mathcal{C}}$  the image  $f(\text{St}(A))$  is contained in  $\text{St}(B)$  for some  $B \in V_{\mathcal{D}}$ . Then  $f$  is homotopic to a simplicial map  $\varphi: |\mathcal{C}| \rightarrow |\mathcal{D}|$ .

Proof: Define  $\varphi: V_{\mathcal{C}} \rightarrow V_{\mathcal{D}}$  as follows: for  $A \in V_{\mathcal{C}}$  there is  $B \in V_{\mathcal{D}}$  such that  $f(\text{St}(A)) \subseteq \text{St}(B)$ . Set  $\varphi(A) = B$  (there may be several such  $B$ ; just pick one). Suppose  $\Delta(A_{i_1}, \dots, A_{i_k}) \in \mathcal{C}$ . Then  $\text{St}(A_{i_1}) \cap \dots \cap \text{St}(A_{i_k}) \neq \emptyset$ . Since  $f(\text{St}(A_i)) \subseteq \text{St}(\varphi(A_i))$  for every  $i$ , we conclude that

~~$\varphi(\text{St}(A_{i_1})) \cap \dots \cap \varphi(\text{St}(A_{i_k})) \neq \emptyset$~~   
 $\text{St}(\varphi(A_{i_1})) \cap \dots \cap \text{St}(\varphi(A_{i_k})) \neq \emptyset$ . By the Thm on

page 6, the set  $\{\varphi(A_{j_0}), \dots, \varphi(A_{j_k})\}$  consists of all vertices of a simplex in  $\mathcal{D}$ . This property implies that  $\varphi$  extends to a simplicial map  $\varphi: |\mathcal{C}| \rightarrow |\mathcal{D}|$ . If  $P \in |\mathcal{C}|$  then  $P$  belongs to the interior of a unique simplex  $\Delta(A_{j_0}, \dots, A_{j_k})$  of  $\mathcal{C}$ . Thus  $P \in \text{St}(A_{j_0}) \cap \dots \cap \text{St}(A_{j_k})$ . Since  $f(\text{St}(A_j)) \subseteq \text{St}(\varphi(A_j))$ , we see that  $f(P) \in \text{St}(\varphi(A_{j_0})) \cap \dots \cap \text{St}(\varphi(A_{j_k}))$ . This means that the unique simplex  $\Delta$  in  $\mathcal{D}$  whose interior contains  $f(P)$  has each of the points  $\varphi(A_{j_0}), \dots, \varphi(A_{j_k})$  as a vertex. Also, by the definition of  $\varphi$ ,  $\varphi(P) \in \varphi(\Delta(A_{j_0}, \dots, A_{j_k})) = \text{simplex with vertices } \varphi(A_{j_0}), \dots, \varphi(A_{j_k}) \subseteq \Delta$ . Thus  $f(P)$  and  $\varphi(P)$  are both in  $\Delta$ . By observation (A) we get  $f \circ \varphi$ .  $\square$

(C) Definition: A diameter of a bounded subset of  $\mathbb{R}^n$  is the supremum of all the distances between any 2 points in the subset.

Easy fact: The diameter of a simplex is the largest distance between its vertices.

Exercise: The distance from a barycenter of  $\Delta(A_0, \dots, A_k)$  to any other point in the simplex is no longer than  $\frac{k}{k+1} \cdot \text{diameter of } \Delta(A_0, \dots, A_k)$ .

Given a simplicial complex  $\mathcal{C}$  in  $\mathbb{R}^n$  whose every simplex has diameter bounded above by  $b$ , we can subdivide each simplex in  $\mathcal{C}$  into finer simplices to create a simplicial complex  $\mathcal{C}_1$  such that  $|\mathcal{C}| = |\mathcal{C}_1|$  and every simplex in  $\mathcal{C}_1$  has diameter  $\leq \frac{n}{n+1} b$ .

Pf: The idea is simple: for every simplex  $\Delta \in \mathcal{C}$  let  $A_\Delta$  be the barycenter of  $\Delta$ . For every sequence  $\Delta_0 \subseteq \Delta_1 \subseteq \dots \subseteq \Delta_k$  in  $\mathcal{C}$  consider a simplex  $\Delta(A_{\Delta_0}, \dots, A_{\Delta_k})$ . The collection of all such simplices forms a simplicial complex  $\mathcal{C}_1$  such that  $|\mathcal{C}| = |\mathcal{C}_1|$ .

$C_1$  is called a barycentric subdivision of  $C$ . By our exercise, diameter of  $\Delta(A_{\Delta_0}, \dots, A_{\Delta_k}) \leq \frac{kn}{n+1}$  diameter of  $\Delta_k$ , where  $n$  is the dimension of  $\Delta_k$ . Since  $n \leq n$ , we get diameter of  $C_1 \leq \frac{n}{n+1}$  diameter of  $C$ . We leave the details as an exercise.

Corollary: For any  $\varepsilon > 0$  there is simplicial complex  $C_\varepsilon$  such that  $|C| = |C_\varepsilon|$  and diameter of  $C_\varepsilon < \varepsilon$ .

Just apply the barycentric subdivision many times and note that  $\left(\frac{n}{n+1}\right)^N$  tends to 0 when  $N \rightarrow \infty$ .

(D) Given simplicial complexes  $C$  and  $D$  and a continuous function  $f: |C| \rightarrow |D|$  there is a simplicial complex  $C_1$  such that  $|C| = |C_1|$  and a simplicial map  $\varphi: |C_1| \rightarrow |D|$  such that  $\varphi \sim f$ .

Pf: The sets  $St(B_i), B_i \in V_D$  form an open cover of  $|D|$ .

Then  $\{f^{-1}(St(B_i)) : B_i \in V_D\}$  is an open cover of  $|C|$ . Since  $|C|$  is compact, take ~~the~~ Lebesgue number  $\varepsilon$  of this cover. It follows that any subset of  $|C|$  of diameter  $< \varepsilon$  is contained in one of the sets  $f^{-1}(St(B_i))$ . Take  $C_1$  such that  $|C_1| = |C|$  and every simplex in  $C_1$  has diameter  $< \varepsilon$ . Then for any vertex  $A$  of  $C_1$ , the set

$St(A)$  is contained in the ball with center  $A$  and radius  $\varepsilon$ .

Thus  $St(A) \subseteq f^{-1}(St(B_i))$  for some  $i$ , i.e.  $f(St(A)) \subseteq St(B_i)$ .

By part (B) there is a simplicial map  $\varphi: |C_1| = |C| \rightarrow |D|$  such that  $\varphi \sim f$ .  $\square$

Cor: If dimension of  $C < \dim$  of  $D$ , then any continuous map  $f: |C| \rightarrow |D|$  is homotopic to a function which is not surjective.

Proof: This follows by the corollary on page 6.

Thm: If  $n < m$  then any continuous function  $f: S^n \rightarrow S^m$  is homotopic to a constant function.

Pf:  $S^n$  is ~~homeomorphic~~ homeomorphic to the boundary of an  $n$ -dimensional simplex:  $S^n \approx \Delta(A_0, \dots, A_n) - \text{interior of } \Delta(A_0, \dots, A_n)$ .

$$S^1 \approx \triangle, \quad S^2 \approx \text{tetrahedron}$$

$S^n = \text{union of all the faces of } \Delta(A_0, \dots, A_n) \text{ of dimension } \leq n$ .

This is a simplicial complex. As simplicial complexes,  $S^n$  has dimension  $n$ . Thus by the last corollary,  $f: S^n \rightarrow S^m$  is homotopic to some  $q$  which is not surjective. We know that  $S^m$  without a point is homeomorphic to  $\mathbb{R}^m$ , which is contractible. Thus  $f$  is homotopic to a constant map.